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Coding of Temporal Information by Activity Dependent Synapses

Galit Fuhrmann^{1,2} Idan Segev^{2,3} Henry Markram¹ Misha Tsodyks^{1‡}

¹ Department of Neurobiology, Weizmann Institute of Science, Rehovot 76100, Israel.

² Center for Neural Computation, The Hebrew University, Jerusalem 91904, Israel.

³ Department of Neurobiology, The Hebrew University, Jerusalem 91904, Israel

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‡correspondence should be addressed to:

Misha Tsodyks

Department of Neurobiology

Weizmann Institute of Science, Rehovot 76100, Israel

Tel: 972-8-9342157 **Fax:** 972-8-9344131 **e-mail:** misha@weizmann.ac.il

Abstract

Synaptic transmission in the neocortex is dynamic, such that the magnitude of the post-synaptic response changes with the history of the pre-synaptic activity. Therefore, each response carries information about the temporal structure of the preceding pre-synaptic input spike train. We quantitatively analyze the information about previous inter-spike intervals, contained in single responses of dynamic synapses, using methods from information theory applied to experimentally-based deterministic and probabilistic phenomenological models of depressing and facilitating synapses. We show that for any given dynamic synapse, there exists an optimal frequency of pre-synaptic spike firing for which the information content is maximal; simple relations between this optimal frequency and the synaptic parameters are derived. Depressing neocortical synapses are optimized for coding temporal information at low firing rates of 0.5 - 5Hz, typical to the spontaneous activity of cortical neurons, and carry significant information about the timing of up to four preceding pre-synaptic spikes. Facilitating synapses, however, are optimized to code information at higher pre-synaptic rates of 9 - 70Hz and can represent the timing of over 8 pre-synaptic spikes.

keywords:

1. synaptic depression
2. synaptic facilitation
3. dynamic synapse
4. model
5. information
6. temporal coding

Introduction

Synapses form the communication channels between pairs of interconnected neurons. It has classically been assumed that the main role of a synapse is to notify the post-synaptic neuron that a pre-synaptic spike has occurred. However, this approach may underestimate the role of neocortical synapses in information processing in the brain. Electrophysiological recordings from interconnected pairs of neocortical neurons reveal that synaptic transmission is not static. Rather, synapses typically undergo substantial activity-dependent changes in response to pre-synaptic spike trains so that the magnitude of a post-synaptic response (PSR) undergoes fast changes from one spike to another, depending on the pre-synaptic pattern of inter-spike intervals (ISIs) (Magelby 1987; Thomson and Deuchars 1994; Thomson et al. 1993; Stratford et al. 1996; Markram 1997; O'Donovan and Rinzel 1997; Tarczy-Hornoch et al. 1998, 1999; Zucker 1989; Zador and Dobrunz 1997). This capacity enables synapses to encode temporal information about the timing of *preceding* pre-synaptic spikes in each single PSR.

Particularly, in depressing synapses, a short ISI is most likely to be followed by a small PSR, and a long ISI is likely to be followed by a large, recovered PSR (Fig. 1). Facilitating synapses demonstrate somewhat more complicated dynamics but, in general, the response grows with successive pre-synaptic spikes (Markram et al. 1998).

Fig.1 around here

The magnitude of the PSR is determined not only by the preceding ISIs, but also by the probabilistic nature of neurotransmitter release, resulting in trial-to-trial fluctuations in the post-synaptic response (Korn et al. 1984; Allen and Stevens 1994; Larkman et al. 1997). The primary goal of this theoretical study was to extract the informative component from the total variability of the PSR and thereby to quantitatively explore the capacity of single responses of neocortical synapses to encode temporal information about the timing of prior pre-synaptic spikes. Towards this goal, it is natural to utilize methods from information theory, originally developed for the analysis of communication channels, as indeed synapses are (Shannon and Weaver 1948; Cover and Thomas 1991; Rieke et al. 1997; Borst and Theunissen 1999). Here we apply these tools to both deterministic and probabilistic phenomenological models of activity-dependent synaptic transmission, which reproduce the average response of a neocortical synapse (Fig.1 and Grossberg 1969; Abbott et al. 1997; Varela et al. 1997; Tsodyks and Markram 1997; Markram et al. 1998; Matveev and Wang 2000).

In recent *in vitro* studies it was found that the short-term synaptic dynamics in the neocortex are specific to the types of neurons involved. For example, pyramidal-to-pyramidal connections typically consist of depressing synapses whereas pyramidal-to-interneuron connections typically bear facilitating synapses (Thomson and Deuchars 1994; Reyes et al. 1998; Galarreta and Hestrin 1998; Markram et al. 1998; Gupta et al. 2000; Stevens and Wang 1995). Here we study encoding of temporal information by both these types of synapses. In particular, we focus on the following questions: (i). What is the dependence of information encoded by the synapse on the frequency of the pre-synaptic spikes? (ii) How does the information depend on the biophysical parameters of the synapse? (iii). How does the number of release sites affect information encoding by the synapse? and (iv) How many spike times are represented in a post-synaptic response?

Methods

Phenomenological Models of Activity Dependent Synapses:

The Deterministic Model for Dynamic Synapses.

This model is based on the concept of a limited pool of synaptic resources available for transmission (R), such as, for example, the overall amount of neurotransmitter at the pre-synaptic terminals. Every pre-synaptic spike, occurring at time t_{sp} , causes a fraction U_{SE} (analogous to the probability of release in the quantal model of synaptic transmission) of the available pool to be utilized, and the recovery time constant, τ_{rec} , determines the rate of return of resources to the available pool. In the depressing synapse, the synaptic parameters, U_{SE} and τ_{rec} , are constant and together determine the dynamic characteristics of transmission. The fraction of synaptic resources available for transmission evolves according to the following differential equation,

$$\frac{dR}{dt} = \frac{(1 - R)}{\tau_{rec}} - U_{SE} \cdot R \cdot \delta(t - t_{sp}). \quad (1)$$

The amplitude of the PSR at time t_{sp} is therefore a dynamic variable given by the product $PSR = A_{se} * R(t_{sp})$, where A_{se} is a constant representing the absolute synaptic efficacy corresponding to the maximal PSR obtained if all the synaptic resources are released at once.

