

# Experimental, numerical, and analytical velocity spectra in turbulent quantum fluid

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**Turbulence in superfluid helium is unusual and presents a challenge to fluid dynamicists because it consists of two coupled, interpenetrating turbulent fluids: the first is inviscid with quantized vorticity, and the second is viscous with continuous vorticity. Despite this double nature, the observed spectra of the superfluid turbulent velocity at sufficiently large length scales are similar to those of ordinary turbulence. We present experimental, numerical, and theoretical results that explain these similarities, and illustrate the limits of our present understanding of superfluid turbulence at smaller scales.**

vortex | tangle | hydrodynamics | condensate

If cooled below a critical temperature [ $T_\lambda \approx 2.18$  K in  $^4\text{He}$  and  $T_c \approx 10^{-3}$  K in  $^3\text{He}$  at saturated vapor pressure], liquid helium undergoes Bose–Einstein condensation (1), becoming a quantum fluid and demonstrating superfluidity (pure inviscid flow). (Hereafter, by  $^3\text{He}$ , we mean the B phase of  $^3\text{He}$ .) Besides the lack of viscosity, another major difference from ordinary (classical) fluids such as water or air is that, in helium, vorticity is constrained to vortex line singularities of fixed circulation  $\kappa = h/M$ , where  $h$  is Planck's constant, and  $M$  is the mass of the relevant boson ( $M = m_4$ , the mass of  $^4\text{He}$  atom, and  $M = 2 m_3$ , the mass of a Cooper pair in  $^3\text{He}$ ). These vortex lines are essentially one-dimensional space curves, for example, in  $^4\text{He}$ , the vortex core radius  $\xi \approx 10^{-10}$  m is comparable to the interatomic distance. Thus, quantization of circulation results in the appearance of another characteristic length scale: the mean separation between vortex lines,  $\ell$ . In typical experiments (both in  $^4\text{He}$  and  $^3\text{He}$ ),  $\ell$  is orders of magnitude smaller than the scale  $D$  of the largest eddies but is also orders of magnitudes larger than  $\xi$ .

There is a growing consensus (2) that superfluid turbulence at large scales  $R \gg \ell$  is similar to classical turbulence if excited similarly, for example by a moving grid. The idea is that motions at scales  $R \gg \ell$  should involve at least a partial polarization (3–5) of vortex lines and their organization into vortex bundles that, at such large scales, should mimic continuous hydrodynamic eddies. Therefore, one expects a classical Richardson–Kolmogorov energy cascade, with larger “eddies” breaking into smaller ones. The spectral signature

of this classical cascade is indeed observed experimentally in superfluid helium. In the absence of viscosity, in superfluid turbulence the kinetic energy should cascade downscale without loss, until it reaches scales  $R \sim \ell$ , where the discreteness becomes important. It is also believed that the energy is further transferred downscales by the interacting Kelvin waves (helical perturbation of the individual vortex lines) where it is radiated away by thermal quasiparticles (phonons and rotons in  $^4\text{He}$ ).

Although this scenario seems reasonable, crucial details are yet to be established. Our understanding of superfluid turbulence at scales of the order of  $\ell$  is still at infancy stage, and what happens at scales below  $\ell$  is a question of intensive debates. The “quasi-classical” region of scales,  $R \gg \ell$ , is better understood, but still less than classical hydrodynamic turbulence. The main reason is that at nonzero temperatures (but still below the critical temperature), superfluid helium is a two-fluid system. According to the theory of Landau and Tisza (6), it consists of two interpenetrating components: the inviscid superfluid, of density  $\rho_s$  and velocity  $\mathbf{u}_s$  (associated to the quantum ground state), and the viscous normal fluid, of density  $\rho_n$  and velocity  $\mathbf{u}_n$  (associated to thermal excitations). The normal fluid carries the entropy and the viscosity of the entire liquid. In the presence of superfluid vortices, these two components interact via a mutual friction force (7). The total helium density  $\rho = \rho_s + \rho_n$  is practically temperature independent, whereas the superfluid fraction  $\rho_s/\rho$  is zero at  $T = T_\lambda$ , but rapidly increases if  $T$  is lowered. The normal fluid is essentially negligible below 1 K. One would

therefore expect classical behavior only in the high temperature limit  $T \rightarrow T_\lambda$ , where the normal fluid must energetically dominate the dynamics. Experiments show that this is not the case, thus raising the interesting problem of “double-fluid” turbulence, which we review here.

The aim of this article is to present the current state of the art in this intriguing problem, clarify common features of turbulence in classical and quantum fluids, and highlight their differences. To achieve our aim we shall overview and combine experimental, theoretical, and numerical results in the simplest possible (and, probably, the most fundamental) case of homogeneous, isotropic turbulence, away from boundaries and maintained in a statistical steady state by continuous mechanical forcing. The natural tools to study homogeneous isotropic turbulence are spectral; thus, we shall consider the velocity spectrum (also known as the energy spectrum) and attempt to give a physical explanation for the observed phenomena.

## Classical vs. Superfluid Turbulence: The Background

We recall (8) that ordinary incompressible ( $\nabla \cdot \mathbf{u} = 0$ ) viscous flows are described by the

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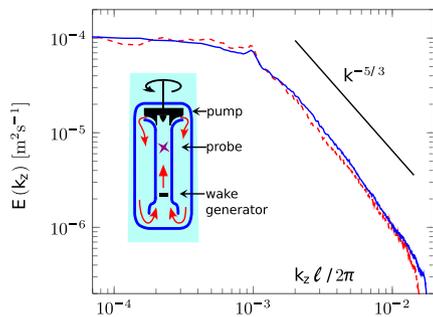
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**Fig. 2.** Energy spectrum measured in the TOUPIE wind tunnel (*inset*) below the superfluid transition (solid blue line,  $1.56 \text{ K} < T_\lambda$ ) and above  $T_\lambda$  (dashed red line) (21).

the toolkit of ideas and methods of classical hydrodynamics, we shall define in the next sections an “effective” superfluid vorticity field  $\omega_s$ . This definition [which indeed (12) yields the classical  $k^{1/3}$  vorticity scaling] is possible on scales  $R \gg \ell$ , provided that the vortex lines contained in a fluid parcel are sufficiently polarized. This procedure opens the way for a possible identification of “local” values of  $\mathcal{L}(\mathbf{r}, t)$  with the magnitude  $|\omega_s|$  of the vector field  $\omega_s$ .

