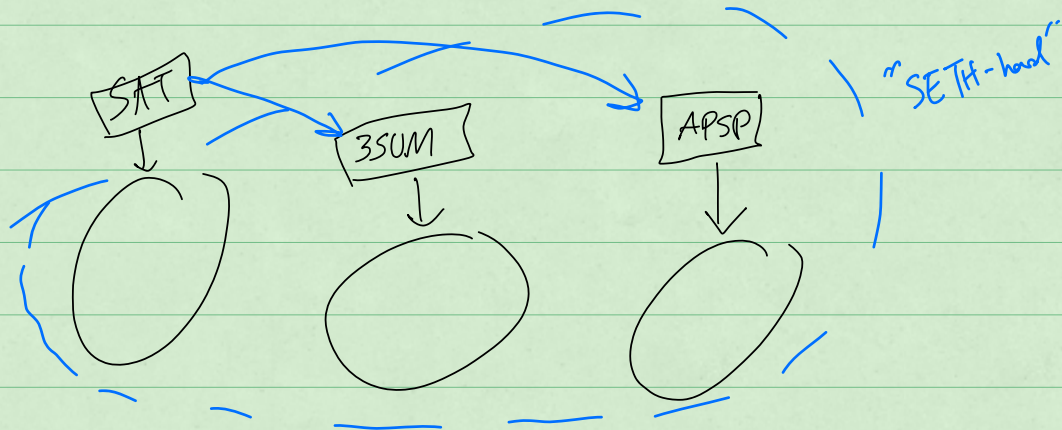


## W9: Barriers for Reductions

### Big open Questions:

1. SAT  $\rightarrow$  3SUM? "3SUM in  $n^{1.99}$  time then SETH is false"?
2. SAT  $\rightarrow$  APSP? "APSP in  $n^{2.99}$  time then SETH is false"?
3. SAT  $\rightarrow$  Max-Flow? " $\tilde{O}(m)$  for MaxFlow then SETH is false"?



### Max Flow algs:

$m \cdot n$

[Dinitz '70]

$m n^{2/3}$

[Goldberg-Rao 90's]

$m n^{1/2}$

[Lee-Sidford '14]

$m+n^{1.5}$

[vdB et al. '21]

open:  $\tilde{O}(m)$

Today: A common barrier for proving these results.

high level idea: Find a measure that separates them.

Is Factoring NP-hard?

Factoring: given  $N$  and  $i$ , let  $p$  be the smallest prime that divides  $N$ . Is the  $i$ -th bit of  $p$ , 1?

Thm: Factoring is in  $NP \cap CoNP$ .

Cor.:  $NP \neq CoNP \Rightarrow NP \neq NP \cap CoNP \Rightarrow$  Factoring is not  $NP$ -Complete  
*Conjecture that gives a barrier for reductions.*

$NP \neq CoNP$ : " $\overline{k\text{-SAT}}$  is not in  $NTIME[\text{poly}(n)]$ ."

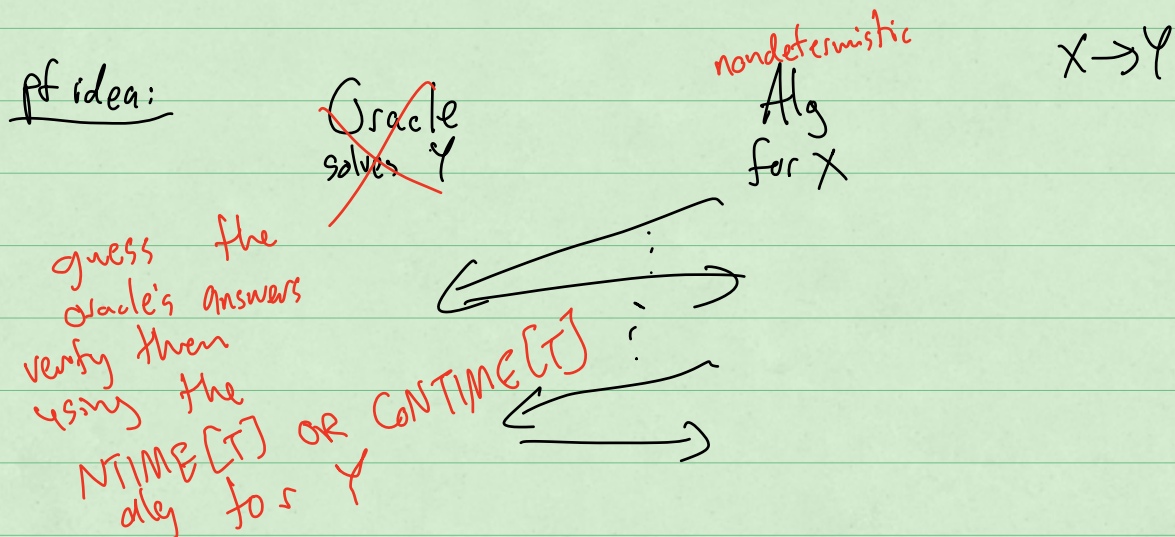
"Strong Hypothesis"

$\forall \epsilon \exists K \dots$   
NSETH: " $\overline{k\text{-SAT}}$  is not in  $NTIME[2^{(1-\epsilon)n}]$ "

Prover  $\quad \varphi \quad$  Verifier  
"  $\varphi$  is not satisfiable "  $\xrightarrow{\text{deterministic}}$  *only spends  $2^{(1-\epsilon)n}$  time.*

NSETH: this is impossible.