The model of a facilitating synapse is an extension of the model for the depressing synapse, with U_{SE} being a dynamic variable increasing at each pre-synaptic spike and decaying to the

baseline level in the absence of spikes,

$$\frac{dU_{SE}}{dt} = -\frac{U_{SE}}{\tau_{facil}} + U1 \cdot (1 - U_{SE}) \cdot \delta(t - t_{sp}), \quad (2)$$

where $U1$ is a constant determining the step increase in U_{SE} and τ_{facil} is the relaxation time constant of facilitation.

The experimental range of U_{SE} and τ_{rec} , obtained by fitting the model responses to recordings from depressing synapses between pyramidal cells in slices of rat somatosensory cortex, is 0.1 - 0.95 and 500 - 1500 ms, respectively (Markram 1997). For facilitating synapses connecting pyramidal cells to inhibitory interneurons, experimental ranges of $U1$, τ_{rec} and τ_{facil} are 0.012 - 0.086, 104 - 694 ms and 550 - 3044 ms, respectively (Markram et al. 1998).

Unless otherwise indicated, the typical set of parameters used throughout is $\{U_{SE} = 0.5, \tau_{rec} = 800ms\}$ for depressing synapses and $\{U1 = 0.03, \tau_{rec} = 300ms, \tau_{facil} = 1800ms\}$ for facilitating synapses.

The Probabilistic Model for Dynamic Synapses

To account for trial-to-trial fluctuations in synaptic responses, we use a *probabilistic* model for dynamic synapses. Many probabilistic models may be used to describe synaptic transmission (e.g. Maass and Zador 1999, Larkman et al. 1997, for a detailed comparison of different models see Matveev and Wang 2000). The model used here is an extension of the classical quantal model of synaptic transmission (del Castillo and Katz 1954; Allen and Stevens 1994; Korn and Faber 1991; Korn et al. 1984; Stevens 1993), with dynamics of transmission included. The synaptic connection is composed of N release sites. At each site there may be, at most, one vesicle available for release, and the release from each of the sites is independent of the release from all other sites. At the arrival of a pre-synaptic spike at time t_{sp} , each site containing a vesicle will release the vesicle with the same probability, U_{SE} . Once a release occurs, the site can be refilled at any time interval dt with a probability dt/τ_{rec} . These two probabilistic processes (release and recovery) can be described by a single differential equation, which determines the probability, P_v , for a vesicle to be available for release at any time t ,

$$\begin{aligned} \frac{dP_v}{dt} &= \frac{(1 - P_v)}{\tau_{rec}} - U_{SE} \cdot P_v \cdot \delta(t - t_{sp}), \\ P_r(t_{sp}) &= U_{SE} \cdot P_v, \end{aligned} \quad (3)$$

where $P_r(t_{sp})$ denotes the probability of release for every release site at the time of a spike, t_{sp} . It is calculated as the product of $P_v(t_{sp})$ and the probability of release, given that the site contains a vesicle (U_{SE}).

To account for the variability observed in the quantal response amplitudes of single CNS synapses (Auger and Marty 2000; Bekkers 1994; Jack et al. 1990; Korn and Faber 1991; Larkman et al. 1997; Redman 1990), we assume that the post-synaptic response to the release of each vesicle (q) is not a constant value. Rather, it is chosen from a Gaussian distribution, with a mean μ and variance σ^2 , which was cut off at the tails. The PSR is therefore determined as the number of vesicles that were released in response to the spike, multiplied by the corresponding q values from each of the release sites as chosen at the time of the spike.

In depressing synapses, U_{SE} is a constant, whereas in facilitating synapses U_{SE} is a dynamic variable which evolves according to the same equation as in the corresponding deterministic model (Eq. (2)).

It is evident by comparing equations (1) and (3) that the probabilistic model is based on the deterministic model. In the probabilistic version, the probability of a vesicle being at a release site (Pv) is analogous to the fraction of resources available for release (R) in the deterministic version, and they both evolve according to the same differential equation. Similarly, the probability for the release of a docked vesicle in the probabilistic version is analogous to the fraction of available resources being released per spike in the deterministic version (U_{SE} in both cases). The advantage of using this specific model for probabilistic synaptic transmission is that not only is it based on the classical quantal model of release, but it is also consistent with the deterministic model in the sense that the average response of the probabilistic synapse converges to the response of the deterministic model. In addition, preliminary experimental results from rat neocortical slices support the validity of this probabilistic model.

Information Theoretic Analysis

Two information theoretic measures are utilized in this study (Shannon and Weaver 1948; Cover and Thomas 1991; Rieke et al. 1997; Borst and Theunissen 1999). The first measure is the *entropy* of a random variable which quantifies the amount of uncertainty one has about its value. For a *discrete* random variable X , which can take any value x from a particular set \mathcal{X} with probability $p(x)$, the entropy $H(X)$ in bits, is calculated as follows,

$$H(X) = - \sum_{x \in \mathcal{X}} p(x) \log_2 p(x). \quad (4)$$

Generally, the wider the probability distribution of the possible values of X , the harder it is to guess the exact value of the variable at a given instance and thus, the entropy is higher.

Relevant random variables for the present study are the magnitude of the PSR and an ISI-vector representing the pre-synaptic spike train.

The second measure is the *mutual information*, $(I(X; Y))$, between a pair of random variables X, Y . It is defined using the *conditional entropy* of X given Y , $(H(X|Y))$,

$$\begin{aligned} H(X|Y) &= \sum_{y \in \mathcal{Y}} p(y) H(X|Y = y) \\ &= - \sum_{y \in \mathcal{Y}} p(y) \sum_{x \in \mathcal{X}} p(x|Y = y) \log_2 p(x|Y = y), \end{aligned} \quad (5)$$

where $p(x|Y = y)$ is the *conditional* probability of $X = x$ given the value y of Y . If X (e.g. the PSR) is statistically correlated to Y (e.g. the preceding ISI), then knowledge of Y reduces the uncertainty about the value of X . In this case, $H(X|Y)$ will be less than $H(X)$, which we refer to as the *unconditional* entropy.

This reduction in uncertainty about a single random variable X , due to the knowledge of another variable, is quantified by the mutual information and is given by the difference between the unconditional and conditional entropies of X ,

$$I(X; Y) = H(X) - H(X|Y). \quad (6)$$

This measure is symmetric: $I(X; Y) = I(Y; X)$, e.g. the information that a pre-synaptic spike train has about the post-synaptic response is equal to the information that the response has about the preceding spike train.