The second difference is that liquid helium below  $T_\lambda$  is a two-fluid system, and (in  $^4\text{He}$ ) we expect both superfluid and normal fluid to be turbulent. This makes superfluid turbulence much richer than classical turbulence, but the analysis becomes more involved, as mutual friction between normal and superfluid components leads to (dissipative) energy exchange between them in either direction.

The third difference is the existence of the intermediate scale  $\ell$ , which makes it impossible to apply arguments of scale invariance to the entire inertial interval and calls for its separation into three ranges. The first is a “hydrodynamic” region of scales  $\ell \ll R \ll D$  (corresponding to  $k_D \ll k \ll k_\ell$  in  $k$ -space where  $k_D = 2\pi/D$  and  $k_\ell = 2\pi/\ell$ ), which is similar (but not equal) to the classical inertial range; the second is a “Kelvin wave region”  $\xi \ll R \ll \ell$ , where energy is transferred further to smaller scales by interacting Kelvin waves. [Actually, phonon emission will terminate the Kelvin cascade at scales  $R \sim 100\xi$  in  $^4\text{He}$  (13).] In the third, less-understood intermediate region  $R \approx \ell$ , the energy flux is caused probably by vortex reconnections.

### Experiments: Flows, Probes, and Spectra

In this section, we shall limit our discussion to experimental techniques for  $^4\text{He}$ . [The methods used in  $^3\text{He}$ , at temperatures 1,000 times smaller, are rather different (14), and we shall only cite the results in  $^3\text{He}$  that are directly relevant to our aim.] Possibly the simplest way to generate turbulence in  $^4\text{He}$  is

the application of a temperature gradient that creates a flow of the normal component carrying heat from the hot to the cold plate; this flow is compensated by the counterflow of the superfluid component in the opposite direction, which maintains a zero mass flux. This form of heat conduction, called thermal counterflow, is unlike what happens in ordinary fluids. Moreover, under thermal drive, the energy pumping is dominated by the intervortex length scale  $\ell$  and according to numerical simulations there is no inertial interval in which the energy flux scales over the wavenumbers as in the KO-41 scenario (15). This “quantum” superfluid turbulence (16) is thus very different from classical developed turbulence and will not be discussed here.

From the experimental viewpoint, mechanical generation of turbulence (more similar to what is done in the study of ordinary turbulence) is not as straightforward. Nevertheless, there is a number of successful approaches, which can be classified into three categories: (i) flows driven by vibrating objects, (ii) one-shot flows driven by single-stroke bellows, towed grids, or spin-up/down of the container, and (iii) flows continuously driven by propellers. Most efforts in characterizing turbulent fluctuations have focused on the third category—which allows to produce flows with better homogeneity and isotropy than those generated by vibrating objects, and allows better statistical convergence (and improved stationarity) than measurements in nonstationary flows.

The quantum phase of  $^4\text{He}$  (called He-II) is created by cooling the classical phase (called He-I) below  $T_\lambda$ ; thus, in most cases, the same apparatus or technique can be used to probe classical and quantum turbulence, which helps making comparisons. Three flow configurations have been explored as follows (*SI Appendix, Fig. SI-1*): (i) Von Karman flows driven by counterrotating propellers using cryogenic (17) or room temperature (18, 19) motors; (ii) wind tunnels (*Inset* in Fig. 2) (20, 21) pressurized hydrostatically by a column of liquid  $^4\text{He}$  to allow cavitation-free operation in He-I (in He-II, cavitation is prevented by the fluid high thermal conductivity). Without pressurization, bubbles would form in He-I preventing the comparison of turbulence above and below  $T_\lambda$  in the same apparatus. (iii) The third configuration—the TSF circulator—consists of a pressurized helium loop cooled by a heat exchanger (22). All these flows are driven by the centrifugal force generated by propellers; not depending on viscous or thermal effects, this forcing is well fitted to liquid helium, irrespectively of its superfluid density fraction.

Probing cryogenic flows is often more challenging than producing the flow themselves: dedicated probes often have to be designed and manufactured for each experiment, and good space and time resolutions are needed to resolve the fluctuating scales of superfluid turbulence (refs. 17, 21, and 23; *SI Appendix, Fig. SI-2*). Below  $T_\lambda$ , the most commonly used local velocity probe is based on the principle of the “Pitot” (or “Prandtl” or “total head pressure”) tube. One end of a tube is inserted parallel to the mean flow, while the other end is blocked by a pressure gauge. The stagnation point that forms at the open end of the tube is associated with an overpressure  $P$  probed by the gauge, which is related to the incoming flow velocity  $V$  via Bernoulli relation  $P \simeq \rho V^2/2$  [hence fluctuations  $\delta P$  of  $P$  are proportional to fluctuations  $\delta V$  of  $V$  up to terms of the order of  $(\delta V/V)^2$ ]. The operation and limitations of such stagnation-pressure probes  $T_\lambda$  are discussed in ref. 22 (in particular, excessive angles of attack lead to measurement bias). Pitot tubes achieving nearly 0.5-mm spatial resolution, and others with DC 4-kHz bandwidth have been operated successfully. At such scales and in the turbulent flows of interest, helium’s two components are expected to be locked together—as discussed later—and described by a single fluid of total density  $\rho$ ; thus, stagnation pressure probes determine their the common velocity.

