

# Self-targeting by CRISPR: gene regulation or autoimmunity?

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**The recently discovered prokaryotic immune system known as CRISPR (clustered regularly interspaced short palindromic repeats) is based on small RNAs ('spacers') that restrict phage and plasmid infection. It has been hypothesized that CRISPRs can also regulate self gene expression by utilizing spacers that target self genes. By analyzing CRISPRs from 330 organisms we found that one in every 250 spacers is self-targeting, and that such self-targeting occurs in 18% of all CRISPR-bearing organisms. However, complete lack of conservation across species, combined with abundance of degraded repeats near self-targeting spacers, suggests that self-targeting is a form of autoimmunity rather than a regulatory mechanism. We propose that accidental incorporation of self nucleic acids by CRISPR can incur an autoimmune fitness cost, and this could explain the abundance of degraded CRISPR systems across prokaryotes.**

## CRISPR/Cas, an acquired anti-viral system in prokaryotes

CRISPR loci are found in nearly all of archaeal and about 40% of sequenced bacterial genomes. CRISPR loci, together with their CRISPR-associated (*cas*) genes, have recently been shown to constitute a defense system that restricts propagation of incoming viruses and plasmids [1,2]. CRISPR arrays are composed of short repeat sequences separated by similarly sized hypervariable 'spacer' sequences, flanked on one side by an AT-rich sequence called the leader. The discovery that CRISPR spacers often match DNA from foreign elements led to the realization that they represent a 'memory of past genetic aggressions' [3–5]. Step by step, the mechanism underlying CRISPR defense has begun to be unraveled, yet our understanding of this system is far from complete. It has been revealed that the CRISPR locus is transcribed into a single RNA transcript, which is then further cleaved by the Cas proteins to generate smaller CRISPR RNA (crRNA) units, each including one targeting spacer [6]. These units then interfere with incoming foreign genetic material by complementary base-pairing with the foreign nucleic acid [7–10]. CRISPR systems have been divided into different clusters based on their repeat sequences [11], and these correlate with different subtypes of *cas* genes [12]. It was shown that Cas subtypes *mtube*, *ecoli* and *nmeni* are likely

to target DNA [2,5,6,13], whereas the Cas module RAMP was shown to target RNA [14].

Although CRISPR/Cas was initially prophesized to be analogous to eukaryotic RNA interference (RNAi) [15], it is now becoming clear that there are key differences between RNAi and CRISPR [10]. Nevertheless, the conceptual similarities between these two systems allow us to use our broader understanding of RNAi to guide the study of the CRISPR system [8]. Eukaryotic RNAi systems are divided into two branches: the antiviral branch that targets viruses and transposons for degradation, and the regulatory branch that utilizes microRNAs (miRNAs) for translational repression of target mRNA molecules via partial base pairing [16]. Previous limited searches revealed CRISPR spacers targeting chromosomal genes [4,5,17–19] and, based on the conceptual analogy between RNAi and CRISPR, it was therefore hypothesized that the CRISPR system in prokaryotes could also participate in gene regulation [9].

We have explored this possibility by studying self-targeting CRISPR spacers from all known CRISPR arrays [20] in all currently sequenced prokaryotic genomes (Table S1 in supplementary material online). Unexpectedly, our results point to a different explanation for self-targeting by CRISPR: leaky incorporation of self nucleic-acids leading to autoimmunity. We further explore this new concept of CRISPR-based autoimmunity from an evolutionary angle, as well as its consequences and fitness costs on CRISPR-bearing organisms.

## Self-targeting CRISPR spacers

To identify potential self-targeting spacers, 23 550 spacers from 330 CRISPR-encoding organisms were scanned for an exact full match between the spacer and a portion of the endogenous genomic sequence that is not part of a CRISPR array (termed target, or self proto-spacer). Our results reveal that 100 of 23 550 spacers (0.4%) are self-targeting (Table S2). However, encoding a self-targeting spacer is not a rare phenomenon: 59 of 330 (18%) CRISPR-encoding organisms possess at least one array with at least one self-targeting spacer. These spacers are widely distributed over diverse phylogenetic lineages (Figure S1), and are dispersed throughout different arrays in each organism.