In situations where X is uniquely determined by Y , knowledge of Y dictates a single possible value x of X , such that $p(X|Y = y)$ is non-zero only at a single value x from \mathcal{X} . It then follows that the conditional entropy satisfies $H(X|Y) = 0$, and therefore

$$I(X; Y) = H(X). \quad (7)$$

In general, however, Y is not the only source of variability in the outcomes of X . Hence, knowing the particular value of Y does not uniquely determine the value of X . Therefore, $H(X|Y)$ is positive and $I(X; Y) < H(X)$. Analogously, $I(X; Y) < H(Y)$.

The entropy of a *continuous* random variable (as are the PSR and the ISIs) is computed, in practice, by dividing the range of X into finite bins of a chosen precision and evaluating the resulting probability distribution of the corresponding discrete variable. The computed entropy will therefore depend on the precise choice of the bin size. However, if the bin size is set constant for both conditional and unconditional entropies, then the computed mutual information is independent of the bin size.

Information Analysis of Model Synapses

We apply the formalism of information theory to phenomenological models of activity dependent synapses. In particular, we compute the mutual information between the PSR (X in Eqs. 5-7) and the set of preceding pre-synaptic ISIs (Y). In the deterministic model, which describes the average behavior of a dynamic synapse, the magnitude of a PSR is determined uniquely by the history of the pre-synaptic spike times. Sufficiently long preceding spike trains determine the magnitude of the PSR with arbitrary precision. In this case, the information which PSRs contain about the preceding spike trains (the ISI vector) equals the unconditional entropy of the PSRs (Eq. (7)). This information can, therefore, be calculated from the distribution of all PSRs, $P(PSR)$ (see Eq. (4)). The PSR distribution is evaluated from the histogram of simulated model responses to long pre-synaptic spike trains according to Eq. (1). Since the magnitude of a deterministic synaptic response is a continuous variable, its entropy is strictly speaking infinite. In other words, a deterministic synapse can transmit an infinite amount of information about the timing of the preceding spikes in every PSR. The information becomes finite when the histogram is discretized by choosing a finite bin size, according to the finite precision with which PSRs can be measured. For subsequent comparison with biologically more relevant stochastic models, we are mostly interested in the frequency dependence of the obtained information and not in its absolute values. We therefore chose the bin size consistently in all simulations as 1% of the maximal response amplitude, i.e. $A_{se}/100$. We checked that the qualitative results are not sensitive to the exact choice of the bin size, as long as it is sufficiently small.

In the probabilistic model, the information content of PSRs can be calculated in the following way. Since failure of release from all sites provides the post-synaptic neuron with no information about pre-synaptic events, only release of one or more vesicles is considered. Note that failures do have the potential of transmitting information about the preceding pattern of spikes, but in order to use this information the post-synaptic neuron needs to know that the current pre-synaptic spike has nevertheless occurred. In the absence of a mechanism that ensures this knowledge, responses of zero amplitude cannot be informative. Therefore, the probability for the release of n vesicles (nVes) is calculated according to a normalized binomial distribution, where only the values $1, \dots, n, \dots, N$ (number of release sites) are possible, and which is determined by P_r - the release probability from each site,

$$p(nVes = n|P_r) = C_N^n P_r^n (1 - P_r)^{(N-n)} / C_{Norm}, \quad (8)$$

where $C_N^n = \frac{N!}{n!(N-n)!}$ denotes the binomial coefficient, i.e. the number of combinations of n out of N and C_{Norm} is a normalization factor,

$$C_{Norm} = \sum_{n=1}^N C_N^n P_r^n (1 - P_r)^{(N-n)}. \quad (9)$$

Each vesicle released causes a variable response, therefore the probability density for a PSR magnitude is:

$$f(PSR = x|P_r) = \sum_{n=1}^N p(nVes = n|P_r) * G(x, n\mu, \sqrt{n\sigma^2}), \quad (10)$$

where

$$G(x, \mu, \sigma) = \begin{cases} 0 & x < 0, x > 2\mu \\ \frac{1}{C_G \cdot \sqrt{2\pi\sigma^2}} \exp^{-\frac{(x-\mu)^2}{2\sigma^2}} & otherwise \end{cases} \quad (11)$$

and

$$C_G = \int_0^{2\mu} \frac{1}{\sqrt{2\pi\sigma^2}} \exp^{-\frac{(x-\mu)^2}{2\sigma^2}} dx \quad (12)$$

In the simulations we chose σ/μ to be 0.4. We emphasize that for a dynamic synapse, P_r changes from spike to spike according to Eq. (3). Equation (10), therefore, expresses the conditional probability of PSRs, given a sufficiently long spike train, since each spike train gives rise to a particular value of P_r . The corresponding unconditional probability density is computed by averaging over the results of Eq. (10), for all possible values of P_r ,

$$f(PSR = x) = \sum_{P_r} p(P_r) f(PSR = x|P_r). \quad (13)$$

The distribution, $p(P_r)$ above is obtained by simulating Eq. (3) for very long spike trains.

The mutual *information* between PSRs and the pre-synaptic spike trains, $I(PSR; ISI_s)$, is then computed as in Eqs. (4-6), where X and Y are replaced by PSR and P_r , respectively. Due to the probabilistic release, the information will always be less than the unconditional entropy of the responses. We may quantify the impact of probabilistic release on information coding using the *information efficacy* measure, which we define as the ratio between the information and the unconditional entropy of PSRs. While in the deterministic model the information efficacy is always unity, it is less than unity for the probabilistic model.

Results

Coding of Information by Depressing Synapses

Information theoretic analysis was applied to models of neocortical depressing synapses in order to compute the information contained in a post-synaptic response (PSR) about the preceding pattern of pre-synaptic spikes (Fig. 1, Tsodyks and Markram 1997, Markram et al. 1998). Both deterministic and probabilistic models were used. Comparing these two types of models elucidates the impact of probabilistic release on the information content of synaptic responses. In both cases, the pre-synaptic inputs were Poisson spike trains, which were shown to closely mimic the spike activity of neocortical neurons *in vivo* (Softky and Koch 1993). The relevance of Poisson spike trains for temporal coding may be particularly high in light of the fact that their ISI distribution maximizes the entropy of ISIs for a given firing rate (Rieke et al. 1997). The interesting issue of how information coding is affected by deviations from the Poisson statistics (see for example Baddeley et al. 1997) is left for a future study.