The first experimental turbulent energy spectra below  $T_\lambda$  were reported in 1998 (17) (using the setup illustrated at the *Left* of *SI Appendix, Fig. SI-1*). Energy spectra at 2.08 K and 1.4 K were found very similar to the spectrum measured in He-I at 2.3 K. In the range of frequencies corresponding to the length scale of the forcing and the smallest resolved length scale, the measured spectrum was compatible with KO-41. The next published confirmation of KO-41 came in 2010 (22) from two independent experiments (of the two types depicted at the *Center* and at the *Right* of *SI Appendix, Fig. SI-1*). Measurements obtained with the first type of wind tunnel are reproduced in *SI Appendix, Fig. SI-3*, which shows energy spectra at 1.6 K for various mean velocities of the flow. We note that four decades separate the integral scale of the flow ( $D \simeq 10 \text{ mm}$ ) and the intervortex scale  $\ell \simeq 1 \mu\text{m}$ , to be compared with the 1-mm effective resolution of the anemometer. Measurements obtained with the second type of wind tunnel explored grid turbulence. Although the signal-to-noise ratio was not as good (see ref. 22 for compensated energy spectra), the choice of a well-defined homogeneous isotropic flow allowed to measure the dissipation rate  $\epsilon$

from the spatial decay of kinetic energy behind the grid. The Kolmogorov constant  $C_{K41}$  derived from Eq. 3 was found similar above the superfluid transition and below it in He-II at  $T = 2.0$  K. The energy spectrum shown in Fig. 2 has been recently obtained in the TOUPIE wind tunnel both above and below  $T_\lambda$  in the far wake of a disk. [To normalize the  $x$  axis of this plot, the mean intervortex distance  $\ell$  in He-II was estimated using the relation  $2\ell/D = \text{Re}_\kappa^{-3/4}$  (24), where  $\text{Re}_\kappa = DV/\kappa$  is a Reynolds number defined using the root-mean-square velocity from the anemometer, and the prefactor 2 was fitted to experimental and numerical data in the range  $T \simeq 1.4$ – $1.6$  K.] A one-to-one comparison of both datasets allowed to check the validity of the  $-4/5$  Karman–Howarth law (21) below  $T_\lambda$ ; this law, sometimes described as the only exact relation known in turbulence, confirms that energy cascades from large to small scales without dissipation within the inertial range where the KO-41 scaling is observed.

### Equations of Motion: Three Levels of Description

In the absence of superfluid vortices, Landau's two-fluid equations (6) for the superfluid and normal-fluid velocities  $\mathbf{u}_s$  and  $\mathbf{u}_n$  account for all phenomena observed in He-II at low velocities, for example, second sound and thermal counterflow. In the incompressible limit ( $\nabla \cdot \mathbf{u}_s = 0$ ,  $\nabla \cdot \mathbf{u}_n = 0$ ), Landau's equations are as follows:

$$\rho_s[(\partial \mathbf{u}_s / \partial t) + (\mathbf{u}_s \cdot \nabla) \mathbf{u}_s] = -\nabla p_s, \quad [6a]$$

$$\rho_n[(\partial \mathbf{u}_n / \partial t) + (\mathbf{u}_n \cdot \nabla) \mathbf{u}_n] = -\nabla p_n + \mu \nabla^2 \mathbf{u}_n, \quad [6b]$$

where the efficient pressures  $p_s$  and  $p_n$  are defined by  $\nabla p_s = (\rho_s/\rho) \nabla p - \rho_s S \nabla T$  and  $\nabla p_n = (\rho_n/\rho) \nabla p + \rho_s S \nabla T$  ( $T$  and  $S$  are temperature and entropy). On physical ground, Landau argued that the superfluid is irrotational.

The main difficulty in developing a theory of superfluid turbulence is the lack of an established set of equations of motion for He-II in the presence of superfluid vortices. We have only models at different levels of description.

**First Level.** At the most microscopic level of description, we must account for phenomena at the length scale of the superfluid vortex core,  $R \approx \xi$ . Monte Carlo models of the vortex core (25), although realistic, are not suitable for the study of the dynamics and turbulent motion. A practical model of a pure superfluid is the Gross–Pitaevskii equation (GPE) for a weakly interacting Bose gas (1):

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2M} \nabla^2 \Psi + V_0 |\Psi|^2 \Psi - E_0 \Psi, \quad [7]$$

where  $\Psi(\mathbf{r}, t)$  is the condensate's complex wave function,  $V_0$  the strength of the interaction between bosons,  $E_0$  is the chemical potential, and  $M$  is the boson mass. The condensate's density  $\tilde{\rho}_s$  and velocity  $\tilde{\mathbf{v}}_s$  are related to  $\Psi = |\Psi| \exp(i\Theta)$  via the Madelung transformation  $\tilde{\rho}_s = M |\Psi|^2$ ,  $\tilde{\mathbf{u}}_s = \hbar \nabla \Theta / M$ , which confirms Landau's intuition that the superfluid is irrotational. It can be shown that, at length scales  $R \gg \xi = \hbar / \sqrt{2ME_0}$ , the GPE reduces to the classical continuity equation and the (compressible) Euler equation. It must be stressed that, although the GPE accounts for quantum vortices, finite vortex core size (of the order of  $\xi$ ), vortex nucleation, vortex reconnections, sound emission by accelerating vortices, and Kelvin waves, it is only a qualitative model of the superfluid component. He-II is a liquid, not a weakly interacting gas, and the condensate is only a fraction of the superfluid density  $\rho_s$ . No adjustment of  $V_0$  and  $E_0$  can fit both the sound speed and the vortex core radius, and the dispersion relation of the uniform solution of Eq. 7 lacks the roton's minimum, which is characteristic of He-II (6, 26). Strictly speaking, we cannot identify  $\tilde{\rho}_s$  with  $\rho_s$  and  $\tilde{\mathbf{u}}_s$  with  $\mathbf{u}_s$ . Nevertheless, when solved numerically, the GPE is a useful model of superfluid turbulence at low  $T$  where the normal-fluid fraction vanishes, and yields results that can be compared with experiments, as we shall see.