## Is CRISPR/Cas a regulatory system?

One of the basic postulates of evolutionary theory is that functional elements undergo purifying selection, leading to their conservation across different organisms [21]. Returning to the superficial analogy with eukaryotic RNAi,

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miRNAs are among the most highly conserved non-coding elements in mammalian genomes [22]. Hence, an essential requirement for CRISPR to function as an established regulatory system is the evolutionary conservation of the self-targeting spacers across several species. To test for such conservation we compared the sequences of all CRISPR self-targeting spacers. However, we did not find a conserved self-targeting spacer in even one single case.

Considering the possibility that CRISPR regulation might occur via partial base pairing (as in eukaryotic miRNAs or in the RAMP CRISPR-associated module [14]), we also examined spacers with partial or inexact matches to endogenous DNA. Once again, both partial and fully matching endogenous spacers showed no signs of conservation – in other words, they were present in only one organism (apart from rare cases where self-targeting spacers were present in very closely related strains; see [supplementary material](#)). To summarize, our results showed that each pair of self-targeting spacer and target exists only in one organism. This lack of conservation casts doubts on the hypothesis that the self-targeting spacers we detected regulate self genes: had the initial insertion of a self-targeting spacer conferred an evolutionary advantage to the organism, and had it acquired a functional role in gene regulation, purifying selection would have led to its perpetuation.

### Self-targeting spacers frequently target non-mobilome genes

We returned to examine the targets of the 100 full-match self-targeting spacers. About half of the self-targets were found to reside within elements of putative exogenous origin such as proviral sequences, transposon sequences, and established native plasmids. The existence of an exact match to a proviral sequence indicates that this virus once infected the organism, yet managed to escape CRISPR degradation. However, it is also possible that CRISPR has a role in preventing the induction of these latent viruses, and in general has a role in preventing the expansion of mobile elements.

Nevertheless, this role cannot explain all the self-targeting spacers detected: 53 spacers from 39 different organisms were found to target genes that are unlikely to be from a mobile origin, based on their putative gene function and on their gene neighborhood. Examples include spacers targeting 16S RNA, DNA polymerase I, tRNA synthetases, and others (Table 1). If so, what might explain the existence of a CRISPR spacer against a cellular gene?

### Negative effects of self-targeting spacers

One possible explanation for the acquisition of self-targeting spacers is that they represent accidents of the CRISPR insertion mechanism, potentially leading to deleterious effects on the cell. Although the average size of a CRISPR array with self-targeting spacers is 30 spacers, we found that 37% of all self-targeting spacers are located at the first or second positions in the array (near the leader sequence) – a four-fold enrichment compared to all spacers in our dataset ( $P < 10^{-13}$ ; Fisher exact test; Table S1). Because the addition of new spacers takes place in a polarized

fashion proximal to the leader end of the CRISPR array, it appears that self-targeting spacers represent recent acquisition events by the CRISPR array. This implies that self-targeting spacers survive only a short time, and are thus not selectively neutral, and could instead be deleterious to the organism.

Based on these results we hypothesized that, following the integration of a self-targeting spacer, the CRISPR/Cas system must become inactivated in order to survive. For instance, *Lactobacillus acidophilus* NCFM harbors a self-targeting spacer against 16S ribosomal RNA, which could have a high negative cost if functional. However, this organism appears to have lost all *cas* genes. Thus, the negative effect of autoimmune self-targeting spacers might explain the abundance of highly degraded CRISPR systems that contain *cas* pseudogenes [12,23] (Table 1).

We next sought to determine whether the self-targeting spacer itself could become inactivated without affecting the entire array. Studies have shown that the repeats are target sites for multiple Cas proteins, and participate in crRNA maturation and function [6,14,24]. We found that the two repeats flanking the self-targeting spacer are twice as likely to harbor mutations from the consensus repeat sequence, as compared to a background of all CRISPR spacers ( $P < 0.005$ , Fisher exact test; Table 1). Such mutations could potentially affect the maturation of the self-targeting spacer while leaving the rest of the array functional. Self-targeting spacers flanked by mutated repeats were found throughout the array (and not specifically at the beginning of the array), suggesting that such mutations allow the self-targeting spacer to perpetuate without any negative effect on the organism (Figure S2).