Dependence of information on the pre-synaptic frequency.

The dependence of temporal information encoded by the synapse on the average frequency of the presynaptic spike train is shown in Fig. 2. The results are presented for the deterministic model (Fig. 2A) and the probabilistic model (Fig. 2B,C) with five release sites. For comparison, the dashed line in Fig. 2A indicates the information contained in a PSR about the timing of the current spike that triggered this PSR, assuming that the synaptic delay is randomly distributed between 0-3 ms (Markram et al. 1997a). Although this value is the dominant term in the information content of a PSR, it is of no relevance to temporal coding of pre-synaptic spike patterns since it is not affected by the timing of *preceding* spikes, which is the focus of this study.

Fig.2 around here.

The main difference between the temporal information content of PSRs of a deterministic synapse (Fig. 2A) and a probabilistic synapse (Fig. 2B) is in their absolute value of information, which is two orders of magnitude larger in the deterministic synapse, at the chosen histogram bin size. This difference is expected, as probabilistic synapses with just a few release sites are far less reliable than the corresponding deterministic synapses. However, despite the difference

in absolute values, the information encoded by both deterministic and probabilistic synapses about the timing of pre-synaptic spikes, peaks at the same frequency (vertical dotted line), which we denote as the *optimal frequency*, F_{opt} . This optimum is expected because at very low frequencies the responses are recovered and, therefore, there is no information in the magnitude of PSRs. At very high frequencies, the responses are all depressed and, again, information about the timing of the pre-synaptic spikes is lost. Moreover, plotting information efficacy as a function of the pre-synaptic firing frequency (Fig. 2C), clearly shows that the probabilistic effect is not uniform over all frequencies. Rather, the probabilistic component of transmission causes maximal information reduction at very low and very high frequencies. The effect is minimal at the optimal frequency for encoding, such that at this frequency, not only is the absolute information encoded maximal, but also the information efficacy of the synapse is optimal. We therefore conclude that, at the optimal frequency, the synaptic dynamics are used most efficiently for encoding temporal information by single PSRs.

Another quantity to be considered is the “information rate,” i.e. the information encoded per time unit, rather than per PSR (Fig. 2D). Exact calculation of the information rate is nontrivial, since it must take into account the possible redundancy in the amplitudes of subsequent PSRs in terms of information about the previous spikes. The upper bound for the information rate can be estimated by ignoring this redundancy, as the product of pre-synaptic frequency and the information per PSR. We found that beyond the optimal pre-synaptic frequency, the decrease of information gradually approaches the curve inversely proportional to the frequency (Fig. 2B). This observation indicates that the information rate saturates at high frequencies, i.e. that further increase of the pre-synaptic rate does not provide more information to the post-synaptic neuron (Fig. 2D). It is interesting to note that the frequency at which saturation occurs is close to the *limiting frequency* of the depressing synapses, as defined by Tsodyks and Markram 1997. Beyond the limiting frequency, the synapse loses sensitivity to the average firing rate. Both the optimal frequency defined above and the limiting frequency exhibit the same dependence on synaptic parameters.

Because each neocortical synapse is characterized by unique response dynamics (Tsodyks and Markram 1997), different synapses would be expected to have different optimal frequencies for information encoding. We therefore repeated the analysis for different combinations of synaptic parameters, all in the physiological range. The empirical results showed that a very good approximation for the optimal frequency for temporal information encoding is given by

$$F_{opt} = \frac{1}{(U_{SE} * \tau_{rec})} Hz. \quad (14)$$

We conclude that, if one knows the parameters underlying the average behavior of a depressing synapse, one can predict the firing rate to which the synapse (both if deterministic or probabilistic) is best tuned in terms of maximal information encoding.

For synaptic parameters within the physiological range (see Methods), F_{opt} for depressing pyramidal-pyramidal synapses ranges between 0.7-20 Hz, with the majority of cases below 5Hz. This result may be related to the fact that most of the time neocortical neurons are active at low, spontaneous, firing rates of a few spikes per second. Only rarely do they reach higher rates (Abeles 1991).

Dependence of temporal information encoding on synaptic parameters.

We next considered the case of a pre-synaptic spike train with a fixed frequency and studied the dependence of the encoded information on synaptic parameters. We observed that synapses with different parameter combinations differ in their capacity for information encoding at a given pre-synaptic firing rate. We therefore studied whether there exists an optimal combination of synaptic parameters that maximizes information encoding at a given input frequency.

First we analyzed the dependence of the information on the time constant of recovery from depression, τ_{rec} , for a fixed value of U_{SE} . The plots of the encoded information as a function of τ_{rec} for a fixed frequency F , have a clear peak (Fig. 3A). Thus, at any pre-synaptic average firing rate, there is an optimal value of τ_{rec} (*optimal* τ_{rec}), which maximizes information encoding. Moreover, by repeating the analysis for many different firing frequencies (F) and for many values of U_{SE} , we found that *optimal* τ_{rec} is well approximated by the following relation, analogous to Eq. (14):

$$\tau_{rec} = \frac{1}{U_{SE} F} sec. \quad (15)$$

In other words, in order to optimally encode the information about the pre-synaptic ISIs, the time constant of recovery from depression should be tuned in accordance with the frequency of the input spike train, such that higher firing frequencies require faster recovery from depression.

The dependence of the optimal value of τ_{rec} on U_{SE} is summarized in Fig. 3B for a firing rate of 2Hz. In agreement with Eq. (15), there is a clear trade-off between U_{SE} and τ_{rec} values, such that the larger the U_{SE} , the smaller τ_{rec} should be for optimal encoding. The optimal values for τ_{rec} , calculated for U_{SE} ranging from 0.1-0.9, are in broad agreement with experimental data obtained for pyramidal-pyramidal connections in neocortical slices (160-1500 ms) (Markram

1997).

Fig.3 around here

Figure 3C depicts the effect of the U_{SE} parameter on the encoded information. The information grows monotonically with U_{SE} , such that the optimal value for U_{SE} is always 1, i.e., the maximal value it may attain. The same result holds for all frequencies (not shown). Since the experimental values for U_{SE} are intermediate between 0 and 1, this finding suggests that the value of U_{SE} is not tuned to maximize information encoding, but is determined by some other factor. In contrast, the range of optimal values of τ_{rec} lies within the range found in neocortical slice preparations, suggesting that in neocortical depressing synapses, τ_{rec} is tuned for optimizing information encoding by the synapse.