**Second Level.** Far away from the vortex core at length scales  $R \gg \xi$ , and in the zero Mach number limit, the GPE describes incompressible Euler dynamics. This is the level of description of the vortex filament model (VFM) of Schwarz (27). The nature of the vortex core is ignored and individual vortex lines are described as oriented space curves  $\mathbf{s}(\zeta, t)$  of infinitesimal thickness and circulation  $\kappa$ , where  $\zeta$  is the arc length, which evolve according to the following

$$\frac{d\mathbf{s}}{dt} = \mathbf{u}_{si} + \mathbf{w}, \quad \mathbf{u}_{si}(\mathbf{s}) = \frac{\kappa}{4\pi} \oint_{\mathcal{L}} \frac{(\mathbf{s}_1 - \mathbf{s}) \times d\mathbf{s}_1}{|\mathbf{s}_1 - \mathbf{s}|^3}, \quad [8a]$$

$$\mathbf{w} = \alpha \mathbf{s}' \times \mathbf{u}_{ns} - \alpha' \mathbf{s}' \times [\mathbf{s}' \times \mathbf{u}_{ns}], \quad [8b]$$

$$\mathbf{u}_{ns} = \mathbf{u}_n - \mathbf{u}_{si}.$$

Here, the self-induced velocity  $\mathbf{u}_{si}$  is given by the Biot–Savart law (28), and the line integral extends over the vortex configuration. At non-

zero temperatures, the term  $\mathbf{w}$  accounts for the friction between the vortex lines and the normal fluid (7). The unit tangent at  $\mathbf{s}$  is  $\mathbf{s}' = d\mathbf{s}/d\zeta$ , and  $\alpha$ ,  $\alpha'$  are known temperature-dependent friction coefficients. In the  $T \rightarrow 0$  limit,  $\alpha$  and  $\alpha'$  are negligible (29), and we recover the classical result that each point of the vortex line is swept by the velocity field produced by the entire vortex configuration.

Numerical simulations require the discretization of vortex lines in a Lagrangian fashion and the desingularization of Biot–Savart integrals; reconnections are additional algorithmic ad hoc procedures that change the way pairs of discretization points are connected. Reconnection criteria are described and discussed in refs. 30–32; ref. 33 compares GPE and VFM reconnections with each other and with experiments. Simulations at large values of vortex line density are performed using a tree algorithm (30), which speeds up the evaluations of Biot–Savart integrals from  $N^2$  to  $N \log N$ , where  $N$  is the number of discretization points. The major drawback of the VFM is that the normal-fluid  $\mathbf{u}_n$  is imposed (either laminar or turbulent); therefore, the back reaction of the vortex lines on  $\mathbf{u}_n$  is not taken into account. The reason is the computational difficulty: a self-consistent simulation would require the simultaneous integration in time of Eq. 8 for the superfluid, and of Eq. 1 for the normal fluid, complemented with suitable friction forcing at vortex lines singularities and restoring the momentum balance associated with mutual friction. Such self-consistent simulations were carried out only for a single vortex ring (34) and for the initial growth of a vortex cloud (35). This limitation is likely to be particularly important at low and intermediate temperatures (at high temperatures, the normal fluid contains most of the kinetic energy, so it is less likely to be affected by the vortices).

**Third Level.** At the third level of description, we do not distinguish individual vortex lines any longer, but rather consider fluid parcels that contain a continuum of vortices. At these length scales  $R \gg \ell$ , we seek to generalize Landau's equations (6) to the presence of vortices. In laminar flows, the vortex lines (although curved) remain locally parallel to each other, so it is possible to define the components of a macroscopic vorticity field  $\boldsymbol{\omega}_s$  by taking a small volume larger than  $\ell$  and considering the superfluid circulation in the planes parallel to the Cartesian directions (alternatively, the sum of the oriented vortex lengths in each Cartesian direction). We obtain the so-called Hall–Vinen–Bekarevich–

Khalatnikov (or HVBK) “coarse-grained” equations (36, 37):

$$\rho_s[\partial \mathbf{u}_s/\partial t + (\mathbf{u}_s \cdot \nabla)\mathbf{u}_s] = -\nabla p_s - \rho_s \mathbf{f}_{ns}, \quad [9a]$$

$$\rho_n[\partial \mathbf{u}_n/\partial t + (\mathbf{u}_n \cdot \nabla)\mathbf{u}_n] = -\nabla p_n + \mu \nabla^2 \mathbf{u}_n + \rho_s \mathbf{f}_{ns}, \quad [9b]$$

$$\mathbf{f}_{ns} = \alpha \hat{\omega}_s \times (\omega_s \times \mathbf{u}_{ns}) + \alpha' \hat{\omega}_s \times \mathbf{u}_{ns}, \quad [9c]$$

where  $\omega_s = \nabla \times \mathbf{u}_s$ ,  $\hat{\omega}_s = \omega_s/|\omega_s|$ , and  $\mathbf{f}_{ns}$  is the mutual friction force. [Strictly speaking, the right-hand side of the superfluid equation contains also the vortex tension force  $\nu_s \omega_s \times (\nabla \times \hat{\omega}_s)$ , where  $\nu_s = \kappa/(4\pi) \ln(\ell/\xi)$ . This term is essential when describing fully polarized flows, such as Taylor–Couette flow (38, 39) and helical vortex fronts (40): in these flows, the vortex lines are fully polarized and aligned in the same direction, and their density and orientation may change locally and vary as a function of position (on length scales  $R \gg \ell$ ). However, the vortex tension force is small at high velocity and conserves energy, so it is ignored in the study of turbulence.] The difficulty with applying the HVBK equations to turbulence is that in turbulent flows the vortex lines tend to be randomly oriented with respect to each other, so the components of  $\mathbf{s}'$  partially or totally cancel out to zero, resulting in local vortex length (hence energy dissipation) without any effective superfluid vorticity. In this case, the HVBK equations may become a poor approximation and underestimate the mutual friction coupling. Nevertheless, they are a useful model of large-scale superfluid motion with characteristic scale  $R \gg \ell$ , particularly because (unlike the VFM) they are dynamically self-consistent (normal fluid and superfluid affect each other). We must keep in mind that Eqs. 9 do not have physical meaning at length scales smaller than  $\ell$ . In the literature, the mutual friction force is often simplified to  $\mathbf{f}_{ns} = -\alpha \mathcal{L} \mathbf{u}_{ns}$ , where  $\mathcal{L} = 1/\ell^2$ .

