



# Control of Dissipative Quantum systems By a Non-Markovian Master equation approach

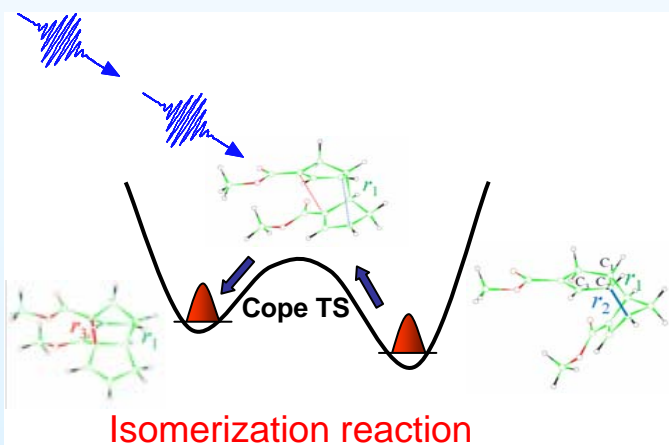
Christoph Meier

LCAR-IRSAMC  
Université Paul Sabatier, Toulouse

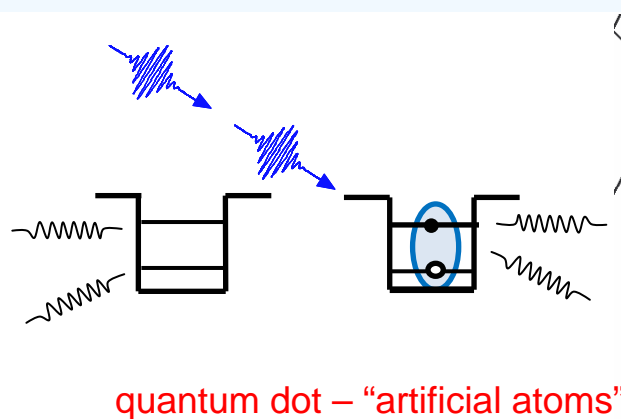
September 2012

# Context:

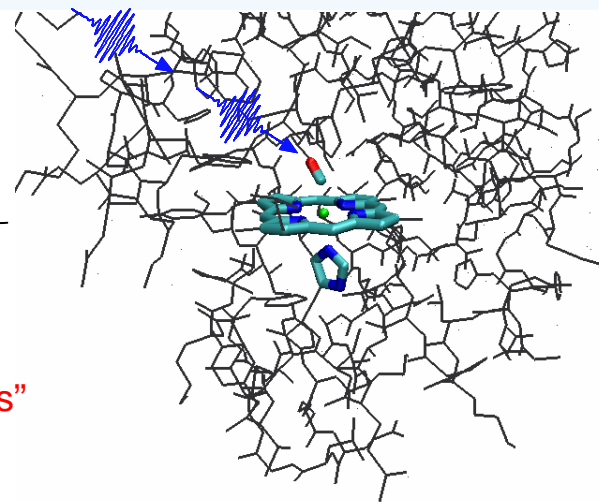
## Femtosecond laser interaction with atomic and molecular systems



**Coherent control  
IR domain**



**Coherent control  
visible**



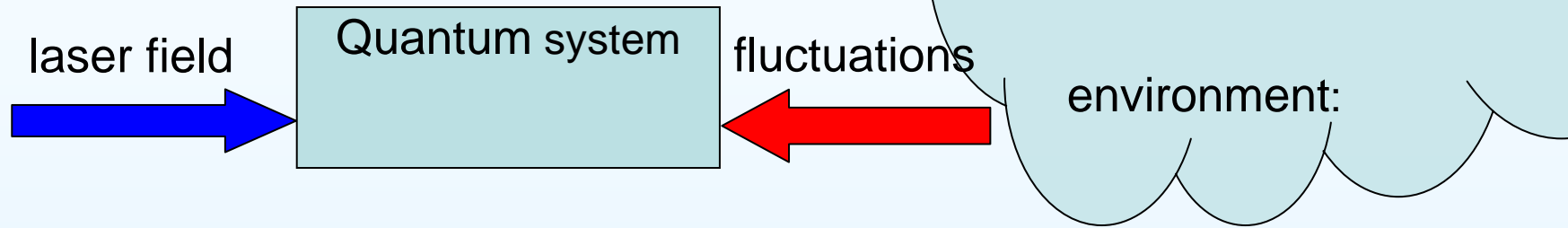
**Coherent control  
IR domain**

*dynamics of a quantum sub-system driven by fs lasers and subject to environments*

*IVR, electronic / vibrational relaxation, predissociation  $\rightleftharpoons$  Coherent control*

**experiment----- theory**

# Global situation



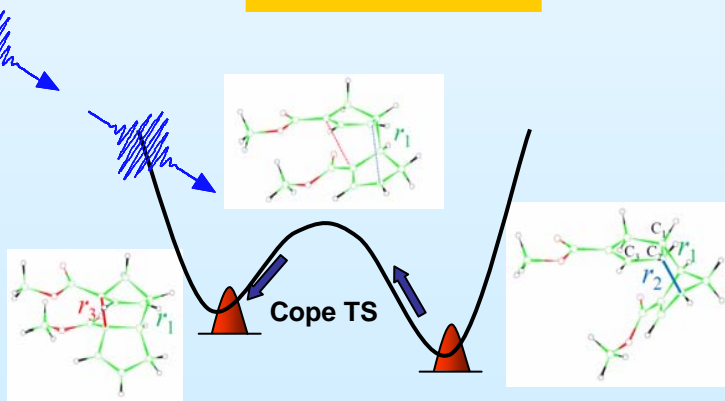
- strong laser fields
- pulse shaping
- coherent control:
- Tracking control
- Local control theory
- Optimal control theory

- high dimensional quantum dynamics
  - MCTDH
- reduced dynamics + Master equation
  - time-scales (Non-Markovian effects)
  - model environment, weak coupling..
- mixed quantum/classical approaches
  - classical mechanics
  - quantum/classical connection

# Outline

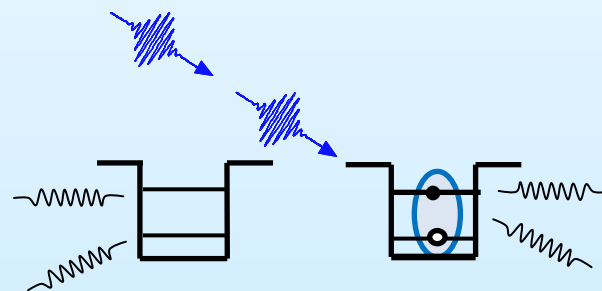
- Non-Markovian master equation approach:
  - effects of finite memory
  - effects of fields onto relaxation
- coupling of Non-Markovian master equation (NME) approach with theories of control

## Example 1:



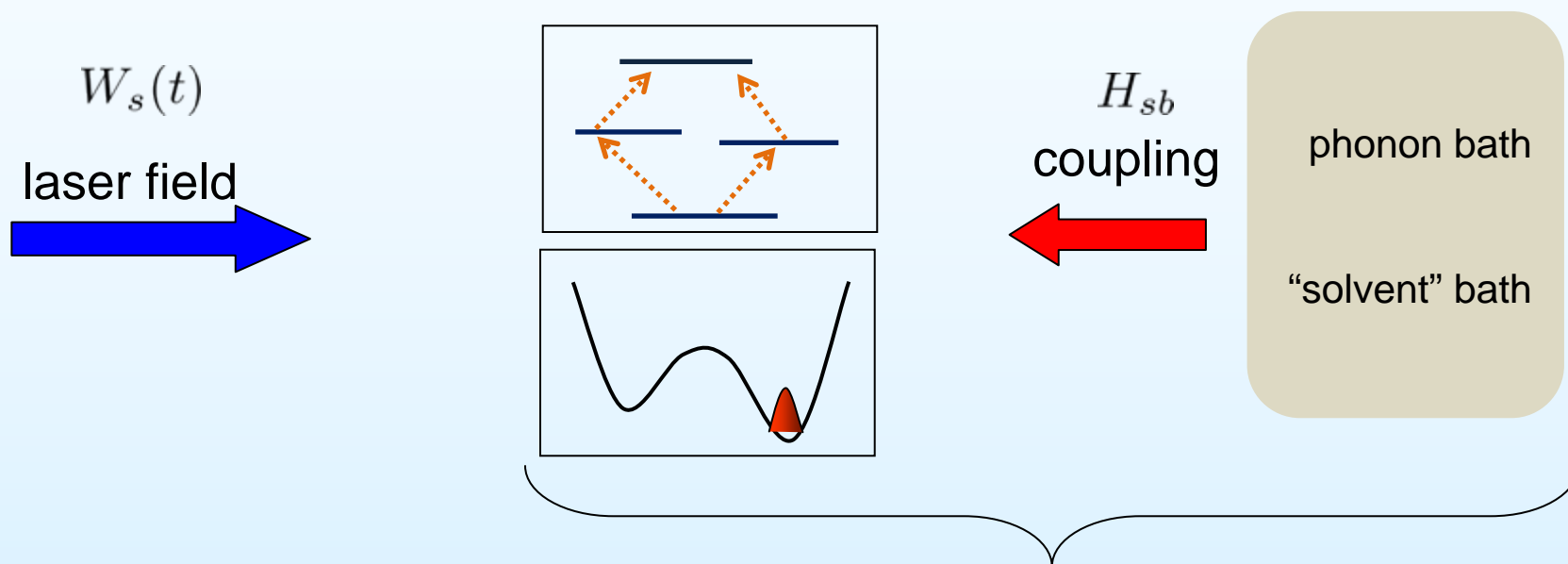
- isomerisation of the dimer of methyl-cyclopentadienylcarboxylate in model solution
- NME + optimal control theory