Dependence of temporal information encoding on the number of release sites.

Synaptic connections between pyramidal neurons typically have several contacts (at least 3, with an average of around 5-6, Markram et al. 1997b; Larkman et al. 1997). The results presented above were obtained for synapses with five release sites. To examine what bearing the variable number of release sites may have on information encoding, we studied the dependence of information contained in PSRs on the number of release sites in the probabilistic model. Repeating the calculation described above for a variable number of release sites, we found the same qualitative results as presented in Figures 2 and 3 (not shown). However, the actual values of information strongly depend on the number of release sites.

Fig.4 around here

In Figure 4A, temporal information encoded by a synapse about the pre-synaptic ISIs is plotted as a function of the number of release sites. The information grows steadily with the number of release sites. This is consistent with the fact that for infinitely many release sites, the model behaves as a deterministic one, for which the information diverges to infinity (see Methods). As can be seen in Fig. 4B, not only the absolute values of information increase with the number of release sites, but also the information efficacy, i.e. the fraction of the informative component within the total entropy of the responses (see Methods). This dependence was found for a wide variety of model parameters that lie within the physiological range. The advantage of having multiple release sites from an information theoretic point of view was previously observed in models of linear synapses (Zador 1998; Manwani and Koch 1999). Our results suggest

that if a synapse has a certain amount of neurotransmitter at its disposal, then, in terms of information coding, it is advantageous to divide the neurotransmitter into more release sites than putting more of it in each synaptic vesicle. In both cases, the average response would be the same. However, in the first case, the trial-to-trial fluctuations decrease and information efficacy therefore increases.

How many spike times are represented in a post-synaptic response?

So far we showed that a single synaptic response carries information about the timing of preceding pre-synaptic spikes. It is clear, however, that a synapse can only “report” about the timing of a finite number of such spikes. Hence we wondered how many spike times are represented in a PSR.

To address this question, we calculated the mutual information between PSRs and the times of preceding pre-synaptic spikes in the input train. In Fig. 5, the information content of PSRs is plotted against the sequential number of the preceding pre-synaptic spike (a larger number in abscissa implies that the spike occurred further back in time). In the case shown, the information in the PSR about the two most recent spikes is more or less the same, but information decreases rapidly for spikes that occurred further back in time. From the analysis of different model synapses with parameters in the physiological range, we found that the part of the curve in which the information about preceding spikes is comparable to the information about the timing of the most recent spike extends up to 4 preceding spikes. This finding suggests that depressing synapses can encode information about the timing of at most 4 preceding spikes (see Discussion).

Fig.5 around here

Coding of Information by Facilitating Synapses

The information analysis was also performed for models of facilitating synapses. The main results are similar to those found for depressing synapses. As in depressing synapses, in facilitating synapses each post-synaptic response carries information about the timing of preceding spikes. The amount of information contained in a single response depends on the synaptic parameters, as well as on the pre-synaptic firing rate. For each facilitating synapse there is an optimal input frequency at which the information contained in the synaptic response is maximal

(see Fig. 6A).

For facilitating synapses with parameters in the physiological range, the optimal frequency of information coding lies between 9-70Hz. The optimal frequency, F_{opt} , of a facilitating synapse tends to be higher than that of depressing synapses. An extensive analysis of facilitating synapses with parameters in the physiological range shows that F_{opt} is proportional to the expression,

$$\frac{1}{\sqrt{(U1 * \tau_{rec} * \tau_{facil})}}. \quad (16)$$

This expression was previously defined as the *peak frequency* of a facilitating synapse, i.e. the frequency at which the steady-state PSR is maximal (Markram et al. 1998). Thus, the optimal frequency for information coding in a facilitating synapse is proportional to the frequency at which the synaptic efficacy is maximal.

We have further observed that, as in the case of depressing synapses, the information contained in a PSR of a facilitating synapse is proportional to the number of release sites (Fig. 6B). Both the information and the information efficacy (not shown) increase nearly linearly with the number of release sites.

Fig.6 around here

Figure 6C depicts the mutual information between the PSR of a probabilistic facilitating synapse and the timing of preceding pre-synaptic spikes, plotted as a function of the sequential number of the spike in the past. As in the case of depressing synapses, the information decreases for spikes that have occurred far in the past. However, the main difference between depressing and facilitating synapses with parameters within the physiological range is that the region of the curve in which the computed information is comparable to the information contained about the timing of the most recent spike (or even larger) is more extended in facilitating synapses. This implies that while a depressing synapse carries significant information about the timing of at most 4 preceding spikes, a facilitating synapse is capable of representing the timing of at least 8 preceding spikes.

Discussion

The present theoretical study explores the capacity of single responses of neocortical synapses to encode temporal information about the timing of pre-synaptic spikes. This capacity results

from the short-term activity-dependent changes in the amplitudes of the post-synaptic response that characterize different types of synaptic connections (Thomson and Deuchars 1994; Stevens and Wang 1995; Reyes et al. 1998; Galarreta and Hestrin 1998; Markram et al. 1998; Gupta et al. 2000; Hempel et al. 2000). The activity-dependence of synaptic transmission can be captured by phenomenological models characterized by a small number of parameters, each of which has a clear functional meaning, such as the probability of release and time constants of recovery from depression and facilitation (Abbott et al. 1997; Varela et al. 1997; Tsodyks and Markram 1997; Markram et al. 1998). The physiological ranges of these parameters have been identified for several major types of neocortical synapses in slice preparations (Tsodyks and Markram 1997; Markram et al. 1998; Gupta et al. 2000). This enables one to quantitatively estimate the information content of post-synaptic responses and analyze the dependence of the information on the synaptic parameters and input conditions. Here, we have presented the results for two types of neocortical connections, depressing synapses between pyramidal neurons and facilitating synapses between pyramidal neurons and interneurons.