### Numerical Experiments: GPE, VFM, and HVBK

Since the pioneering work of Schwarz (27), numerical experiments have played an important role, allowing the exploration of the consequences of limited sets of physical assumptions in a controlled way, and providing some flow visualization.

**The GPE.** Numerical simulations of the GPE in a 3D periodic box have been performed for decaying turbulence (41) following an imposed arbitrary initial condition, and for

forced turbulence (42, 43). Because the GPE allows sound waves, to analyze turbulent vortex lines, it is necessary to extract from the total energy of the system (which is conserved during the evolution) the incompressible kinetic energy part whose spectrum is relevant to our discussion. To reach a steady-state, large-scale external forcing and small-scale damping was added to the GPE (43). The resulting turbulent energy spectrum agrees with KO-41 scaling in homogeneous (Fig. 1, cyan dot-dashed line) and demonstrates bottleneck energy accumulation near the intervortex scale at zero temperature predicted earlier in ref. 44 and discussed in *Theory, Intermediate Regimes*. The KO-41 scaling observed in GPE simulations was found to be consistent with the VFM at zero temperature (12, 45) and has also been observed when modeling a trapped atomic Bose–Einstein condensate (46).

The GPE can be extended to finite temperatures (47–49) accounting for mutual friction (50).

**The VFM.** Most VFM calculations have been performed in a cubic box of size  $D$  with periodic boundary conditions. [De Waele and collaborators (51) used solid-boundary conditions and investigated flat and a parabolic normal-fluid profiles, an issue that is still open.] At  $T \neq 0$ , we expect that the normal fluid is turbulent because its Reynolds number  $Re = DV_n/\nu_n$  is large (where  $V_n$  the root-mean-square normal-fluid velocity). Recent VFM studies thus assumed the following form (5, 15, 52):

$$\mathbf{u}_n(\mathbf{s}, t) = \sum_{m=1}^M (\mathbf{A}_m \times \mathbf{k}_m \cos \phi_m + \mathbf{B}_m \times \mathbf{k}_m \sin \phi_m),$$

where  $\phi_m = \mathbf{k}_m \cdot \mathbf{s} + f_m t$ ,  $\mathbf{k}_m$  and  $f_m = \sqrt{k_m^3 E(k_m)}$  are wave vectors and angular frequencies. The random parameters  $\mathbf{A}_m$ ,  $\mathbf{B}_m$ , and  $\mathbf{k}_m$  are chosen so that the normal fluid’s energy spectrum obeys KO-41 scaling  $E(k_m) \propto k_m^{-5/3}$  in the inertial range  $k_D \simeq k_1 < k < k_M \simeq k_\ell$ . This synthetic turbulent flow (53) is solenoidal, time dependent, and compares well with Lagrangian statistics obtained in experiments and direct numerical simulations of the Navier–Stokes equation. Other VFM models included normal-fluid turbulence generated by the Navier–Stokes equation (54) and a vortex-tube model (55), but, due to limited computational resources, only a snapshot of the normal fluid, frozen in time, was used to drive the superfluid vortices.

In all numerical experiments, after a transient from some initial condition, a statistical steady state of superfluid turbulence is achieved, in the form of a vortex tangle in which  $\mathcal{L}(t)$  fluctuates about an average value independent of the initial condition. It is found (5, 15, 52) that the resulting superfluid energy spectrum  $E_s(k)$  is consistent with KO-41 scaling in the hydrodynamic range  $k_D < k < k_\ell$  (green line of Fig. 1B). This result holds true at zero temperature, where  $\rho_n = 0$  (12, 45), in agreement with the GPE.

Recent analytical (4) and numerical studies (5, 15) of the geometry of the vortex tangle reveal that the vortices are not randomly distributed, but there is a tendency to locally form bundles of corotating vortices, which keep forming, vanish, and reform somewhere else. These bundles associate with the Kolmogorov spectrum: if turbulence is driven by a uniform normal fluid [as in the original work of Schwarz (27) (recently tested in refs. 56)], there are no Kolmogorov scaling nor bundles. Baggaley et al. (5) decomposed the vortex tangle in a polarized part (of density  $L_{\parallel}$ ) and a random part (of density  $L_{\times}$ ), as argued by Roche and Barenghi (57), and discovered that  $L_{\parallel}$  is responsible for the  $k^{-5/3}$  scaling of the energy spectrum, and  $L_{\times}$  for the  $f^{-5/3}$  scaling of the vortex line density fluctuations, as suggested in ref. 20.

**The HVBK Equations.** From a computational viewpoint, the HVBK equations are similar to the Navier–Stokes equation (1). Not surprisingly, standard methods of classical turbulence have been adapted to the HVBK equations, e.g., large eddy simulations (58), direct numerical simulations (24, 59), and eddy-damped quasinormal Markovian simulations (60).

The HVBK equations are ideal to study the coupled dynamics of superfluid and normal fluid in the limit of intense turbulence at finite temperature. Indeed, by ignoring the details of individual vortices and their fast dynamics, HVBK simulations do not suffer as much as VFM and GPE simulations from the wide separation of space and time scales that characterize superfluid turbulence. Moreover, well-optimized numerical solvers have been developed for Navier–Stokes turbulence and they can be easily adapted to the HVBK model. Simulations over a wide temperature range ( $1.44 < T < 2.157$  K corresponding to  $0.1 \leq \rho_n/\rho_s \leq 10$ ) show evidence of strong locking of superfluid and normal fluid ( $\mathbf{u}_s \approx \mathbf{u}_n$ ) at large scales, over one decade of inertial range (59). In particular, it was found that even if one single fluid is forced at large scale (the dominant one), both

fluids still get locked very efficiently. Fig. 1A illustrates velocity spectra generated by direct numerical simulation of the HVBK equations. A clear  $k^{-5/3}$  spectrum is found for both fluid components, at all temperature and large scales.