## Example 2:



- strong field excitation of single InAs/GaAs quantum dots
- chirp effects
- NME + local control theory

# Theory



standard approach:

$$H = H_s^0 + H_{sb} + H_b$$

$$\dot{\rho}_s(t) = -i [H_s^0, \rho_s(t)] + \Gamma(\rho(t)) \quad \text{Redfield, Lindblad}$$

$$\dot{\rho}_s(t) = -i [H_s^0 + W_s(t), \rho_s(t)] + \Gamma(\rho(t))$$

but for strong fields:

$$\Gamma(\rho_s(t)) \equiv \Gamma(\rho_s(t), W_s(t))$$

# Non-Markovian master equation

system+ bath Hamiltonian:

$$H_T = \underbrace{H_s^0 + W_s(t)}_{H_s(t)} + \lambda S.B + H_b$$

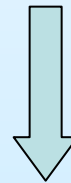
total density operator:

$$\dot{\rho}_T(t) = -i [H_T, \rho_T(t)]$$

reduced density operator:

$$\rho_s = \text{tr}_b \rho_T \quad \mathcal{P} = \rho_b^{(eq)} \text{tr}_b(.)$$

Nakajima-Zwanzig and  $\sim \mathcal{O}(\lambda^2)$



Non-Markovian master equation:

$$\dot{\rho}_s(t) = -i [H_s(t), \rho_s(t)] + \lambda^2 \underbrace{\int_0^t K(t, t') \rho_s(t') dt'}_{\text{memory}} + \underbrace{I(t)}_{\text{initial correlation}}$$

$W_s(t)$  is system operator only !

memory

initial correlation

# Non-Markovian master equation

$$\int_0^t K(t, t') \rho_s(t') dt' = i\lambda^2 \left[ S, \left\{ i \int_0^t c(t, t') U(t, t') S \rho_s(t') U^\dagger(t, t') \right\} dt + \{h.c.\} \right]$$

propagator  $U(t, t') = \mathcal{T} e^{-i \int_{t'}^t H_s(t') dt'}$

bath correlation fcn:  $c(t, t') = \text{tr}_b \left( \tilde{B}(t - t') \tilde{B}(t') \right) = \int_{-\infty}^{\infty} \frac{J(\omega) e^{i\omega(t-t')}}{e^{\beta\omega} - 1} d\omega$

spectral density:  $J(\omega) = C \omega^3 e^{-\left(\frac{\omega}{\omega_c}\right)^2} \quad \leftarrow \text{phonon bath}$

$$J(\omega) = C \omega e^{-\left(\frac{\omega}{\omega_c}\right)} \quad \leftarrow \text{Ohmic bath w/cut-off}$$

- fields enter in the time non-local memory kernel !
- numerically difficult
- algorithms for control ?

# Non-Markovian master equation

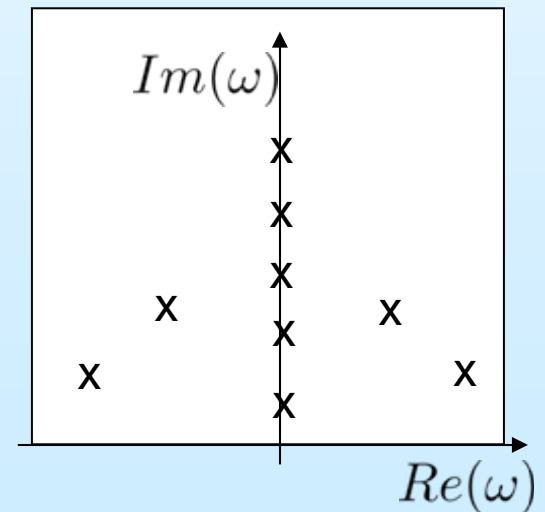
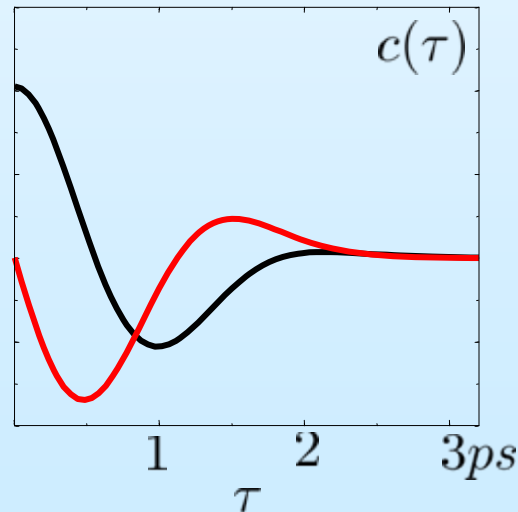
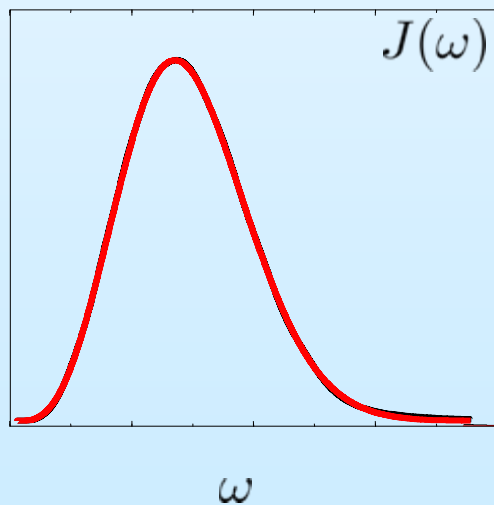
- main idea: turn non-local master equation into a time-local form:

$$J(\omega) = C \omega^\alpha e^{-\left(\frac{\omega}{\omega_c}\right)^\beta}$$

$$J_a(\omega) = \sum_l p_l \omega^\alpha \prod_{i=1,2} \left[ \left( \omega - \Omega_l^{(i)} \right)^2 + \Gamma_l^{(i)2} \right]^{-1} \left[ \left( \omega + \Omega_l^{(i)} \right)^2 + \Gamma_l^{(i)2} \right]^{-1}$$

$$c(t, t') = \sum_k \alpha_k e^{i\gamma_k(t-t')} \quad \alpha_k, \gamma_k \in \mathbb{C}$$

poles of  $J_a(\omega)$  and  
Matsubara frequencies



number of poles and  $\alpha$  related by convergence criteria !



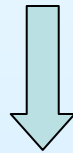
# Non-Markovian master equation

- define “auxiliary density matrices” :

$$\rho_k(t) = e^{i\gamma_k(t)} U(t) \rho_k(0) U^\dagger(t) + \alpha_k \int_0^t e^{i\gamma_k(t-t')} U(t, t') S \rho_s(t') U^\dagger(t, t') dt$$

- then :

$$\dot{\rho}_s(t) = -i [H_s(t), \rho_s(t)] + \lambda^2 \int_0^t K(t, t') \rho_s(t') dt' + I(t)$$



$$\dot{\rho}_s(t) = -i [H_s(t), \rho_s(t)] + i\lambda^2 \left[ S, \sum_k \left( \rho_k(t) + \rho_k^\dagger(t) \right) \right]$$

$$\dot{\rho}_1(t) = -i [H_s(t), \rho_1(t)] + i\gamma_1 \rho_1(t) + \alpha_1 S \rho_s(t)$$

$$\vdots$$


$$\dot{\rho}_n(t) = -i [H_s(t), \rho_n(t)] + i\gamma_n \rho_n(t) + \alpha_n S \rho_s(t)$$

**auxiliary  
density  
matrices**

[C. Meier, D. Tannor, J. Chem. Phys. 111, 3365 (1999),  
A. Pomyalov, C. Meier, D. J. Tannor, Chem. Phys. 370, 98 (2010) ]

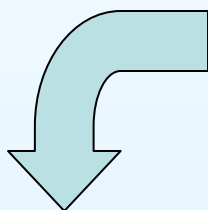
# Non-Markovian master equation

- Nakaijima-Zwanzig projector operator formalism.
- Includes memory effects and initial correlation.
- Effect of external field is taken into account nonperturbatively.
- Finite temperature effects are included.
- perturbative in system-bath coupling strength but not restricted to any special functional form of system-bath interaction.
- Time local set of equations.
- Scales favorably – the cost of propagation is only N+1 times more than the usual density matrix propagation.