It was recently shown that CRISPR has a unique mechanism that avoids targeting the locus encoding the CRISPR itself [25]. Base-pairing between three specific bases of the upstream repeat sequence and the crRNA results in protection from CRISPR degradation. We set out to test whether targets are protected in this way from their cognate spacers. Because it is possible that different CRISPR systems have slightly different types of protection, we used a sliding window to scan whether three bases upstream or downstream from a non-mobile target match those of the repeat sequence. Our results showed that 14% and 17% of the targets match three base-pairs upstream or downstream of the repeat, respectively. Notably, these numbers do not deviate significantly from the expected number under a random binomial distribution (see [supplementary material](#)), and such base-pairing could therefore be a random property of the targets.

We next tested whether targets display proto-spacer adjacent motifs (PAMs). These motifs were first identified experimentally in *Streptococcus thermophilus* [18,26], and were later identified in a widespread computational analysis as recurring sequences adjacent to the target/proto-spacer [27]. PAMs were suggested to take part in the acquisition and/or the interference stages for some CRISPR/Cas subtypes because mutation at these sequences allowed viral escape [18,26,28]. Interestingly, PAMs appear to be unimportant for CRISPR interference in arrays associated with subtype Mtube [25] or with the Cas module RAMP [14]. Furthermore, PAMs might also be