We have said that the HVBK equations are valid only for  $R \gg \ell$ . To tackle the difficult intermediate regime  $R \approx \ell$ , a quantum constraint can be reintroduced in this model by truncating superfluid phase space for  $|\mathbf{k}| \leq \ell^{-1}$ , causing an upward trend of the low-temperature velocity spectrum of Fig. 1A, which can be interpreted as partial thermalization of superfluid excitations. This procedure also leads to the prediction  $\mathcal{L}D^2 = 4\text{Re}^{3/2}$  (24), which is consistent with experiments and allows to identify the spectrum of  $\mathcal{L}(r)/\kappa$  with the spectrum of the scalar field  $|\omega_s(r)|$ .

Essential simplification of the HVBK equations (Eqs. 9) can be achieved with the shell-model approximation (61–63). The complex shell velocities  $u_m^s(k_m)$  and  $u_m^n(k_m)$  mimic the statistical behavior of the Fourier components of the turbulent superfluid and normal-fluid velocities at wavenumber  $k$ . The resulting ordinary differential equations for  $u_m^{n,s}$  capture important aspects of the HVBK equations (Eqs. 9), including the relation between  $\text{Re}$  and  $\mathcal{L}$ . The red and blue solid lines of Fig. 1B show spectra obtained using a shell model. Because of the geometrical spacing of the shells ( $k_m = 2^m k_0$ ), this approach allows more decades of  $k$ -space than Eqs. 9 (eight decades in  $k$ -space in ref. 63). This extended inertial range allows detailed comparison of intermittency effects in superfluid turbulence and classical turbulence.

### Theory

In this section, we discuss our theoretical understanding of superfluid turbulence, moving from the better-understood to the less-understood case.

**Hydrodynamic Regime.** Large-scale ( $R \gg \ell$ ) motions in  $^4\text{He}$  at  $k \ll k_\ell$  are understood on the ground of the HVBK equations (Eqs. 9). The simpler, pedagogical case of  $^3\text{He}$  (in which the normal fluid is essentially clamped to the walls due to its large viscosity) is discussed in *SI Appendix, section SI-4* (63, 64). In the case of two coupled fluids, the HVBK equations (Eqs. 9) result in a system of energy balance equations for superfluid and normal-fluid energy spectra  $E_s(k)$  and  $E_n(k)$  (65):

$$\frac{d\epsilon_s(k)}{dk} + \Gamma[E_s(k) - E_{ns}(k)] = 0, \quad [10a]$$

$$\frac{d\epsilon_n(k)}{dk} + \frac{\rho_s}{\rho_n} \Gamma[E_n(k) - E_{ns}(k)] = -2\nu_n k^2 E_n(k). \quad [10b]$$

Here, we approximate Eq. 9c as  $\mathbf{f}_{ns} - \Gamma \mathbf{u}_{ns}$ , with  $\Gamma = \alpha \kappa \omega_T$ ,  $\omega_T \equiv \sqrt{\langle |\omega_s|^2 \rangle}$  is the characteristic “turbulent” superfluid vorticity, estimated by  $\langle |\omega_s|^2 \rangle \approx 2 \int_{k_0}^{1/\ell} k^2 E(k) dk$ . Superfluid and normal-fluid energy fluxes  $\epsilon_s(k)$  and  $\epsilon_n(k)$  can be expressed via  $E_s(k)$  and  $E_n(k)$  by differential closure (4). The cross-correlation function  $E_{ns}(k)$  is normalized such that  $\int E_{ns}(k) dk = \langle \mathbf{u}_s \cdot \mathbf{u}_n \rangle$ . If, at given  $k$ , superfluid and normal-fluid eddies are fully correlated (locked), then  $E_{ns}(k) = E_s(k) = E_n(k)$ . If they are statistically independent (unlocked), then  $E_{ns}(k) = 0$ . The following closure equation for  $E_{ns}(k)$  has been proposed (65):

$$E_{sn}(k) = \frac{\rho_s E_s(k) + \rho_n E_n(k)}{\rho [1 + K(k)]}, \quad [10c]$$

$$K(k) \equiv \frac{\rho_n [\nu_n k^2 + \gamma_n(k) + \gamma_s(k)]}{\rho \alpha \omega_T}$$

Here,  $\gamma_n(k) \simeq k \sqrt{k E_n(k)}$  and  $\gamma_s(k) \simeq k \sqrt{k E_s(k)}$  are characteristic turnover frequencies of eddies in the normal and superfluid components. They are related to the effective turbulent viscosity  $\nu_T$  by  $\nu_T k^2 = \gamma(k)$ .

For large mutual friction or/and small  $k$  of interest in this section,  $K(k) \ll 1$ , and Eq. 10c has the physically motivated solution  $E_{sn}(k) = E_s(k) = E_n(k)$  corresponding to full locking  $\mathbf{u}_n(\mathbf{r}, t) = \mathbf{u}_s(\mathbf{r}, t)$ . In this case, the sum of Eq. 9a (multiplied by  $\rho_s$ ) and Eq. 9b (multiplied by  $\rho_n$ ) yields the Navier–Stokes equation with effective viscosity  $\tilde{\nu} = \mu/\rho$ . Thus, in this region of  $k$ -space, one expects classical behavior of hydrodynamic turbulence with KO-41 scaling (3) (up to intermittency corrections discussed in *Theory, Intermediate Regimes*).

**Kelvin Wave Regime.** The range  $R \ll \ell$  acquires great importance only at low temperatures, typically below 1 K in  $^4\text{He}$ , and is relevant to turbulence decay experiments. At higher temperatures, friction damps Kelvin waves, smoothing vortex lines and dissipating superfluid energy. Here, we shall describe only the basic ideas, avoiding the most debated details.