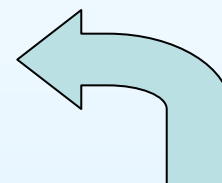
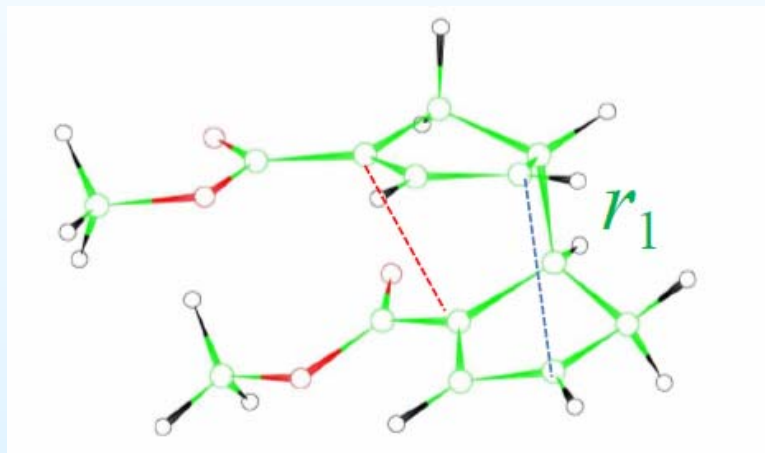
$$c(t, t') = \int_{-\infty}^{\infty} \frac{J(\omega) e^{i\omega(t-t')}}{e^{\beta\omega} - 1} d\omega = \sum_k \alpha_k e^{i\gamma_k(t-t')}$$


# Example 1: isomerisation reaction

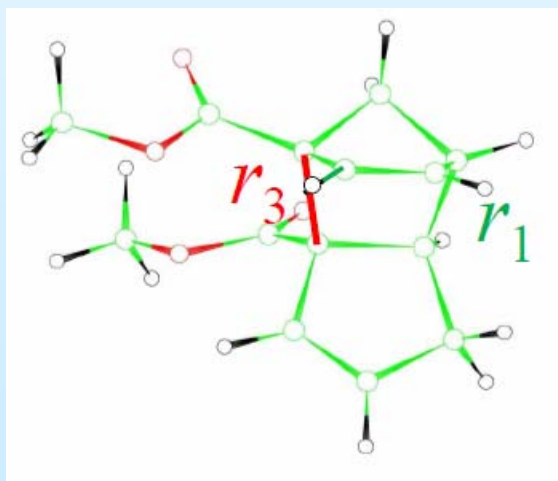
Dimer of methyl-cyclopentadienylcarboxylate



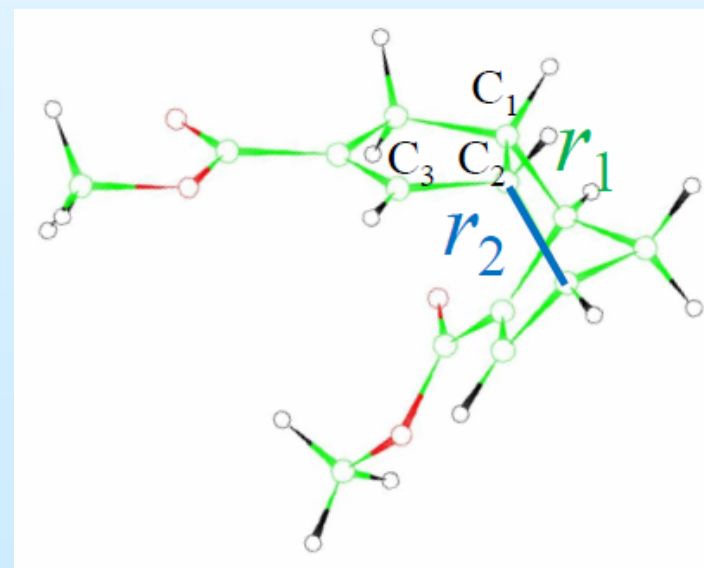
form bond  $r_3$



break bond  $r_2$



Transition state

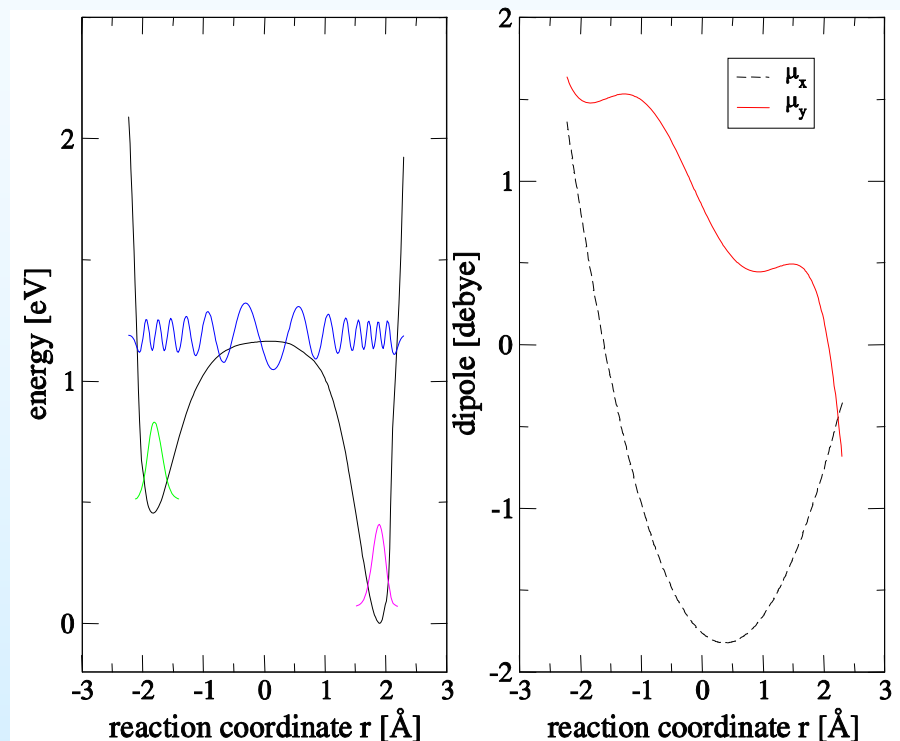
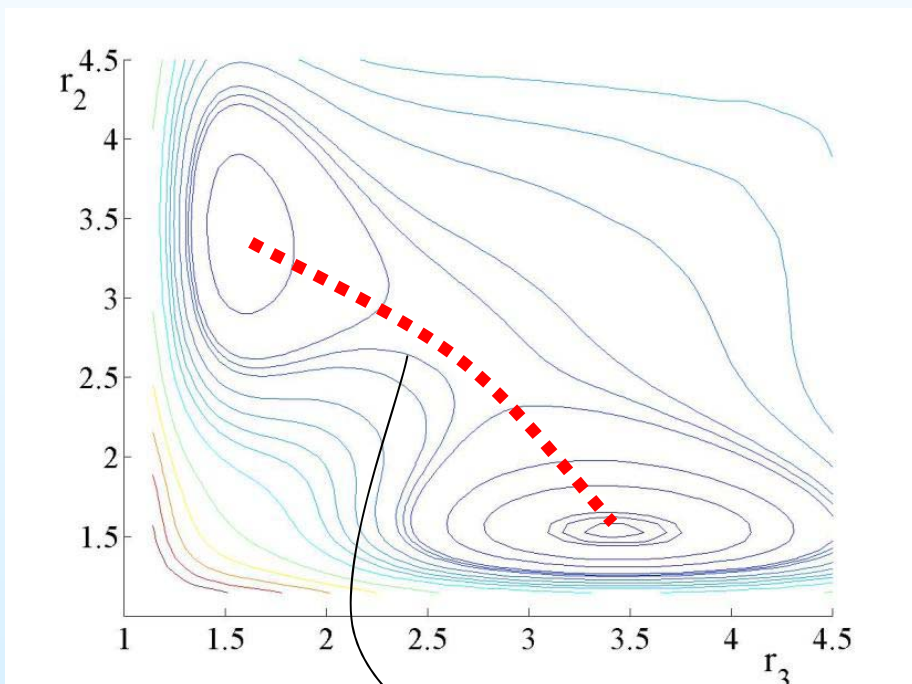