**Table 1. A list of organisms bearing self-targeting spacers against non-mobile elements**

Organism	Target gene description	Putative protection from autoimmunity <sup>a</sup>	Associated Cas subtype
<i>Actinobacillus pleuropneumoniae</i> sv 3 JL03	Hydrogenase maturation factor	4	Ypest
<i>Bifidobacterium adolescentis</i> ATCC 15703	3-Phosphoshikimate 1-carboxyvinyltransferase	4	Dvulg
<i>Bifidobacterium longum</i> DJO10A	<i>fhu</i> operon transcription regulator	4	Nmeni
<i>Campylobacter hominis</i> ATCC BAA-381	Preprotein translocase subunit SecA		unknown
<i>Chlorobium limicola</i> DSM 245	Succinyl-CoA synthetase, beta subunit		Csx.RAMP
<i>Chlorobium phaeobacteroides</i> DSM 266	Amidophosphoribosyltransferase		unknown
<i>Clostridium botulinum</i> E3 Alaska E43	Amino acid carrier protein AlsT	1	RAMP <sup>b</sup>
<i>Clostridium tetani</i> E88	Putative S-layer		Hmari
<i>Clostridium tetani</i> E88	Stage IV sporulation protein A	4	Csx.tneap
<i>Clostridium tetani</i> E88	DNA mismatch repair protein	4	Csx.tneap
<i>Clostridium tetani</i> E88	Phosphoribosylformylglycinamide synthase II	4	Csx.tneap
<i>Clostridium tetani</i> E88	Putative sporulation sigma-E factor processing	4	Csx.tneap
<i>Enterobacter</i> sp. 638	FdrA family protein	4	Ypest
<i>Erwinia carotovora</i> atroseptica SCRI1043	Putative plasmid transfer protein	2,4	Ypest
<i>Flavobacterium psychrophilum</i> JIP02/86	Hypothetical protein		Nmeni
<i>Francisella philomiragia</i>	Hypothetical protein		unknown
<i>philomiragia</i> ATCC 25017			
<i>Frankia alni</i> ACN14a	Putative acyl-CoA dehydrogenase	4	Ecoli
<i>Frankia</i> sp. Ccl3	Amino acid adenylation		Dvulg
<i>Geobacter uraniumreducens</i> Rf4	2-methylisocitrate lyase	2	unknown
<i>Lactobacillus acidophilus</i> NCFM	16S ribosomal RNA	1,2,4	Ecoli <sup>b</sup>
<i>Lactobacillus acidophilus</i> NCFM	Peptide-binding protein	1,2,4	Ecoli <sup>b</sup>
<i>Lactobacillus acidophilus</i> NCFM	Dipeptidase	1,4	Ecoli <sup>b</sup>
<i>Lactobacillus delbrueckii bulgaricus</i>	Hypothetical protein	4	Ecoli
<i>Methanospirillum hungatei</i> JF1	Dihydroorotate dehydrogenase 1B		Csc.apern
<i>Methylobacillus flagellatus</i> KT	Glycosyl transferase, group 1	4	Dvulg
<i>Mycoplasma arthritidis</i> 158L31	Phenylalanyl-tRNA synthetase beta subunit		unknown
<i>Mycoplasma gallisepticum</i> R	Hypothetical protein		Nmeni
<i>Mycoplasma gallisepticum</i> R	ParC/GyrA; topoisomerase IV subunit A		Nmeni
<i>Mycoplasma mobile</i> 163K	Putative glycosyltransferase		Nmeni
<i>Myxococcus xanthus</i> DK 1622	Putative lipoprotein	2,4	Dvulg
<i>Nocardia farcinica</i> IFM 10152	Putative non-ribosomal peptide synthetase	2,4	Ecoli
<i>Nocardia farcinica</i> IFM 10152	Putative transcriptional regulator	4	Ecoli
<i>Pelobacter carbinolicus</i> DSM 2380	Histidyl-tRNA synthetase	2	Ecoli
<i>Pelobacter propionicus</i> DSM 2379	Hydrophobe/amphiphile efflux-1 (HAE1) family	2,4	Ecoli
<i>Pelobacter propionicus</i> DSM 2379	DNA topoisomerase I	2,4	Ecoli
<i>Pelobacter propionicus</i> DSM 2379	RND efflux system outer membrane lipoprotein	2,4	Ecoli
<i>Porphyromonas gingivalis</i> W83	Saccharopine dehydrogenase <sup>c</sup>	4	unknown
<i>Prosthecochloris aestuarii</i> SK413	Chromosome segregation protein SMC	2,4	Ecoli
<i>Pyrococcus horikoshii</i> OT3	Hypothetical protein		unknown
<i>Roseiflexus castenholzii</i> DSM 13941	PAS domain-containing protein	4	Mtube
<i>Salinispora arenicola</i> CNS-205	Hypothetical protein	4	Ecoli
<i>Streptococcus agalactiae</i> 2603V/R	Uracil permease	4	Nmeni
<i>Streptococcus agalactiae</i> A909	DNA polymerase I	4	Nmeni
<i>Streptomyces avermitilis</i> MA-4680	Multidrug resistance efflux protein	2,4	Ecoli
<i>Streptomyces griseus</i> NBRC 13350	PII uridylyl-transferase	2	Ecoli
<i>Sulfolobus tokodaii</i> 7	Hypothetical protein	3	Aporn
<i>Treponema denticola</i> ATCC 35405	Hypothetical protein	4	Nmeni
<i>Yersinia pestis</i> Antiqua	Phosphotransferase enzyme II, A component	4	Ypest
<i>Clostridium tetani</i> E88	Intergenic region	3	Hmari
<i>Granulibacter thesedensis</i> CGDNIH1	Intergenic region	4	Ecoli
<i>Lactobacillus acidophilus</i> NCFM	Intergenic region	1,2	Ecoli <sup>b</sup>
<i>Porphyromonas gingivalis</i> W83	Intergenic region	3	RAMP

<sup>a</sup>Evidence suggesting that the target is protected from autoimmunity is marked by 1–4: (1) lack of *cas* genes, (2) mutated adjacent repeats, (3) extended base-pairing with the upstream flanking repeat, and (4) absence of PAM as defined by Mojica *et al.* [27] for some of the repeat clusters. Full details on all self-targeting spacers can be found in Table S1.

<sup>b</sup>For organisms with no *cas* genes, the subtype identity of the inferred lost *cas* operon was deduced based on the homologous array in a related strain, and on the identity of the repeats.

<sup>c</sup>Two distinct spacers exist that target this gene (see also Table S1).

less important for CRISPR interference with subtype Ecoli, based on the ability of spacers lacking a matching PAM to restrict phage infection [6]. It is, however, important to note that the role of PAM in CRISPR interference has not yet been determined conclusively for each of the Cas subtypes.