Neglecting the interaction between separate vortex lines (besides the small regions around vortex reconnection events, which will be discussed later), at  $k\ell \gg 1$  superfluid turbulence can be considered as a system of

Kelvin waves with different wavevectors interacting with each other on the same vortex. The prediction that this interaction results in turbulent energy transfer toward large  $k$  (66) was confirmed by numerical simulations in which energy was pumped into Kelvin waves at intervortex scales by vortex reconnections (67) or simply by exciting the vortex lines (68). The first analytical theory of Kelvin wave turbulence (propagating along a straight vortex line and in the limit of small amplitude compared with wavelength) was proposed by Kozik and Svistunov (69) (KS), who showed that the leading interaction is a six-wave scattering process (three incoming waves and three outgoing waves). Under the additional assumption of locality of the interaction (that only compatible wavevectors contribute to most of the energy transfer), KS found that (using the same normalization of other hydrodynamic spectra such as Eqs. 3) the energy spectrum of Kelvin waves is as follows:

$$E_{KW}^{KS}(k) = C_{KS} \Lambda \epsilon_{KW}^{1/5} \kappa^{7/5} \ell^{-8/5} k^{-7/5},$$

$$C_{KS} \sim 1, \quad (\text{KS spectrum}).$$

Here,  $\Lambda \equiv \ln(\ell/\xi) \simeq 12$  or 15 in typical  $^4\text{He}$  and  $^3\text{He}$  experiments, and  $\epsilon_{KW}$  is the energy flux in 3D  $\mathbf{k}$ -space.

Later L’vov–Nazarenko (LN) (70) criticized the KS assumption of locality and concluded that the leading contribution to the energy transfer comes from a six waves scattering in which two wave vectors (from the same side) have wavenumbers of the order of  $1/\ell$ . LN concluded that the spectrum is as follows:

$$E_{KW}^{LN}(k) = C_{LN} \frac{\Lambda \kappa \epsilon_{KW}^{4/3}}{\Psi^{3/2} k^{5/3}},$$

$$\Psi = \frac{4\pi E_{KW}}{\Lambda \kappa^2}, \quad (\text{LN spectrum}),$$

where analytically found  $C_{LN} \approx 0.304$  (71).

This KS vs. LN controversy triggered an intensive debate (see, e.g., refs. 72–78), which is outside the scope of this article. We only mention that the three-dimensional energy spectrum  $E_{KW}(k)$  can be related to the one-dimensional amplitude spectrum  $A_{KW}(k)$  by  $E_{KW}(k) \sim \hbar \omega(k) n(k)$ , where  $\omega(k) \propto k^2$  is the angular frequency of a Kelvin wave of wavenumber  $k$ ,  $\hbar \omega(k)$  is the energy of one quantum, and  $n(k) \sim A_{KW}(k)$  is the number of quanta; therefore, in terms of the Kelvin waves amplitude spectrum (which is often reported in the literature and can be numerically computed), the two predictions are  $A_{KW}^{KS} \sim k^{-17/5} = k^{-3.40}$  (KS) and  $A_{KW}^{LN} \sim k^{-11/3} = k^{-3.67}$  (LN), respectively.

The two predicted exponents ( $-3.40$  and  $-3.67$ ) are very close to each other; indeed, VFM simulations (79) could not distinguish them (probably because the numerics were not in the sufficiently weak regime of the theory in terms of ratio of amplitude to wavelength). Nevertheless, more recent GPE simulations by Krstulovic (80) based on long time integration of Eq. 7 and averaged over initial conditions (slightly deviating from a straight line) support the LN spectrum. The most recent VFM simulations by Baggaley and Laurie (81) observe a remarkable agreement with the LN spectrum with  $C_{LN}^{num} \approx 0.308$  close to  $C_{LN}^{anal} \approx 0.304$ , whereas  $C_{KS}^{num} \approx 0.009$  differs from the KS estimate  $C_{KS} \sim 1$ .

At finite temperature, it was shown in ref. 82 that the Kelvin wave spectrum is suppressed by mutual friction for  $k > k_*$ , reaching core scale ( $k_* \xi \approx 1$ ) at  $T \simeq 0.07$  K and fully disappears at  $T \simeq 1$  K, when  $k_* \ell \approx 1$  (see also refs. 83 and 84).

**Intermediate Regimes.** The regions of the spectrum just below and above the intervortex scale  $k\ell \simeq 1$  is difficult, because both eddy-type motions and Kelvin waves are important, and the discreteness of the superfluid vorticity prevents direct application of the tools of classical hydrodynamics. Nevertheless, some attempts have been made to understand the physics of these spectral regions.

At  $T > 0$ , direct numerical simulations of the truncated HVBK model for  $1 \text{ K} < T < T_\lambda$  confirmed the KO-41 scaling of the two locked fluids at large scales (Fig. 1A). At smaller scales  $k > k_{meso}$ , an intermediate (meso) regime appeared that expands as  $T$  is lowered (24). Apparently, superfluid energy, cascading from larger length scales, accumulates beyond  $k_{meso}$ . At the lowest temperatures, this energy appears to thermalize, approaching equipartition with  $E_s(k) \propto k^2$ , as shown by the red curve of Fig. 1A. The process saturates when the friction coupling with the normal fluid becomes strong enough to balance the incoming energy flux  $\varepsilon(k_{meso})$ . In physical space, this mesoscale thermalization should manifest itself as a randomization of the vortex tangle. The effect is found to be strongly temperature dependent (85):  $k_{meso} \propto k_\ell \sqrt{\rho_n/\rho}$ . Such accumulation of thermalized superfluid excitations at small scales and finite temperature was predicted by an earlier model developed to interpret vortex line density spectra (57).