Synthesis / experiment:

*Institute of Condensed Matter and Nanosciences,  
Université catholique de Louvain, Belgium*

[ similar work by J. Manz ]

# Exemple 1: isomerisation reaction



DFT: G. Dive

*Centre d'Ingénierie des Protéines,  
Université de Liège*

1D reaction path:  $r = r_3 - r_2$

Coupled to an Ohmic bath with cut-off

$$J(\omega) = C \omega e^{-\left(\frac{\omega}{\omega_c}\right)^2}$$

$$\omega_c = 1700 \text{ cm}^{-1}$$

# Example 1: isomerisation reaction

$$F[E(t)] = \ll \rho_S(t_{max}) | \rho_{target} \gg - \int_0^{t_{max}} dt \alpha(t) \sum_j E_j^2(t) \\ - \int_0^{t_{max}} dt \ll \chi_S(t) | \left\{ \partial_t | \rho_S(t) \gg - i \left( H_s^0 - \sum_j \mu_j E_j(t) \right) | \rho_S(t) \gg + \int_0^t dt' K(t-t') | \rho_S(t') \gg \right\}$$

$$E_j(t) = (-s(t)/\alpha_0) \text{Im}(\ll \chi_S | \mu_j | \rho_S(t) \gg)$$

$$\dot{\rho}_S(t) = L_s \rho_S(t) + i \sum_k [r, \rho_k(t)]$$

$$\dot{\rho}_k(t) = (i\gamma_k + L_S) \rho_k(t) + i[\alpha_k r \rho_S(t) - \tilde{\alpha}_k \rho_S(t) r]$$

forward propagation

$$\dot{\chi}_S(t) = L_s \chi_S(t) + i \sum_k [r, \chi_k(t)]$$

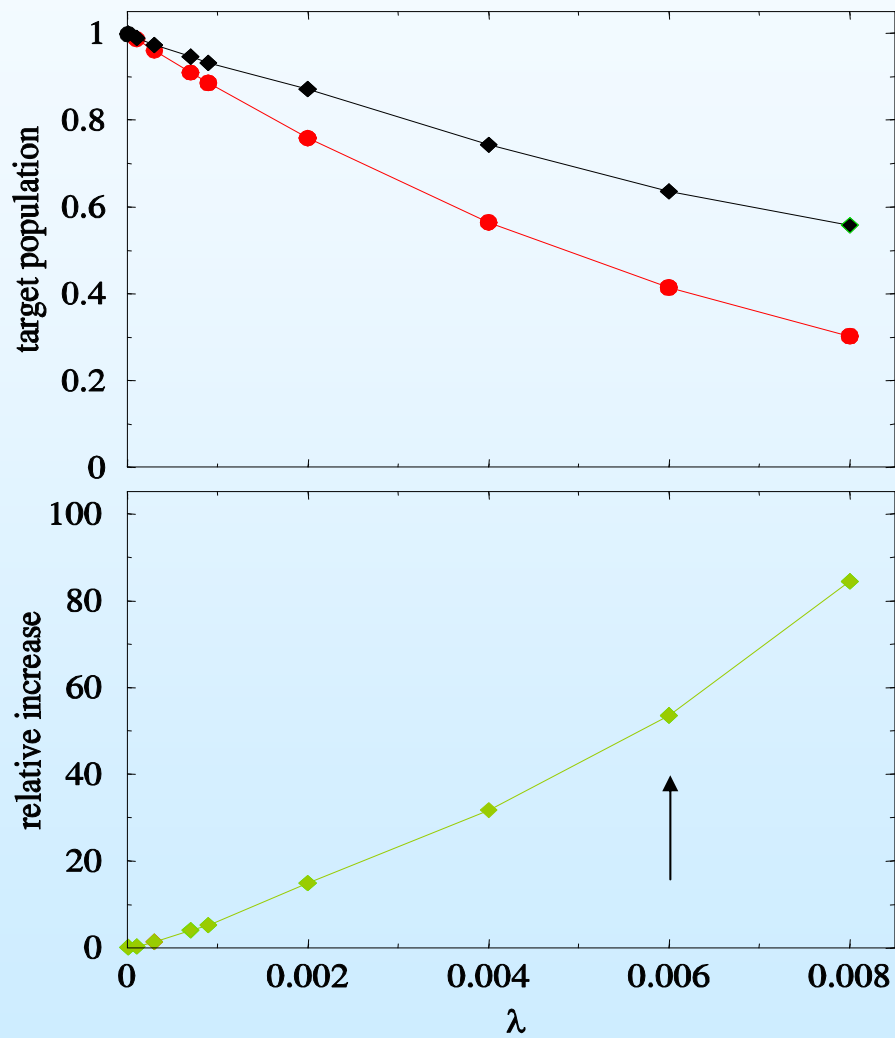
$$\dot{\chi}_k(t) = -(i\gamma_k - L_S) \chi_k(t) - i[\alpha_k \chi_S(t) r - \tilde{\alpha}_k r \chi_S(t)]$$

backward propagation

Ohtsuki, Y. J. Chem. Phys. 2003, 119, 661–671.

Zhu, W.; Rabitz, H. J. Chem. Phys. 2006, 118, 6751–6757.

# Example 1: isomerisation reaction

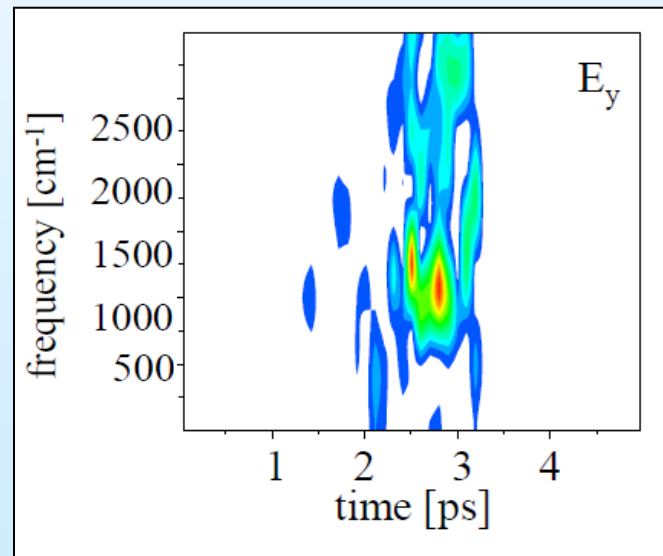


red:

- pulse design based on isolated system
- dynamics with dissipation

black:

- pulse design with dissipation
- dynamics with dissipation

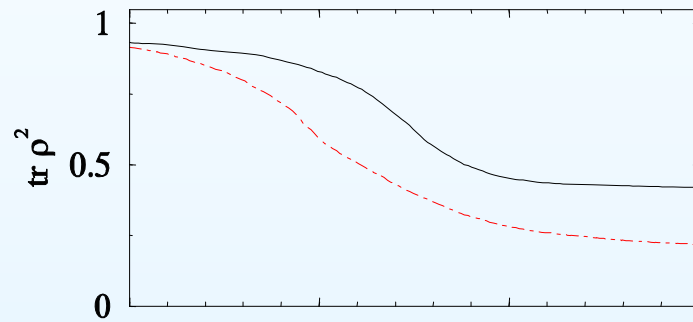


Result: control can “fight” dissipation

How ? Mechanism ?