When testing whether targets display a PAM we observed an intriguing pattern. First, for the targets

associated with Cas subtypes where PAM appears to be less important for interference (as defined above), 14 of 21 (67%) targets were putatively protected by flanking mutated repeats, by base-pairing with the repeat, or by loss of the *cas* operon. By contrast, in CRISPR types that require PAM for interference, such putative protection from autoimmunity was only observed in 2 of 17 (12%) targets ( $P < 0.001$ ; Fisher exact test). Notably, in all these

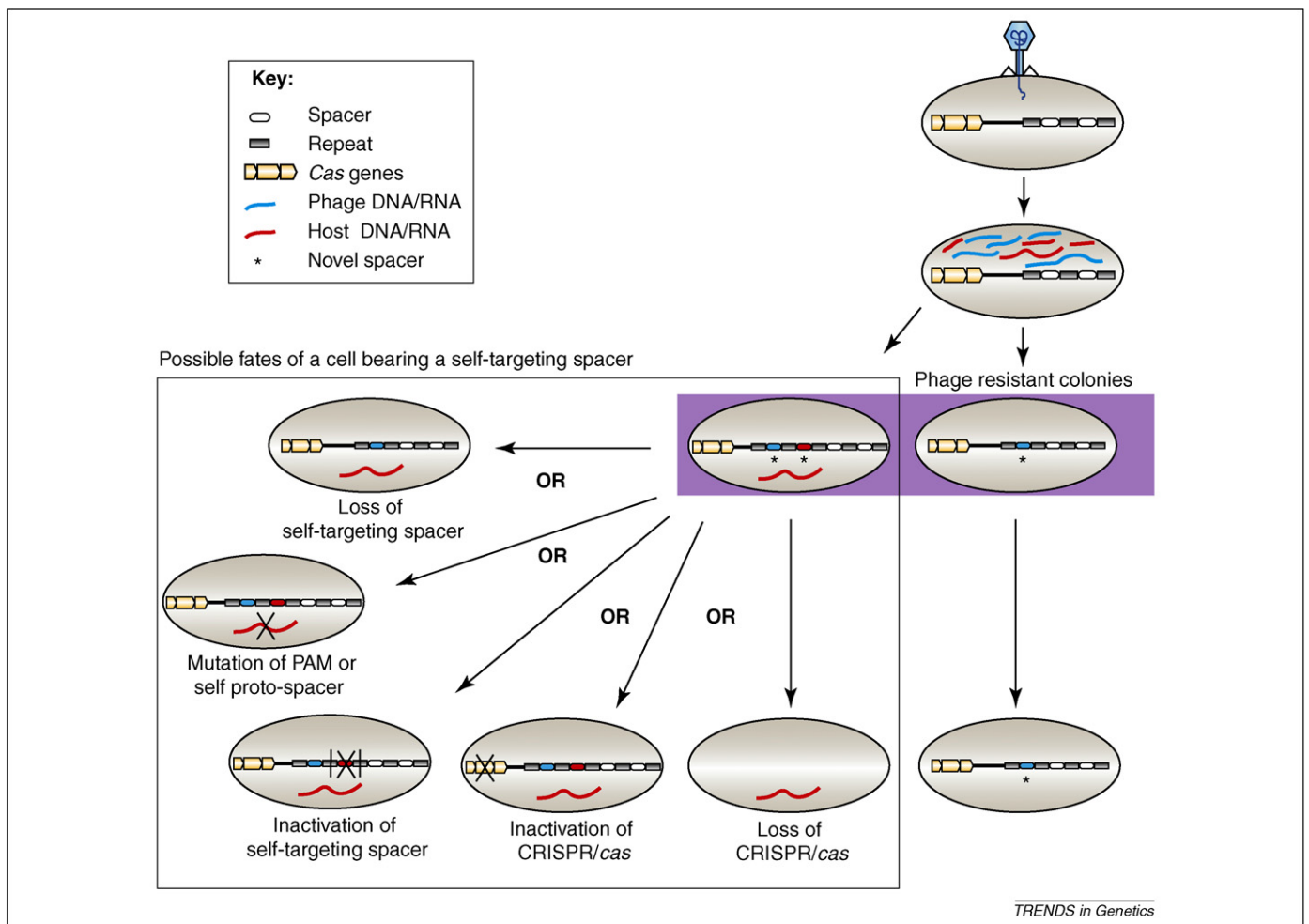
targets the PAM sequence was absent from the target. To summarize, our results tentatively suggest that when PAM is necessary for CRISPR interference, absence of a PAM sequence can protect the organism from autoimmunity, whereas when PAM is unnecessary for interference, mutations in other elements must occur to protect from the deleterious effects of CRISPR autoimmunity.