Main Thm: If  $X \in \text{NTIME}[T] \cap \text{CoNTIME}[T]$   
 and there is a (deterministic) f.g. reduction  
 proving that  $O(T)$  for  $X$  refutes  $\text{SETH}$   
 then  $\text{NSETH}$  is false.



Thm: If  $X \in \text{NTIME}[T] \cap \text{CoNTIME}[T]$   
 then, assuming  $\text{NSETH}$ ,  
 we cannot prove any  $\Omega(T^{1+\epsilon})$  l.b.  
 for  $X$  under  $\text{SETH}$  via (det) fine  
 grained reductions from SAT.

	LCS	Max Flow ( $m=n$ )	
det:	$n^2$	$n^{1.5}$	$m+n^{1.5}$
		$\leftarrow n^{1.7}$	
NACON:	$n^2$	$n$	

Time

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Thm: Max Flow is in  $\text{NTIME}[m] \cap \text{CoNTIME}[m]$ .

Cor. No  $m^{\epsilon}$  l.b. for Max Flow  
(assuming NSETH and via fig. r)

pf: flow  $\geq \tau$ : guess the flow (of wt  $\geq \tau$ )  
flow  $\leq \tau$ : guess the cut (of wt  $\leq \tau$ )

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Thm: 3SUM is in  $\text{NTIME}[n] \cap \text{CoNTIME}[n^{1.5}]$ .

Cor. no l.b. above  $\Omega(n^{1.5})$  for 3SUM under SETH.

pf: (1) "yes": guess a triple and check.

(2) "no"

idea: use nondet. to reduce universe size.

3SUM in  $\tilde{O}(U+n)$  via FFT.

Alg:

- guess prime  $p \in [n^{1.5}, n^{1.5} \lg n]$

- use FFT to compute:

$$z = |S_p| = \left| \{ a, b, c \in S \text{ st. } a+b+c=0 \pmod{p} \} \right|$$

- if  $z > n^{1.5} \lg n$  - reject

- if  $z \leq n^{1.5} \lg n$  : guess  $z$  triples  $(a, b, c)$ .

- accept iff  $\forall$  triples:

$$a+b+c=0 \pmod{p}$$

$$\text{but } a+b+c \neq 0.$$

Correctness:

Lemma 1:  $\nexists$   $3SUM = \text{yes}$  ( $\exists a, b, c \in S$  st.  $a+b+c=0$ )  
then we never accept

Pf:

$$(a, b, c) \in S_p \quad \forall p.$$

$\Rightarrow$  we cannot find  $|S_p|$  triples  
with  $a+b+c \neq 0$  (but  $a+b+c=0 \pmod{p}$ )

$\exists$  triples st.  $a+b+c=0 \pmod{p}$

&  $a+b+c \neq 0$

all are in  $S_p$ .

Lemma 2: IF  $\exists \text{SUM} = \text{no}$  (no  $a+b+c=0$ )

then there is a guess that makes  
the alg accept.

pf:

Claim:  $\exists p \in [n^{1.5}, n^{1.5} \log n]$  s.t.  $|S_p| \leq n^{1.5} \log n$

$U = n^{3.1} \Rightarrow \forall a, b, c: a+b+c \in O(n^{3.1})$

$\Rightarrow$  only  $\log n$  primes ~~in  $[n^{1.5}, \dots]$~~   
divide  $a+b+c$

$\Rightarrow (a+b+c) \in S_p$   
for only  $O(\log n)$  primes.

$$n^{3.1} = \underbrace{z^2}_{p_1} \underbrace{z^2}_{p_2} \dots \underbrace{z^2}_{p_k}$$
$$k \leq \log_2 n^{3.1}$$

$$\Rightarrow \sum_p |S_p| \leq n^3 \log n$$

$p \in [n^{1.5}, n^{1.5} \log n]$

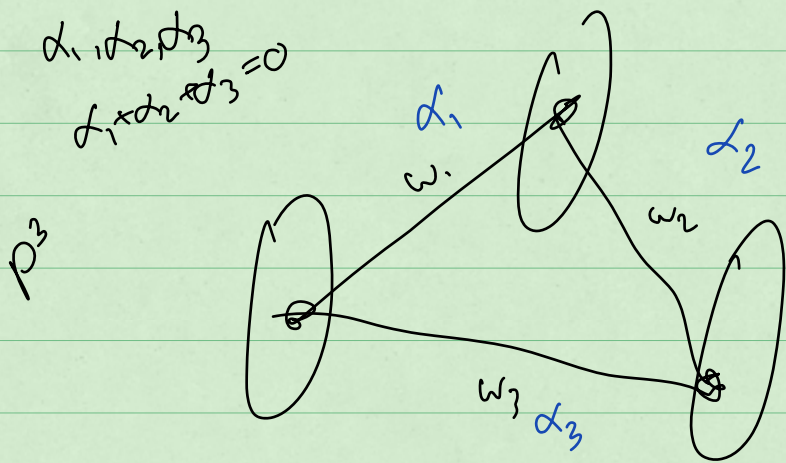
$\exists n$  primes in  $[n^{1.5}, n^{1.5} + 1]$

$\exists p$  s.t.  $|Sp| \leq n^{1.5} \log n$

Thm: APSP is in  $NTIME[n^{2.996}] \cap CoNTIME[n^{2.996}]$

Zero- $\Delta$  in

APSP  
 $\downarrow$   
 Neg- $\Delta$   
 $\downarrow$   
 Zero- $\Delta$



$p \cdot n^w$  = counting #  $\Delta$ 's of wt 0 mod  $p$ .

$+ \frac{n^3}{p}$