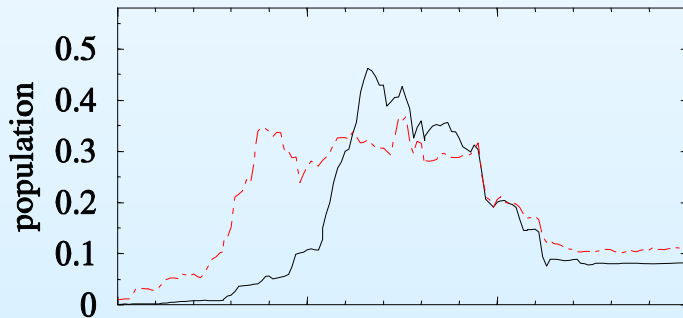
# Ex. 1: isomerisation reaction: the mechanism

decoherence:  
(loss of purity)

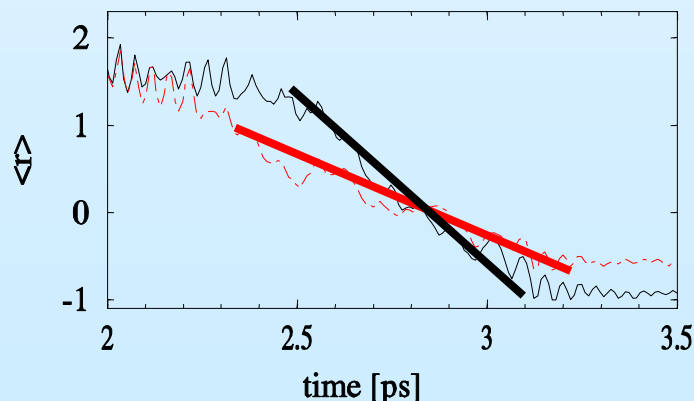


red: control without dissipation  
black: control + dissipation

Population  
in states  
above the barrier:



Position  
expectation value:



- coherence is lost while system is in delocalized states (above barrier)
- control plus dissipation: creates pulse that makes system spend less time in deloc states ! (400 fs vs. 800 fs )

Heating   barrier crossing   cooling

## Ex. 1: isomerisation reaction: summary

- control of isomerisation reaction in the presence of model environment

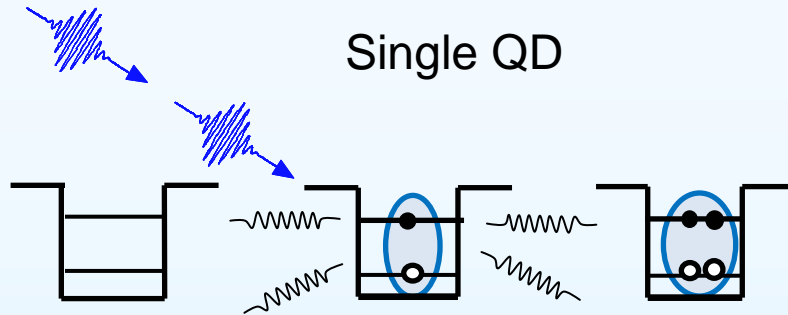
- method:



- control including dissipation can adopt to dissipative situation
- in the presented example:  
accelerate the barrier crossing to minimize decoherence



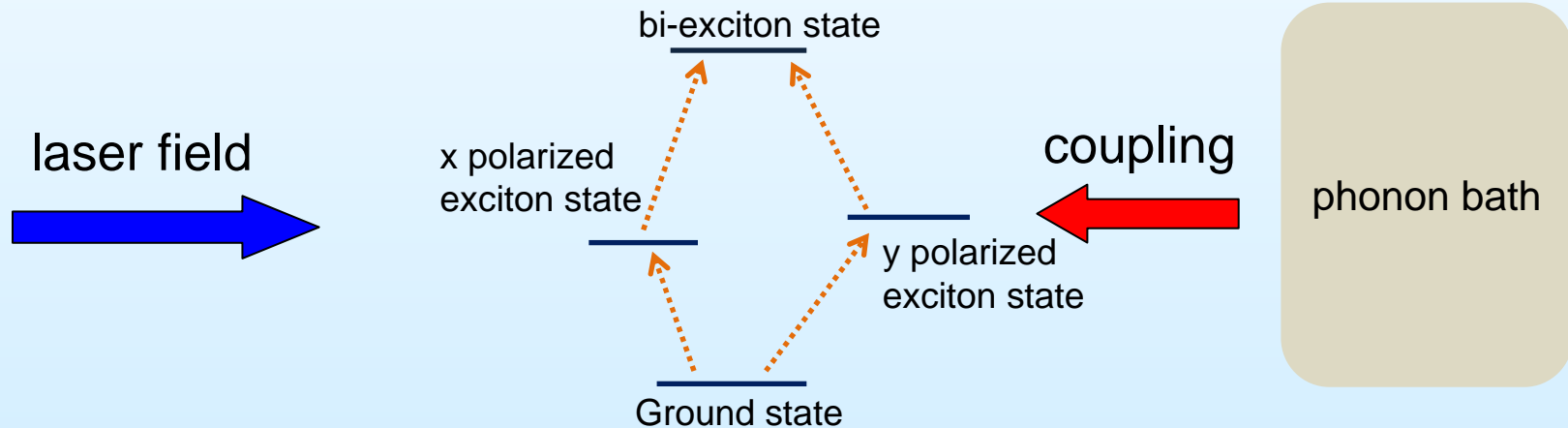
## Ex. 2: Strong field excitation of quantum dots



Single QD

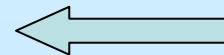
motivation:

- understanding the interplay of damping and external fields in QD
- quantum state preparation + optical manipulation



### Experiments :

- A.J. Ramsay et al Phys. Rev. Lett. 104 017402 (2010).
- A.J. Ramsay et al Phys. Rev. Lett. 105 177402 (2010).
- S. J. Boyle et al Phys. Rev. Lett. 102 207401 (2009).
- A.Vagov et al Phys. Rev. Lett. 98 227403 (2007).
- C-M. Simon et al Phys. Rev. Lett. 106, 166801 (2011).
- Y.Wu et al Phys. Rev. Lett. 106, 067401 (2011).



Toulouse: LCAR+ INSA

## Ex. 2: Strong field excitation of quantum dots

- drive QD with appropriate polarization  
→ effective TLS:

- driving with strong laser pulses  
(2ps,  $10774 \text{ cm}^{-1}$ , chirped or not)

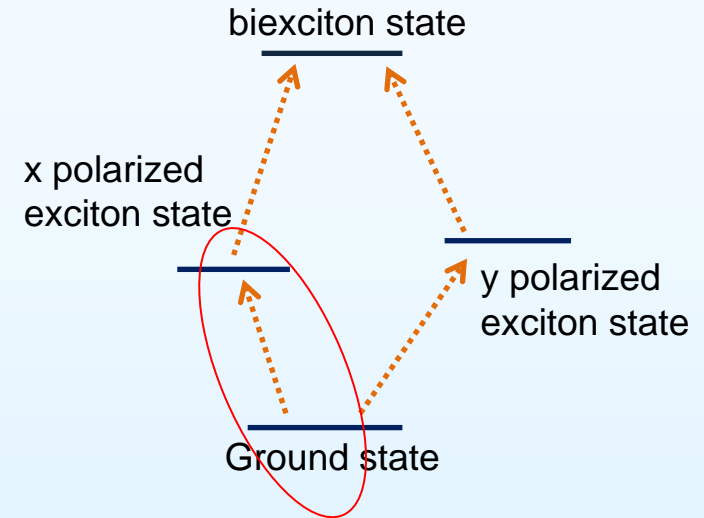
- fixed pulse length, vary intensity

- detection:  $\sim$  excited state population

- realistic QD parameters:  $J(\omega) = C \omega^3 e^{-(\frac{\omega}{\omega_c})^2}$   
 $\omega_c = 1.44 \cdot 10^{-3} \text{ eV}$

- temperature:  $T = 4K$

- Numerical details: 80 auxiliary density matrices, RK-propagator



parameters of  
Toulouse group

## Ex. 2: Strong field excitation of quantum dots



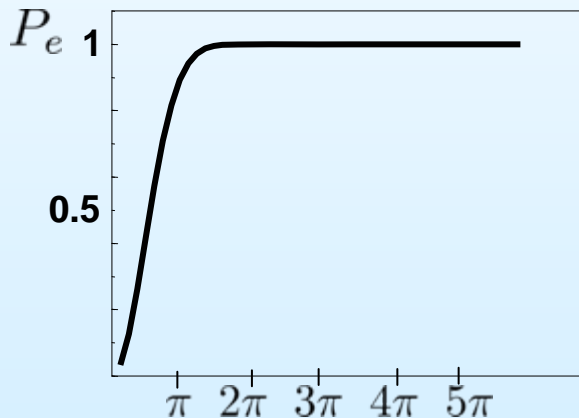
- as a function of intensity ( $\rightarrow$  pulse area): Rabi oscillations
- standard Redfield: same as isolated
- NME: damping of Rabi oscillation as function of intensity:  
effect of field onto relaxation

[ A. J. Ramsay, et al. Phys. Rev. Lett. 105 177402 (2010), A. J. Ramsay et al., J. Appl. Phys. 109, 102415 (2011), D. Mogilevtsev et al., Phys. Rev. Lett., 100, 017401 (2008)]

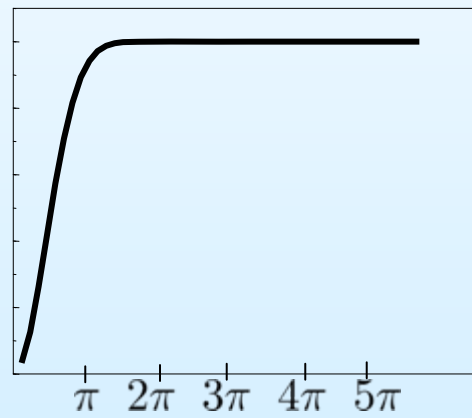
## Ex. 2: chirped adiabatic transfer

- driving with strong, chirped laser pulses  
(2ps,  $10774 \text{ cm}^{-1}$   $\phi'' = -40 \text{ ps}^2$  )
- fixed pulse length, vary intensity