### Autoimmunity in bacteria?

All in all, our analysis shows that the self-targeting CRISPR spacers are not evolutionary conserved, and that their occurrence is frequently associated with partial or full degradation of CRISPR activity. We therefore conclude that the self-targeting spacers most probably have not been selected to take part in non-transient endogenous gene regulation. We propose a model whereby CRISPR self-targeting spacers result from leaky incorporation of self nucleic acids into CRISPR arrays (Figure 1), and this could lead to a negative fitness cost to the organism. Our results suggest that some CRISPR subtypes are more prone to such leaky incorporation than others (see supplementary material online). The rate of incorporation of self-

targeting spacers is at least 0.2% (Table S2). This is probably an underestimate because the calculation does not take into account highly deleterious self-targeting spacers that were immediately cleared by purifying selection or were counter-selected at the population level.

One can envisage several different mechanisms by which such leaky incorporation might occur: viruses, plasmids or transposons could harbor genes from previous rounds of infection (as occurs during lateral gene transfer) [29], and this could lead to CRISPR recognizing these genes as foreign DNA. Alternatively, faulty incorporation of self nucleic acids could occur simply because of CRISPR 'errors'. Notably, irrespective of the mechanism of acquisition, in the absence of protection it is expected that harboring a self-targeting spacer will incur a fitness cost to the CRISPR-bearing organism. This cost can be high or low, depending among other factors on the level of transcription of the self-targeting CRISPR spacer, on the mode of operation of the CRISPR array (i.e. targeting of DNA or RNA), and on the identity of the targeted gene. If this cost is relatively high, the self-targeting spacer, the targeted gene, or even the entire CRISPR/*cas* locus will be prone to



**Figure 1.** A model for CRISPR autoimmunity via leaky incorporation of self-DNA/RNA and its possible outcomes. During infection, genetic material in the cell will include both viral and host nucleic acids. CRISPR activity leads to insertion of new spacers, most probably derived from foreign genetic material. Virus-resistant colonies (boxed in purple) will include one or more spacers against the virus, but could also include spacers against the endogenous host DNA. Some of these colonies will not survive due to autoimmunity. However, if the fitness cost of autoimmunity is low enough, these colonies could thrive due to the beneficial existence of the anti-viral spacer. To prevent the negative effects associated with autoimmunity, inactivation of the CRISPR/*cas* locus can occur in a variety of ways, including mutation or loss of the *cas* genes, or mutations of the leader sequence. Inactivation of the self-targeting spacer could also occur by several mechanisms: mutation of the adjacent repeats, mutation of the spacer sequence, mutation of the targeted gene, or mutation of the PAM.

be lost, inactivated, or mutated. This scenario could become more likely in a virus-free environment. Interestingly, a recent study in strains of *Escherichia coli* supports the notion of such a fitness cost, showing that in strains where a spacer targeted one of the endogenous *cas* genes, the *cas* operon was lost [30].

Although the autoimmunity model is yet to be experimentally substantiated, this model might explain why a system that is so valuable for combating foreign invaders is present in only ~40% of bacteria. Together with lateral gene transfer [12,31], the model also explains the checkered pattern of existence or non-existence of CRISPR among closely related species, and the abundant occurrences of degraded CRISPR arrays (Figure 1). Nonetheless, we cannot rule out that other models exist to explain the existence of self-targeting spacers, where the spacers perform some type of transient regulation. For example, an alternative model is that CRISPR targets endogenous host genes that contribute to virus replication. Experimental validation for this model would include deleting the self-targeting spacer and testing the fitness cost to the organism with and without viral infection.