One of the main results of the analysis is that, for every synaptic connection, the information contained in the post-synaptic response is maximal for a particular input frequency, unique to each synapse. For depressing synapses, this optimal frequency was found to be surprisingly low, typically below 5 Hz, i.e. at the range of spontaneous activity of *in vivo* neocortical networks (Abeles 1991). It is usually assumed that the spontaneous activity of cortical networks does not carry significant information, in contrast to the evoked activity characterized by much higher firing rates. Several recent studies regard this spontaneous activity as a "background" that provides a "context" for interpreting the evoked input (Bernander et al. 1991; Rapp et al. 1992; Ho and Destexhe 2000). Our finding that depressing synapses in the neocortex are actually "tuned" to encode information at the spontaneous rates indicates that old notions of what is "noise" in brain activity may have to be revised. Namely, that important information processing takes place during the spontaneous activity of cortical networks (Arieli et al. 1996). However, the resolution of this issue may have to wait for *in vivo* studies of synaptic transmission. As the optimal frequency for information encoding via depressing synapses was found to be inversely proportional to the time constant of recovery from depression, finding similar time constants *in vivo* and *in vitro* would confirm our suggestion. In contrast, finding significantly shorter time constants *in vivo* would imply higher optimal frequency and would thus weaken our conjecture regarding the importance of the spontaneous activity.

As a complementary issue, we also analyzed the dependence of the encoded information

on synaptic parameters for a fixed pre-synaptic frequency. Important differences between the effects of these parameters emerged. For the U_{SE} parameter, representing the probability of neurotransmitter release, we found that optimal encoding always occurs at the highest possible value, i.e. at $U_{SE} = 1$. On the other hand, for the time constant underlying recovery from depression τ_{rec} , intermediate values were found to maximize the information content. The range of optimal values for τ_{rec} , calculated for low pre-synaptic frequency, was found to be in broad agreement with experimental data. These results indicate that the exact value of the usage parameter for a given synaptic connection is not tuned to maximize the information coding. Rather, plasticity of this parameter was found to occur on the basis of temporal relationship between the activity of pre- and post-synaptic neurons in a Hebbian manner (Markram and Tsodyks 1996; Markram et al. 1997b; Stevens and Wang 1994). On the other hand, the recovery time constant may well be tuned to optimize the information coding in a non-Hebbian manner, according to the typical frequency of pre-synaptic neurons. We found an inverse relationship between the optimal value of recovery time constant and the usage parameter. This prediction could be tested experimentally.

Finally, we analyzed the dependence of the information coding on the number of synaptic release sites. As a general rule, we found that increasing the number of release sites always improves the information efficacy of the synapse by reducing the trial-to-trial fluctuations of the responses. Indeed, synaptic connections between pyramidal neurons usually have several contacts, with nonuniform distribution of the number of contacts that is biased towards higher values (Larkman et al. 1997; Markram et al. 1997a). We therefore suggest that not only is the dynamic time constant adapted to optimize coding of temporal information, but even the morphological properties of synaptic connections may be determined according to principle of optimizing the information content of post-synaptic responses.

Several interesting differences between depressing and facilitating synapses have emerged from our analysis. In particular, facilitating synapses are tuned to significantly higher frequencies, more reminiscent of the evoked activity of pyramidal cells. Facilitating synapses were also shown to code information about longer spike patterns. Mathematically, both of these properties of facilitating synapses result from the low values of U_{SE} parameter, i.e. low initial probability of release. The functional significance of these results will have to be elucidated in future studies. One can speculate that the flow of temporal information in the neocortex recruits interneurons only when the activity is driven by sensory stimuli, rather than during spontaneous activity.

The theoretical analysis presented here complements a previous study, which analyzed the ability of depressing synapses to signal the population firing rates of pre-synaptic neuronal ensembles (Tsodyks and Markram 1997). In particular, we have shown that beyond the optimal frequency of depressing synapses, the instantaneous rate of temporal information gradually saturates. This saturation occurs near the *limiting frequency* of the synapse, defined as the frequency above which it cannot transmit information about the pre-synaptic rates (Tsodyks and Markram 1997). The present finding therefore supports the idea that the functional significance of the limiting frequency is that it defines the operational range for depressing synapses. The same is true for facilitating synapses, in which the optimal frequency given by Eq. (16) is proportional to the *peak frequency* of these synapses, at which the average amplitude of PSRs is maximal (Markram et al. 1998).

The ability of dynamic synapses to encode information about the timing of preceding pre-synaptic spikes supports the suggestion that a temporal code is used for information processing in the neocortex (Rieke et al. 1997; O'Donovan and Rinzel 1997; Ferster and Spruston 1995; Senn et al. 1998; Richmond and Optican 1990; Tovee et al. 1993). This study focused on the ability of neocortical synapses to encode temporal information at the level of a single isolated pre-synaptic spike train. Since neocortical neurons have numerous synaptic contacts, an important challenge for future work is to analyze the ability of dynamic synapses to signal temporal patterns in the presence of many pre-synaptic neurons impinging on the post-synaptic cell (Abeles 1991; Hopfield 1995).

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Figure 1: *Synaptic transmission is history-dependent.* Average post-synaptic potential generated in response to a repeated pre-synaptic spike pattern (bottom) at a frequency of 23 Hz, measured experimentally in an interconnected pair of pyramidal neurons (top) and computed with the model of a depressing synapse (middle). Model parameters: $U_{SE} = 0.55$ and $\tau_{rec} = 450ms$ (see Methods). From Tsodyks and Markram (1997).

Figure 2: *Temporal information encoded by depressing synapses depends on pre-synaptic firing rate.* **A.** Information contained in PSRs of a deterministic depressing synapse about the pre-synaptic ISIs, plotted as a function of the pre-synaptic firing rate. Optimal frequency for encoding (in this case 2Hz) is defined as the frequency for which temporal information encoding is maximal. The dashed line indicates the information contained in a PSR about the timing of the current spike. It is computed according to the following formula, under the assumption that synaptic delay is uniformly distributed in the range of 0-3 ms: $I(PSR; t_{spike}) = \ln 2 - \log_2(0.003 * F)$, where F is the pre-synaptic firing rate. **B.** As in **A**, for the probabilistic synaptic model with five release sites. The dotted line ($1/F$) is inversely proportional to the firing rate. **C.** Information efficacy of PSRs of a probabilistic synapse plotted versus the frequency of input spike train. **D.** Information rate of a probabilistic synapse plotted versus the frequency of input spike train, computed under the simplifying assumption that the information contained in consecutive PSRs is independent of one another. Model parameters: $U_{SE} = 0.5$ and $\tau_{rec} = 800ms$ (see Methods). Poisson spike trains were used as input in all cases.