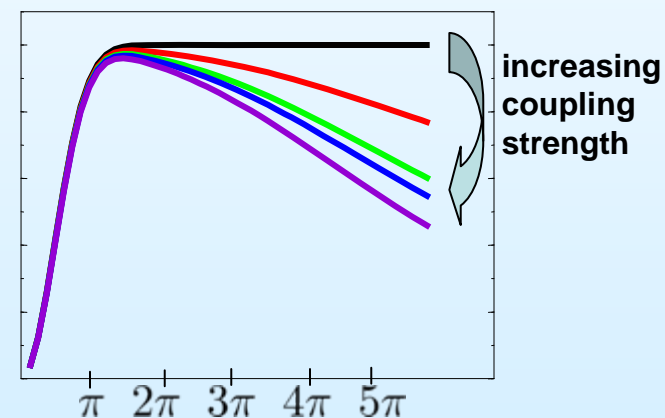
isolated TLS



Redfield



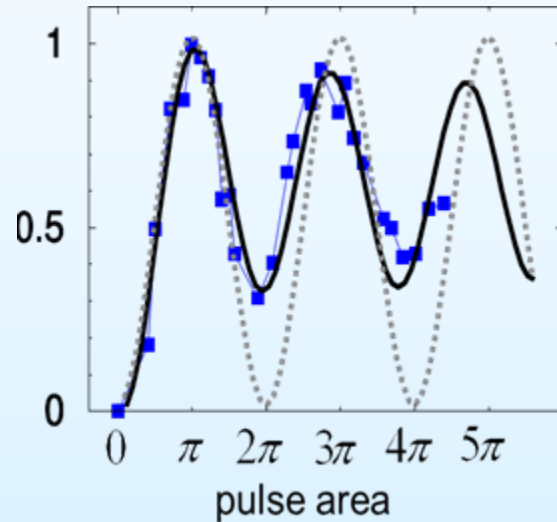
NME



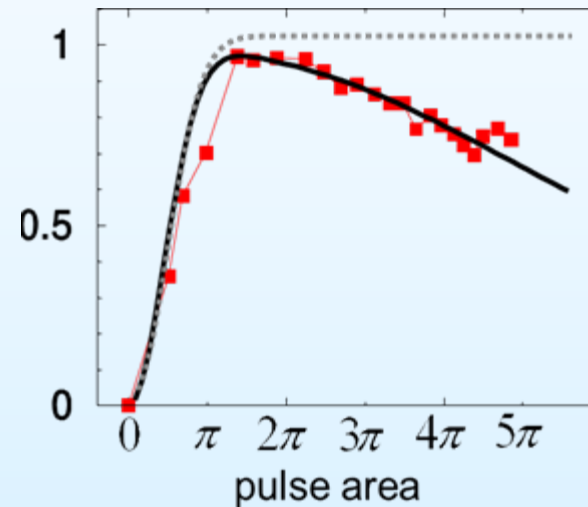
- as a function of intensity ( $\rightarrow$  pulse area): saturation: adiabatic regime (robust)
- standard Redfield: same as isolated
- NME: for very high intensities: loss of transfer efficiency

## Ex. 2: comparison with experiment

Resonant driving : Rabi oscillations



Chirped pulse driving : Adiabatic transfer



$$\phi'' = -40 \text{ ps}^2$$

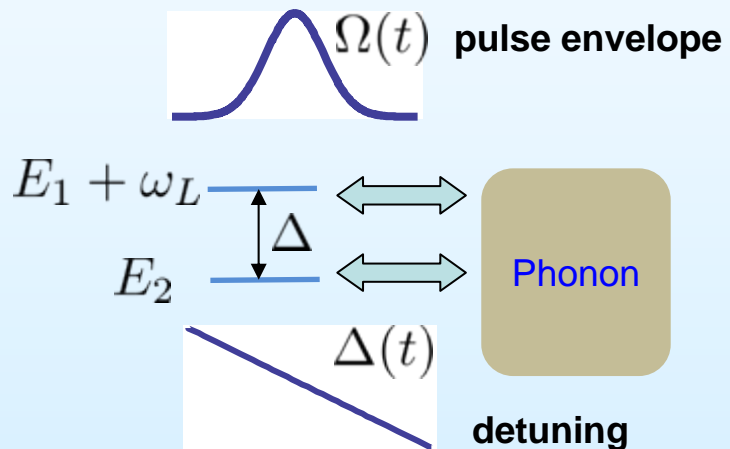
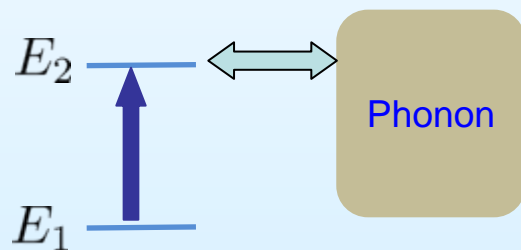
- experiments on the same quantum dot, in different excitation regimes
- damping of Rabi oscillations also observed in Ramsay group (Sheffield)

[« Robust Quantum Dot Exciton Generation via Adiabatic Passage with Frequency-Swept Optical Pulses », C.-M. Simon, T. Belhadj, B. Chatel, T. Amand, P. Renucci, A. Lemaitre, O. Krebs, P. A. Dalgarno, R. J. Warburton, X. Marie, and B. Urbaszek, Phys. Rev. Lett. 106, 166801 (2011)]

## Ex. 2: Results: physical picture

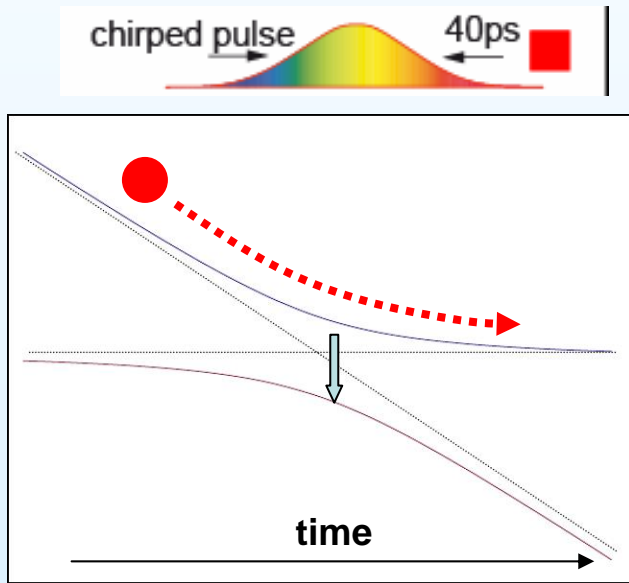
- analytical analysis is possible if pulse envelope + chirp rate slow
  - w.r.t. carrier frequency  $\rightarrow$  RWA + adiabatic approximation
  - w.r.t. bath correlation function

- dressed state picture

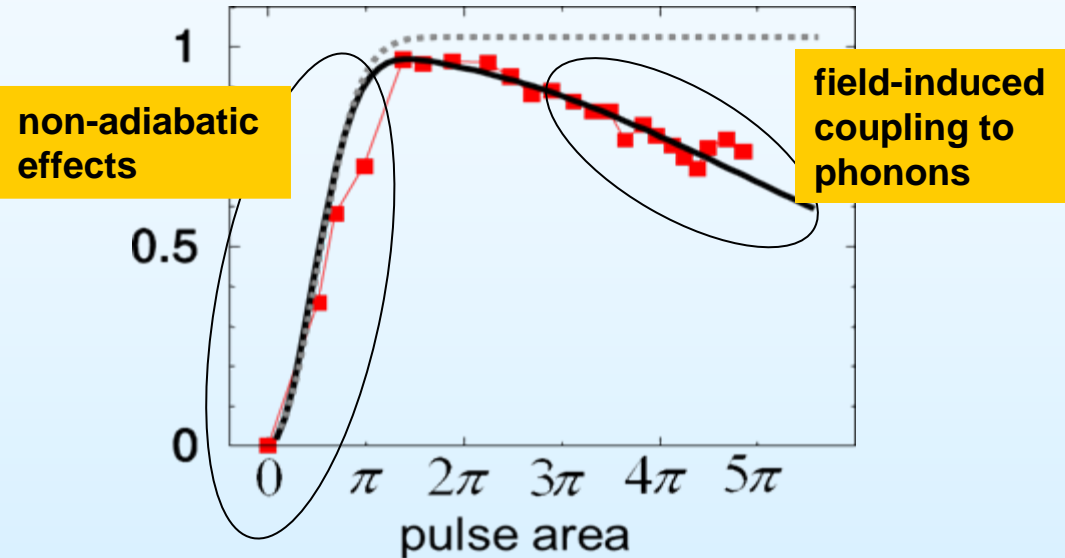


$$\dot{\rho}_s^d = -i [H_s^d, \rho_s^d] + \underbrace{\Omega(t) [\sigma_z, \alpha_1 [\sigma_x, \rho_s^d] + \alpha_2 [\sigma_z, \rho_s^d] + \alpha_3 \{\sigma_y, \rho_s^d\}]}_{\text{laser pulse induces coupling to bath}}$$