We note that our results do not preclude the notion of alternative forms of CRISPR taking part in gene regulation (see supplementary material), because our analysis focused on all identified 'typical' CRISPR structures that have multiple tandem repeats and spacers. If CRISPR had indeed evolved to perform gene regulation, the structure of the system would possibly have been altered, and could thus differ from the CRISPR system as we know it today. Such a system might be composed of only one spacer flanked by partial repeats. Such altered, regulatory CRISPR systems are yet to be discovered.

### Concluding remarks

Until now the CRISPR system has been heralded as an exceptional form of defense against foreign invaders, with apparently no fitness cost to the host. However, we detected CRISPR spacers that match cellular genes with important housekeeping roles. This targeting is completely non-conserved, and thus it is proposed here to be a flaw in the CRISPR mechanism. If indeed this self-targeting induces autoimmunity, this is a striking example of the Achilles' heel of the CRISPR system.

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### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at [doi:10.1016/j.tig.2010.05.008](https://doi.org/10.1016/j.tig.2010.05.008).

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## Genome Analysis

# Repeats, longevity and the sources of mtDNA deletions: evidence from ‘deletional spectra’

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**Perfect direct repeats and, in particular, the prominent 13 bp repeat, are thought to cause mitochondrial DNA (mtDNA) deletions, which have been associated with the aging process. Accordingly, individuals lacking the 13 bp repeat are highly prevalent among centenarians and overall number of perfect repeats in mammalian mitochondrial genomes negatively correlates with species’ longevity. However, detailed examination of the distribution of mtDNA deletions challenges a special role of the 13 bp repeat in generating mtDNA deletions. Instead, deletions appear to depend on long and stable, albeit imperfect, duplexes between distant mtDNA segments. Furthermore, significant dissimilarities in breakpoint distributions suggest that multiple mechanisms are involved in creating mtDNA deletions.**

## Direct repeats in the mitochondrial genome and longevity

The premise that accumulation of mtDNA mutations [1] and, in particular, of large-scale deletions in mtDNA is one of the possible causes of aging has received substantial support from biochemical and longevity studies [2–5]. mtDNA deletions are usually flanked by direct repeats, implying that these repeats are involved in the generation of deletions. Recombination [6,7], slip-replication [8], and double-strand break repair [9] have been suggested as potential alternative mechanisms involving direct repeats. In corroboration of the connection between mtDNA deletions and aging, the number of direct repeats in

mtDNA of various mammal species is inversely correlated with longevity [10,11]. Of particular interest is the so-called ‘common deletion’ [6], the deletion most frequently detected in humans, which is flanked by a prominent 13 bp perfect direct repeat. Interestingly, carriers of the well-studied D4a mitochondrial haplogroup, who are significantly enriched among Japanese centenarians [12], lack the 13 bp direct repeat in their mtDNA, and thus presumably lack the common deletion, and this seems to support the premise that deletions are involved in the aging process [13]. It should be noted, however, that although the ‘common’ deletion is the most abundant mtDNA deletion, it typically constitutes no more than 10% of all deletions in aging tissues [5]. Therefore D4a individuals would have at most 10% fewer deletions, which perhaps is too moderate a change to affect longevity. There is another possibility, however [13]. According to an elegant hypothesis of Samuels, Schon and Chinnery, the 13 bp repeat might be responsible for the formation of nearly all mtDNA deletions, not just the common deletion [14]. Thus, absence of this repeat could result in a reduction of overall deletion burden and, if deletions indeed are involved in the aging process, this might constitute a realistic cause of exceptional D4a longevity. The importance of this question prompted us to test the Samuels, Schon, Chinnery hypothesis.

## mtDNA deletions, in general, are not related to the 13 bp repeat

The Samuels, Schon, Chinnery hypothesis rests on the observation that the distribution of deletion breakpoints across the mitochondrial genome consists of two broad peaks centered around the 5’ and 3’ arms of the 13 bp

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