At  $T = 0$ , comparison (4) of the hydrodynamic spectrum (3) with the LN Kelvin wave spectrum at  $T = 0$  suggests that the one-dimensional nonlinear transfer mechanisms among weakly nonlinear Kelvin waves on individual vortex lines is less efficient than

the three-dimensional, strongly nonlinear eddy-eddy energy transfer. The consequence is an energy cascade stagnation at the crossover between the collective eddy-dominated scales and the single-vortex wave-dominated scales. The total energy flux,  $\varepsilon(k)$  arising from hydrodynamic and Kelvin wave contributions, was modeled (44) by dimensional reasoning in the differential approximation, similar to Eq. 4: for  $k \rightarrow 0$  the energy flux is purely hydrodynamic and  $E(k)$  is given by Eq. 5, whereas for  $k \rightarrow \infty$  it is purely supported by Kelvin waves and  $E(k)$  is the LN Kelvin wave spectrum. This approach leads to the ordinary differential equation  $\varepsilon(k) = \text{constant}$ , which was solved numerically. The predicted energy spectra  $E(k)$  for different values of  $\Lambda$ , shown in Fig. 1C, exhibit a bottleneck energy accumulation  $E(k) \propto k^2$  in agreement with Eq. 5.

Finally, there have been attempts to go beyond KO-41 and address the problem of intermittency. The first numerical study of intermittent exponents (86) did not find any intermittent effect peculiar to superfluid turbulence neither at low temperature ( $T \simeq 0.5T_\lambda$ ,  $\rho_s/\rho_n = 40$ ) nor at and high temperature ( $T \simeq 0.99T_\lambda$ ,  $\rho_s/\rho_n = 0.1$ ), in agreement with experiments (17, 86), all performed on the low-temperature side ( $T \lesssim 0.7T_\lambda$ ,  $\rho_s/\rho_n > 5.7$ ).

Recently, the intermediate temperature corresponding to  $\rho_s \approx \rho_n$  has been explored with shell model simulations (63) with eight decades of  $k$ -space, which allowed detailed comparison of classical and superfluid turbulent statistics. It was found that for  $T$  slightly below  $T_\lambda$ , when  $\rho_s/\rho_n \ll 1$ , the statistics of turbulent superfluid  $^4\text{He}$  appeared similar to that of classical fluids, because the superfluid component can be neglected (SI Appendix, Fig. SI-4, green lines). The same result applies to  $T \ll T_\lambda$  ( $\rho_n \ll \rho_s$ ), as expected due to the inconsequential role played by the normal component (SI Appendix, Fig. SI-4, blue lines). A difference between classical and superfluid intermittent behavior in a wide (up to three decades) interval of scales appeared in the range  $0.8T_\lambda < T < 0.9T_\lambda$  ( $\rho_s \approx \rho_n$ ) (SI Appendix, Fig. SI-4, red lines). The exponents of higher order correlation functions also deviate further from the KO-41 values.

### Outlook

We conclude that, at large hydrodynamic scales  $k_D \ll k \ll k_\ell$ , the evidence for the KO-41  $k^{-5/3}$  scaling of the superfluid energy spectrum that arises from experiments, numerical simulations, and theory (across all models used) is strong and consistent, and appears to be independent of temperature [including the limit of zero temperature in

the absence of the normal fluid (12, 41, 42, 45)]. This direct spectral evidence is also fully consistent with an indirect body of evidence arising from measurements of the kinetic energy dissipation (22, 87–90) and vortex line density decay (91, 92) in turbulent helium flows. The main open issue is the existence of vortex bundles (12, 15) predicted by the VFM, for which there is no direct experimental observation yet. Intermittency effects, predicted by shell models (63), also await experimental evidence.

At the quantum length scales ( $k \gg k_\ell$ ), the situation is less clear. This regime is very important at the lowest temperatures, where, in the absence of friction, the Kelvin waves are not damped, and energy is transferred downscale until the waves are short and fast that it is radiated away. In the weak regime (small Kelvin wave amplitudes compared with wavelength), the proposed KS and LN Kelvin wave energy spectra differ on principles, but their actual numerical difference is small; this has encouraged the development of better numerics, and the most recent GPE and VFM simulations favor the LN scenario. Unfortunately, there is not yet any direct experimental observation of the energy spectrum at such length scales.

What happens at intermediate length scales ( $k\ell \approx 1$ ) is even less understood. The truncated HVBK model (at finite  $T$ ), predicts a temperature-dependent upturning of the spectrum in this region of  $k$ -space. If confirmed by the experiments and the VFM model, this would signify the striking appearance of quantum effects at scales larger than  $\ell$ . Further insight could arise from better understanding of fluctuations of the vortex line density. It is worth noticing that similar macroscopic manifestation of the singular nature of the superfluid vorticity was also predicted for the pressure spectrum (93). In the  $T \rightarrow 0$  limit, the eddy-dominated, three-dimensional Kolmogorov cascade at  $R \gg \ell$  should merge into the one-dimensional Kelvin wave cascade at  $R \ll \ell$  on individual vortex lines. The differential model (44) predicts bottleneck accumulation of energy in the crossover region at  $k\ell \approx 1$  between the two cascades, which explains experimentally observed (94) drop in about 30 times in the effective (Vinen's) viscosity  $\nu'$ . However, the bottleneck has not been directly observed in the experiments yet and lacks the confirmation of the VFM. A related open issue is the role of vortex reconnections in the strong regime of the cascade (large Kelvin wave amplitudes compared with wavelength).

Experimentally, the limited resolution of the anemometer is responsible for the cutoff at high frequency/small scale. Thus, the

observed spectra reveal only the integral scales and the upper half of the inertial scales. To circumvent this limitation, a first approach is to scale up the experiment (at given Reynolds number  $Re_\kappa$ ) so that all characteristic flow scales are magnified and better resolved with existing probes. This approach has been undertaken with the construction of a 78-cm-diameter He-II Von Karman flow in Grenoble (19) that

is one order of magnitude larger than the 1998s reference cell. Another approach is to scale down the probes. For practical reasons, it is difficult to miniaturize much further stagnation pressure probes without a significant decrease of their sensibility or time response. New types of anemometers need to be invented. One possibility arises from the recent development of fully micromachined anemometers based on

the deflection of a silicon cantilever (*SI Appendix, Fig. SI-2*, lower left sketch). Preliminary spectral measurements with a resolution of 100  $\mu\text{m}$  have been recently reported (23).

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