## Ex. 2: Results: physical picture

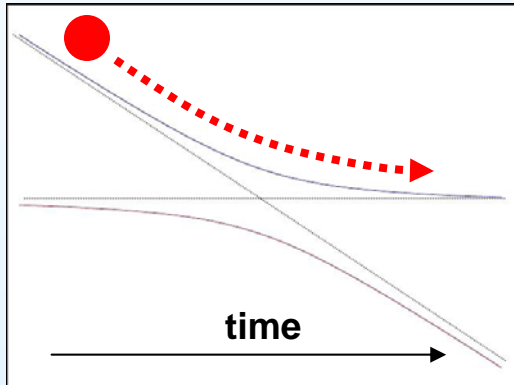


Chirped pulse driving : Adiabatic transfer

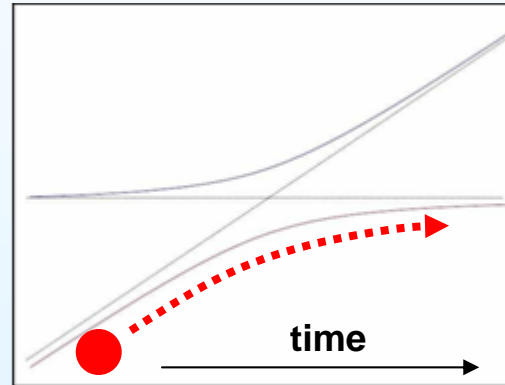
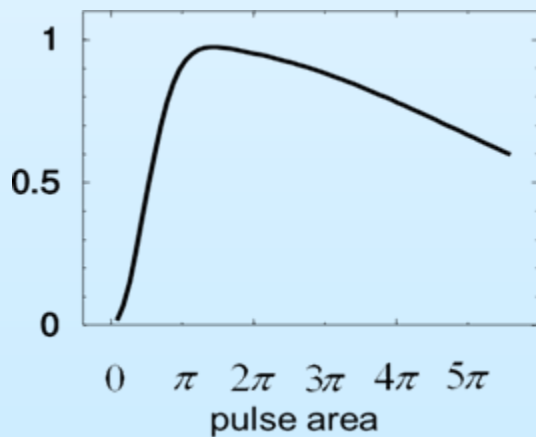


- coupling of dressed states by:
  - non-adiabatic effects
  - field-induced coupling to phonon bath:
    - relaxation of population in dressed states
- both are mediated by the laser pulse !

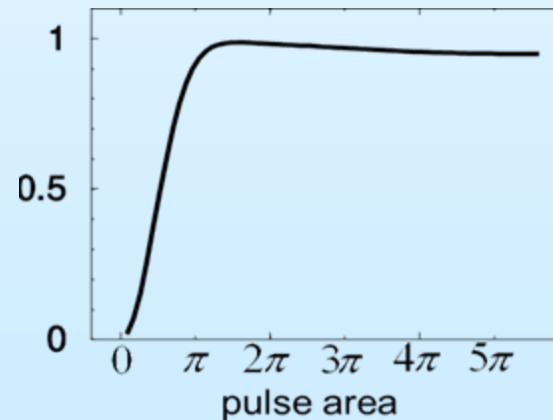
## Ex. 2: dependence of chirp



**Negative chirp :**  
high frequency component precedes  
the low frequency component.



**Positive chirp :**  
low frequency component precedes  
the high frequency component

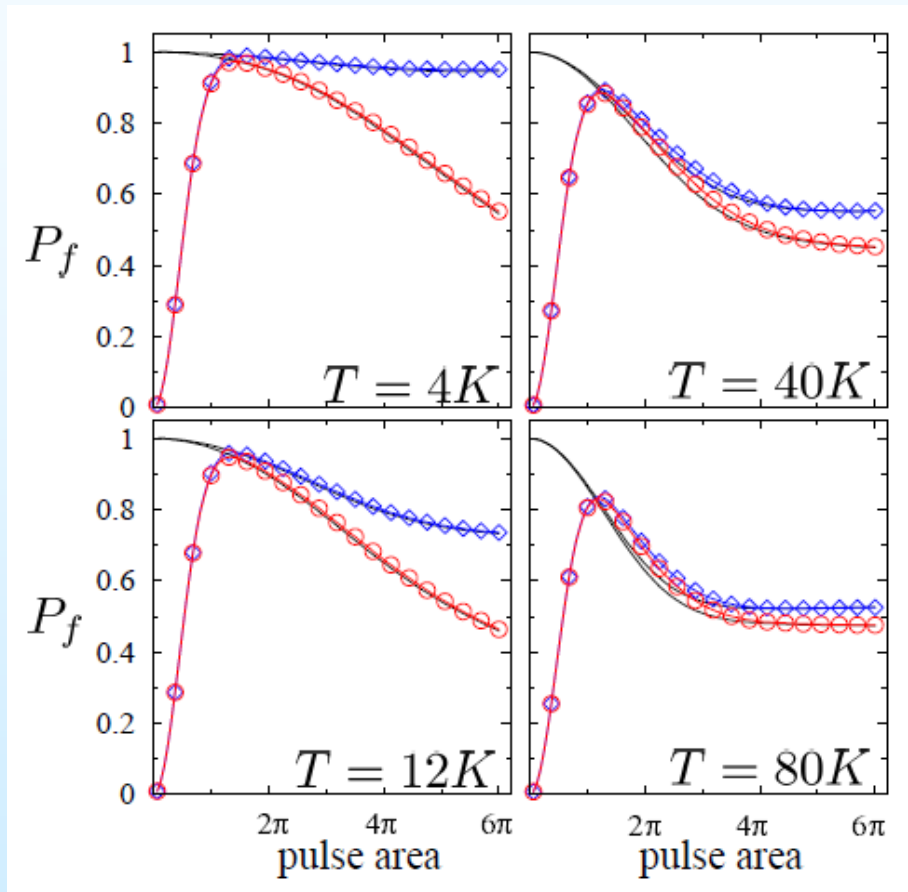


Full numerical  
solution

- sign of chirp has drastic effect onto transfer efficiency !



## Ex. 2: physical picture



Dependence of transfer efficiency on

- phase (sign of chirp)
- temperature
- intensity

blue: up-chirp  
red: down chirp

analytical model for all T

low T: 
$$P_f \approx \begin{cases} 1 \\ e^{-\kappa} \end{cases} \quad \kappa \sim J(\Omega_p)\tau_p$$

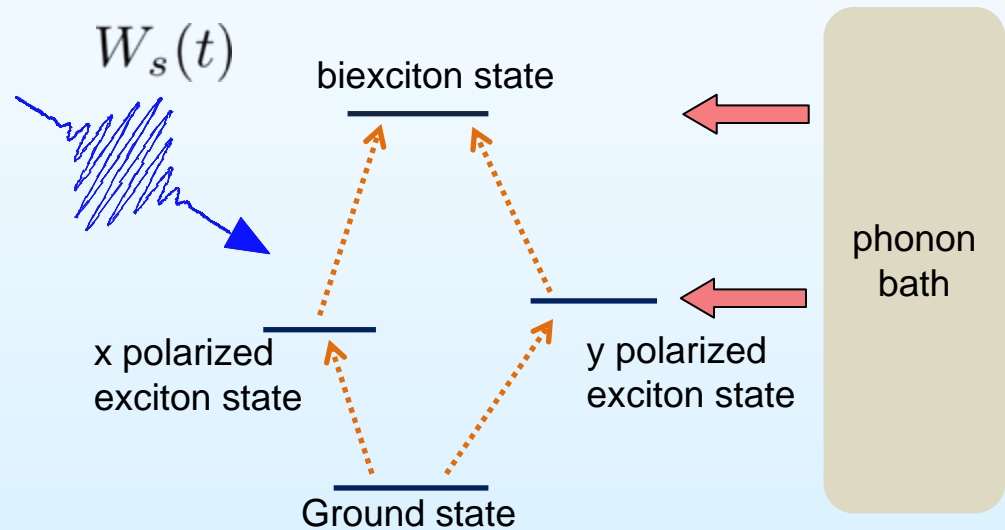
up

down

Dissipation induces a phase dependence, which does not exist in the isolated system !