Figure 3: *Temporal information encoded by depressing synapses depends on synaptic model parameters.* **A.** Dependence of encoded information on the time constant of recovery from depression (τ_{rec}). The parameter U_{SE} was fixed at 0.5. Optimal τ_{rec} is the value for which information is maximal. **B.** Dependence of the optimal τ_{rec} on U_{SE} . The optimal time constant for recovery from depression is inversely related to U_{SE} (Eq. (15)). **C.** Dependence of encoded information on U_{SE} . The parameter τ_{rec} was fixed at 800 ms. Maximal information is obtained for $U_{SE} = 1$. Results are for the probabilistic model of a depressing synapse with one release site. In all cases, Poisson spike trains with an average frequency of 2Hz were used as input.

Figure 4: *Temporal information encoded by probabilistic depressing synapses depends on the number of release sites.* **A.** Information contained in the PSR plotted as a function of the number of release sites. The information grows with the number of release sites. **B.** Information efficacy of the PSR as a function of the number of release sites. Poisson spike trains with an average frequency of 2Hz were used as input.

Figure 5: *The timing of only a few spikes is represented in the PSR.* Probabilistic depressing

synapse with five release sites: Mutual information between a PSR and the timing of a preceding spike, plotted as a function of the sequential number of the preceding pre-synaptic spike (larger numbers for spikes that occurred further back in time).

Figure 6: *Temporal information encoded by facilitating synapses.* **A.** Information contained in the PSR of a facilitating synapse about the presynaptic ISIs, plotted as a function of the pre-synaptic firing rate. A probabilistic synapse with a five release sites was modeled. Optimal frequency is obtained at about 20 Hz. **B.** Information contained in the PSR of a probabilistic facilitating synapse as a function of the number of release sites. Dotted line is for the optimal frequency of 20 Hz, whereas the continuous line is for input frequency of 2 Hz. **C.** The number of preceding spike times represented in the PSR. Mutual information between a PSR and the timing of a preceding spike, plotted as a function of the sequential number of the preceding pre-synaptic spike (larger numbers for spikes that occurred further back in time). A probabilistic facilitating synapse with 15 release sites was simulated. Model parameters: $U1 = 0.03$, $\tau_{rec} = 300ms$, $\tau_{facil} = 1800ms$ (see Methods). The average frequency of the pre-synaptic spike train was 2Hz.

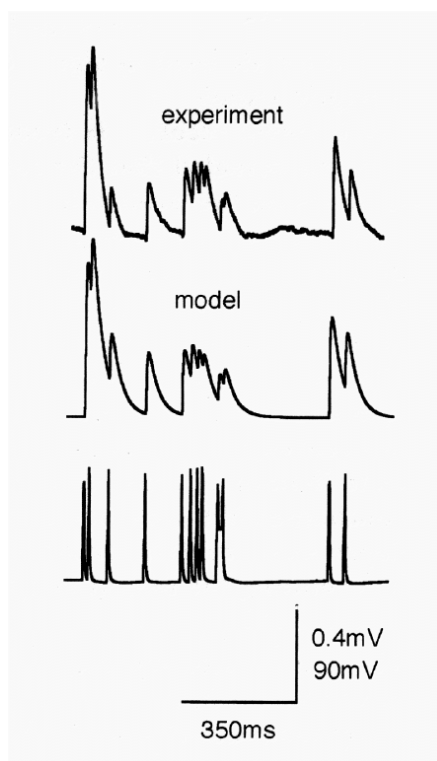


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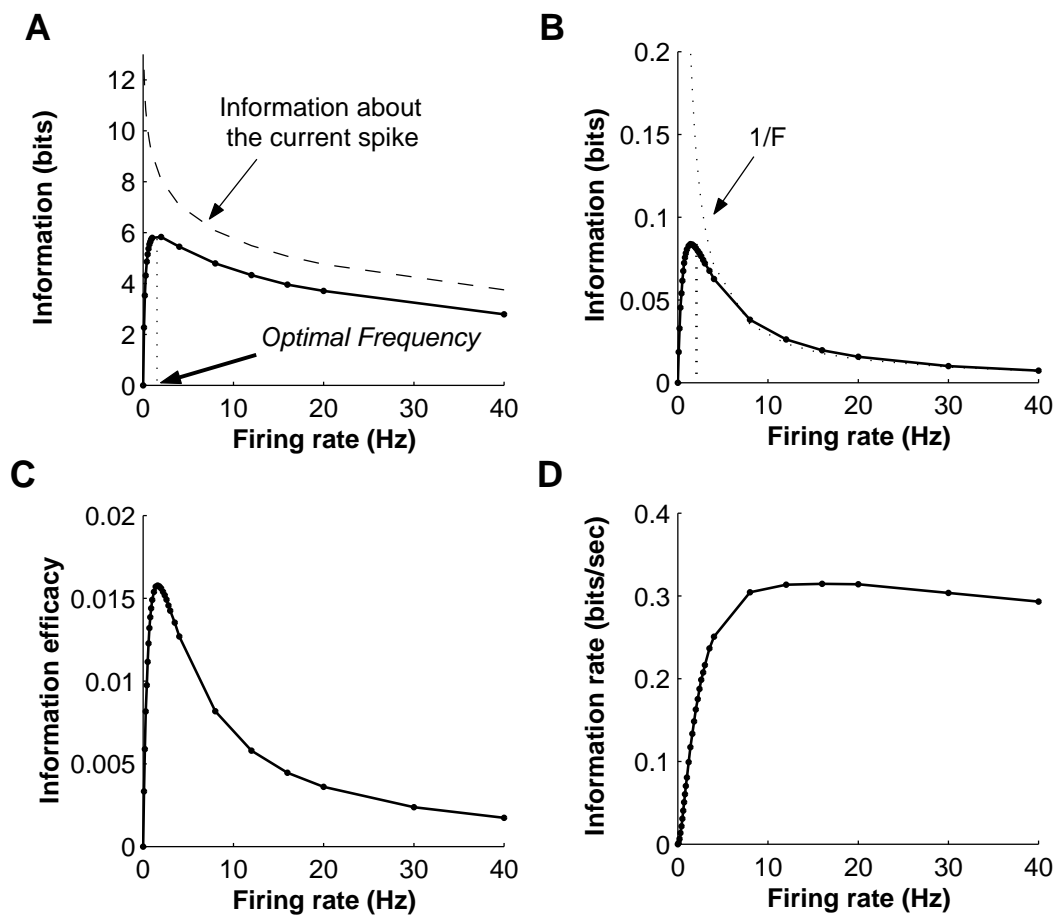