## Ex. 2: Implications for control

- strong field coherent control in dissipative quantum systems

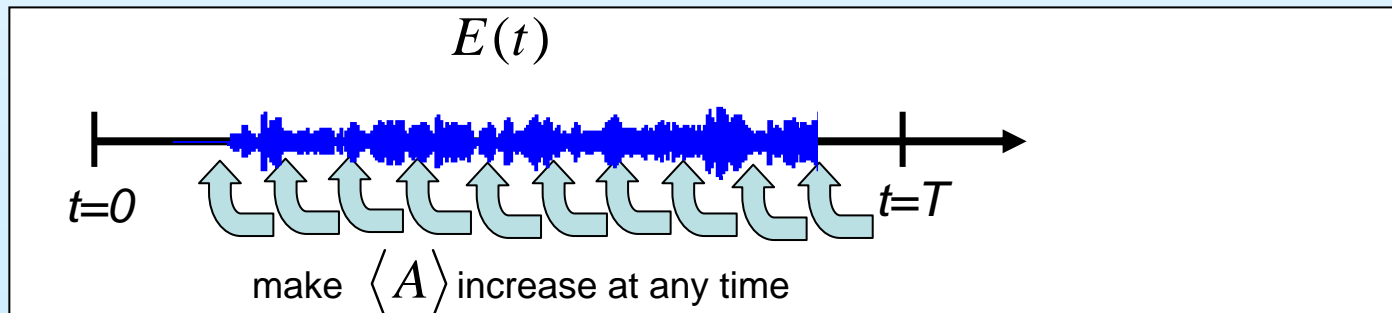
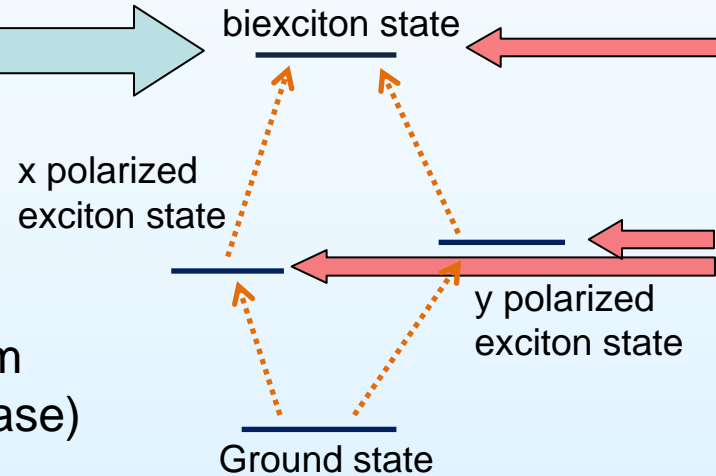
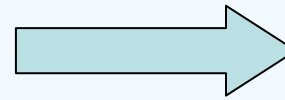


- standard approach: 
$$\dot{\rho}_s(t) = -i [H_s^0 + W_s(t), \rho_s(t)] + \Gamma(\rho(t))$$
- however: strong fields alter the coupling to the bath and the relaxation dynamics !  
→ NME

## Ex. 2: Implications for control

- control objective:  
excitation of bi-excitonic state
- four-level model
- method: « local control »:
- local control: external fields are determined by the instantaneous system dynamics to ensure an increase (decrease) of an observable [1]

control objective:



- [1] R. Kosloff, S. A. Rice, P. Gaspard, S. Tersigni, D. Tannor Chem. Phys. **139**, 201 (1989)  
 M. Sugawara Y. Fujimura, J. Chem. Phys. **100**, 5646 (1994), C. Meier, V. Engel, D. Tannor,  
 Adv. Chem. Phys. **141**, 29 (2009)

## Ex. 2: Implications for control

control objective: excitation of bi-excitonic state

possible operators: maximize:  $A = P_{bi-exc}$  projector onto target state:  
(here, not good ! lost population)

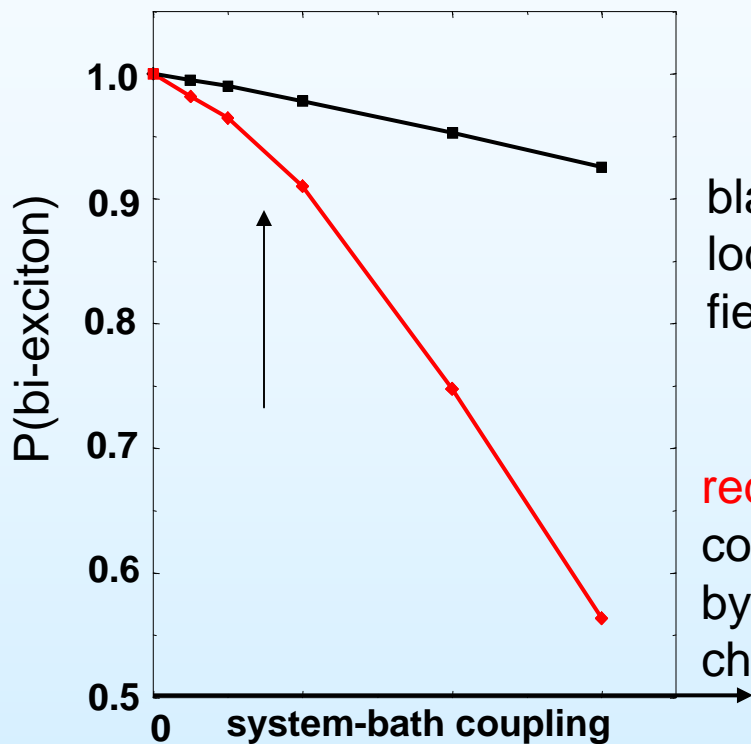
minimize:  $A = (H_s - \varepsilon)^2$  for non-degenerate states

$$\dot{\rho}_S(t) = L_S \rho_S(t) + i \sum_k [r, \rho_k(t)]$$

$$\dot{\rho}_k(t) = (i\gamma_k + L_S) \rho_k(t) + i[\alpha_k r \rho_S(t) - \tilde{\alpha}_k \rho_S(t) r]$$

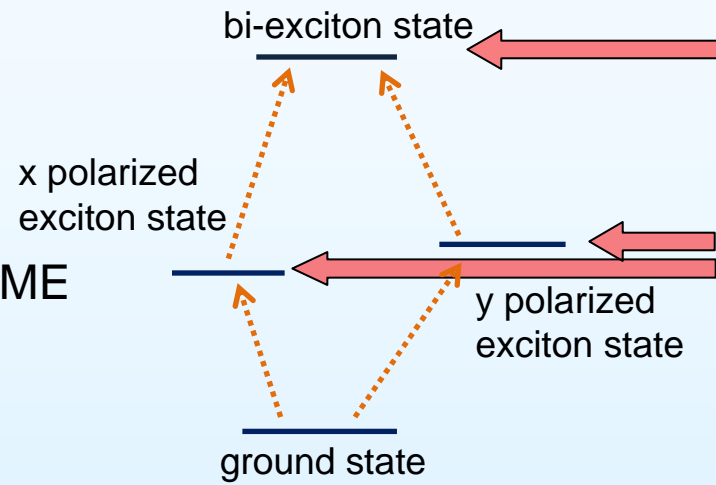
$$E(t) = \pm i \operatorname{tr} (A \mu \rho_S(t))$$

# Implications for control



black:  
local control  
field using NME

red:  
control field obtained  
by standard Redfield  
checked with NME



- NME can be coupled to control methods, very good objective
- effect of fields onto relaxation is important
- mechanism ? higher temperatures ? effects of polarization ? → work in progress ...

# Summary, conclusions

- Non-Markovian master equation approach: method of auxiliary density matrices
  - bath memory effects
  - strong fields: external fields influence relaxation
- in combination with control methods: dynamics can adapt to dissipation
- strongly driven excitonic dynamics in quantum dots:  
Non-Markovian master equation approach is ideally suited
  - very good agreement with experimental results:  
Rabi-damping and loss in adiabatic transfer efficiency:
  - exciton-phonon interaction, modified by external fields
  - phase dependence induced by low temperature environment
- NME especially useful for coherent control simulation
  - potentially strong and complicated pulses

# Outlook, future.....

- Coherent control:
  - more complete description of quantum dots
  - polarization shaping
  - apply alternative control protocols: ( OCT )
  - higher temperatures ( $T > 80$  K)
  - aim: bi-exciton close to 1 at high T
- other type of quantum dots:
  - charged quantum dots: initial correlations ?

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