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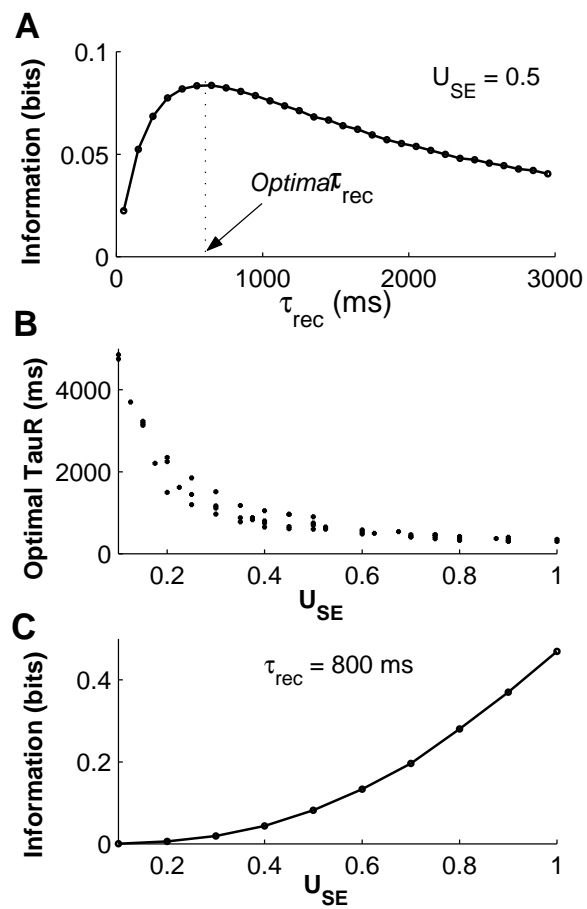


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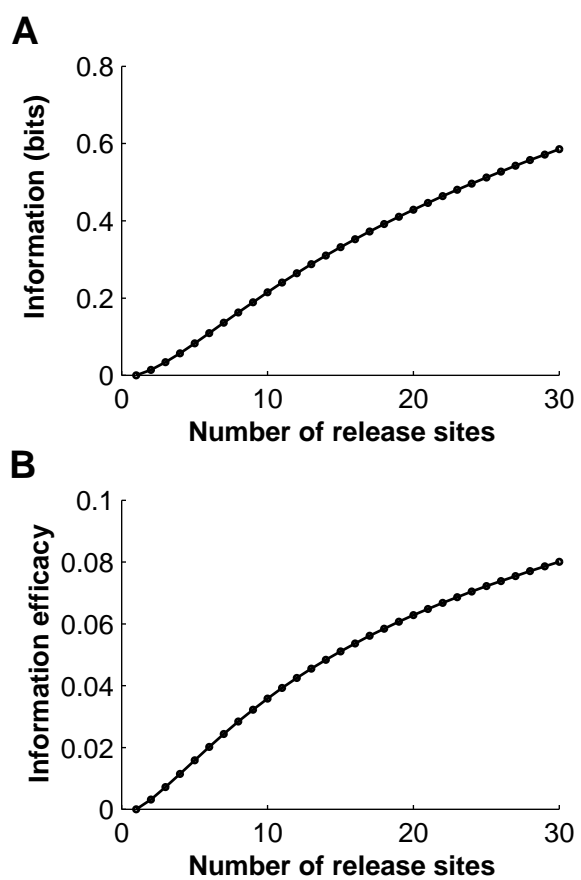


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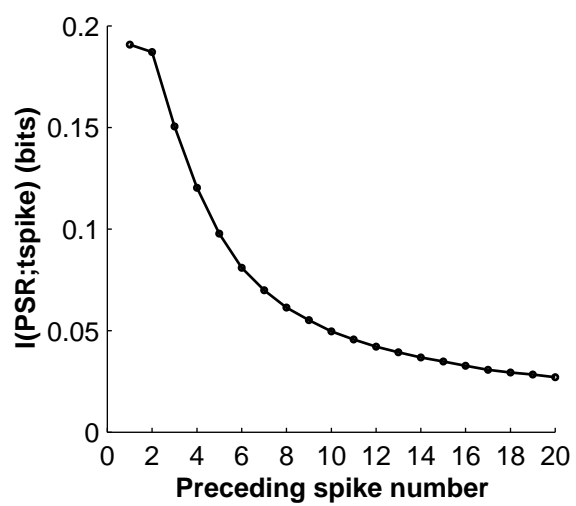


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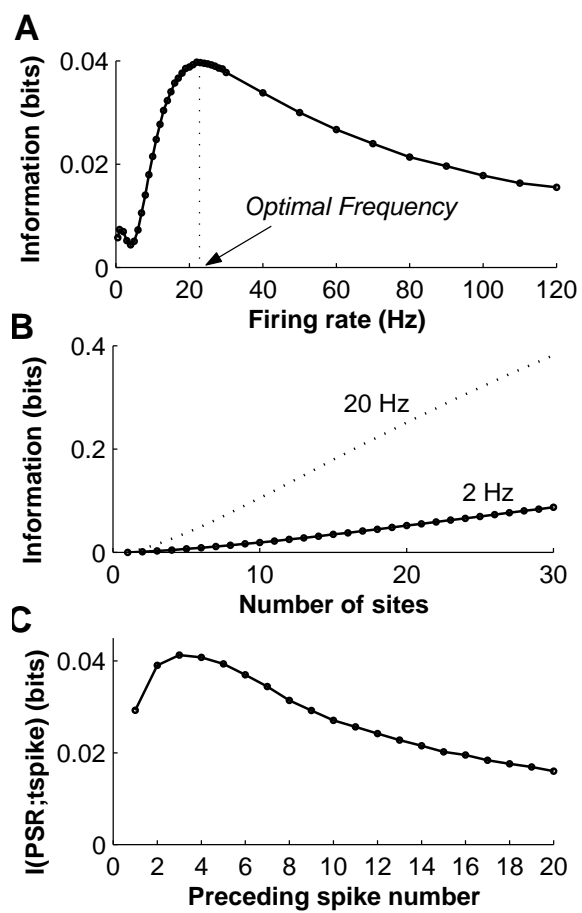


Figure 6: