Colloquium: Theory of drag reduction by polymers in wall-bounded turbulence

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The flow of fluids in channels, pipes, or ducts, as in any other wall-bounded flow (like water along the hulls of ships or air on airplanes) is hindered by a drag, which increases manifold when the fluid flow turns from laminar to turbulent. A major technological problem is how to reduce this drag in order to minimize the expense of transporting fluids like oil in pipelines, or to move ships in the ocean. It was discovered that minute concentrations of polymers can reduce the drag in turbulent flows by up to 80%. While experimental knowledge had accumulated over the years, the fundamental theory of drag reduction by polymers remained elusive for a long time, with arguments raging whether this is a “skin” or a “bulk” effect. In this Colloquium the phenomenology of drag reduction by polymers is summarized, stressing both its universal and nonuniversal aspects, and a recent theory is reviewed that provides a quantitative explanation of all the known phenomenology. Both flexible and rodlike polymers are treated, explaining the existence of universal properties like the maximum drag reduction asymptote, as well as nonuniversal crossover phenomena that depend on the Reynolds number, on the nature of the polymer and on its concentration. Finally other agents for drag reduction are discussed with a stress on the important example of bubbles.

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I. INTRODUCTION

The fact that minute concentrations of flexible polymers can reduce the drag in turbulent flows in straight tubes was first discovered by B. A. Toms in 1949 (Toms, 1949). This is an important phenomenon, utilized in a number of technological applications including the Trans-Alaska Pipeline System. By 1995 there were about 2500 papers on the subject, and by now there are many more. Earlier reviews include those by Lumley (1969), Hoyt (1972), Landhal (1973), Virk (1975), de Gennes (1990), McComb (1990), Sreenivasan and White (2000), and others. In this Introductorion we present some essential facts known about the phenomenon, to set up the

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theoretical discussions that will form the bulk of this Colloquium.

It should be stated at the outset that the phenomenon of drag, which is distinguished from viscous dissipation, should be discussed in the context of wall-bounded flows. In homogeneous isotropic turbulence there exists dissipation (Lamb, 1879), which at every point of the flow equals $v_0 |\nabla U(r,t)|^2$, where $v_0$ is the kinematic viscosity and $U(r,t)$ is the velocity field as a function of position and time. The existence of a wall breaks homogeneity, and together with the boundary condition $U = 0$ on the wall it sets a momentum flux from the bulk to the wall. This momentum flux is responsible for the drag, since not all the work done to push the fluid can translate into momentum in the streamwise direction. In stationary condition all the momentum produced by the pressure head must flow to the wall. Understanding this mechanism cannot possibly change the rate of energy dissipation when their relaxation time is of the order of eddy turnover times. This insight re-appears below as the criterion for validity will be our ability to describe and understand in a quantitative fashion all observed phenomena of drag reduction, both universal and nonuniversal, and the ability to predict the results of yet unperformed experiments.

A. Universality of Newtonian mean velocity profile

For concreteness we focus on channel flows, but most of our observations are equally applicable to other wall-bounded flows. The channel geometry is sketched in Fig. 1.

The mean flow is in the $x$ direction, between two parallel plates displaced by a distance $2L$. The distance from the lower wall is denoted by $y$ and the spanwise direction by $z$. One takes the length of the channel in the $x$ direction to be much larger than the distance between the side walls in $z$, and the latter much larger than $L$. In such a geometry the mean velocity $V = \langle U(r,t) \rangle$ is (to a high approximation) independent of either $x$ or $z$, but only a function of the distance from the wall, $V = V(y)$. When a Newtonian fluid flows at large Reynolds number [cf. Eq. (1.1)] in such a channel, it exhibits in the near-wall region a universal mean velocity profile. Here we use the word universal in the sense that any Newtonian fluid flowing in the vicinity of a smooth surface will have the same mean velocity profile when plotted in the right coordinates. The universality is best displayed in dimensionless coordinates, known also as wall units (Pope, 2000). First, for incompressible fluids we can take the density as unity $\rho = 1$. Then we define the Reynolds number $Re$, the normalized distance from the wall $y^+$, and the normalized mean velocity $V^+(y^+)$ as follows:

$$Re = \frac{L \sqrt{\rho' L / \nu_0}}{\nu} = \frac{y^+}{\kappa / \eta} / \frac{V^+}{\sqrt{\rho' / \eta}} \quad \text{(1.1)}$$

Here $\rho'$ is the fixed pressure gradient $p' = -\partial p / \partial x$. The universal profile is shown in Fig. 2 with solid circles [simulations of De Angelis et al. (2003)] open circles (experiments) and a black continuous line [theory by L'vov et al. (2004)]. This profile has two distinct parts. For $y^+ \approx 6$ one observes the viscous sublayer where

$$V^+(y^+) = y^+, \quad y^+ \leq 6 \quad \text{(1.2)}$$

(see, e.g., Pope, 2000), whereas for $y^+ \approx 30$ one sees the celebrated universal von Kármán log law of the wall which is written in wall units as

$$V^+(y^+) = \kappa K / \eta \ln y^+ + B_K, \quad \text{for } y^+ \gtrsim 30 \quad \text{(1.3)}$$

The law (1.3) is universal, independent of the nature of the Newtonian fluid; it had been a shortcoming of the theory of wall-bounded turbulence that the von Kármán constant $\kappa_K \approx 0.436$ and the intercept $B_K \approx 6.13$ had been only known from experiments and simulations (Monin and Yaglom, 1979; Zagarola and Smits, 1997). Some re-
cent progress on this was made by Lo et al. (2005).

Having observed the universal profile for the mean velocity, it is easy to see that any theory that seeks to understand drag reduction by a change in viscosity (de Gennes, 1990) is bound to fail, since the universal profile is written in reduced coordinates, and a change in the viscosity can result only in a reparametrization of the profile. Indeed, drag reduction must mean a change in the universal profile, such that the velocity $V^+$ in reduced coordinates exceeds the velocity $V^+$ predicted by Eq. (1.3).

B. Drag reduction phenomenology

Here we detail some of the prominent features of drag reduction (Virk, 1975), all of which must be explained by a consistent theory. Turbulence is characterized by the outer scale $L$ where energy is injected into the system, and by the Kolmogorov viscous scale $\eta$ below which viscous dissipation dominates over inertial terms, and the velocity field becomes essentially smooth. Now the polymers are molecular in scale, and for all realistic flows the polymer size is much smaller than this viscous scale (cf. Sec. III.A for some actual numbers for a typical polymer). How is it then that the polymers can interact at all with the turbulent degrees of freedom? This question was solved by Lumley (1969) who argued that it is the polymer relaxation time $\tau$, the time that characterizes the relaxation of a stretched polymer back to its coiled equilibrium state, which is comparable to a typical eddy turnover time in the turbulent cascade. This matching of time scales allows an efficient interaction between turbulent fluctuations and the polymer degrees of freedom.

With the typical shear rate $S(y)$ one forms a dimensionless Deborah number

$$\text{De}(y) = \tau S(y).$$

(1.4)

When $\text{De}$ exceeds the order of unity, the polymers begin to interact with the turbulent flow by stretching and taking energy from the turbulent fluctuations (cf. Sec. III.A for a derivation of this). We show below that this mechanism of Lumley is corroborated by all the available data. What we explain is how the polymers stretch, a process that must increase the viscosity, nevertheless, the drag reduces.

1. The universal maximum drag reduction asymptote

One of the most significant experimental findings (Virk, 1975) concerning turbulent drag reduction by polymers is that in wall-bounded turbulence (like channel and pipe flows) the velocity profile (with polymers added to the Newtonian fluid) is bounded between the von Kármán’s log law (1.3) and another log law which describes the maximal possible velocity profile (maximum drag reduction, MDR),

$$V^+(y^+)=\kappa_{V}^{-1}\ln y^+ + B_V,$$

(1.5)

where $\kappa_{V}^{-1}=11.7$ and $B_V=-17$. This law, discovered experimentally by Virk (1975) (and hence the notation $\kappa_V$), is also claimed to be universal, independent of the Newtonian fluid and the nature of the polymer additive, including flexible and rodlike polymers (Virk et al., 1997). This log law, like von Kármán’s log law, contains two phenomenological parameters. L’vov et al. (2004) showed that in fact this law contains only one parameter, and can be written in the form

$$V^+(y^+)=\kappa_{V}^{-1}\ln(e\kappa_{V}y^+) \quad \text{for } y^+ \geq 12,$$

(1.6)

where $e$ is the basis of the natural logarithm. The deep reason for this simplification will be explained below. For sufficiently high values of $\text{Re}$, sufficiently high concentration of the polymer $c_p$, and length of polymer (number of monomers $N_p$), the velocity profile in a channel is expected to follow the law (1.6). Needless to say, the first role of a theory of drag reduction is to provide an explanation for the MDR law and for its universality. We explain below that the reason for the universality of the MDR is that it is a marginal state between a turbulent and a laminar regime of wall-bounded flows. In this marginal state turbulent fluctuations almost do not contribute to the momentum and energy balance, and the only role of turbulence is to extend the polymers in a proper way. This explanation can be found in Sec. III., including an a priori calculation of the parameter $\kappa_V$. For finite $\text{Re}$, finite concentration $c_p$, and finite number of monomers $N_p$, one expects crossovers that are non-
universal; in particular such crossovers depend on the nature of the polymer, whether it is flexible or rodlike.

2. Crossovers with flexible polymers

When the drag reducing agent is a flexible polymer, but the concentration \( c_p \) of the polymer is not sufficiently large, the mean velocity profiles exhibit a crossover back to a log law which is parallel to the law (1.3), but with a larger mean velocity (i.e., with a larger value of the intercept \( B_k \)); see Fig. 2. The region of this log law is known as the “Newtonian plug.” The position of the crossovers are not universal in the sense that they depend on the nature of the polymers and flow conditions. The scenario is that the mean velocity profile follows the MDR up to a certain point after which it crosses back to the Newtonian plug. The layer of \( \eta^+ \) values between the viscous layer and the Newtonian plug is referred to as the elastic layer. A theory for these crossovers is provided below, cf. Sec. V.

Another interesting experimental piece of information about crossovers was provided by Choi et al. (2002). Here turbulence was produced in a counter-rotating disks apparatus, with \( \lambda \)-DNA molecules used to reduce the drag. The Reynolds number was relatively high (the results below pertain to \( \text{Re} = 1.2 \times 10^6 \)) and the initial concentrations \( c_p \) of DNA were relatively low [results employed below pertain to \( c_p = 2.70 \) and \( c_p = 1.35 \) weight parts per million (wpmm)]. During the experiment DNA degrades; fortunately the degradation is very predictable: double stranded molecules with 48 502 base pairs (bp) in size degrade to double stranded molecules with 23 100 bp. Thus, invariably, the length \( N_p \) reduces by a factor of approximately 2, and the concentration \( c_p \) increases by a factor of 2. The experiment followed the drag reduction efficacy measured in terms of the percentage drag reduction defined by

\[
\% \text{DR} = \frac{T_N - T_V}{T_N} \times 100, \quad (1.7)
\]

where \( T_N \) and \( T_V \) are the torques needed to maintain the disk to rotate at a particular Reynolds number without and with polymers, respectively. The main experimental results which are of interest are summarized in Fig. 3.

We see from the experiment that both initially (with undegraded DNA) and finally (with degraded DNA) the \%DR is proportional to \( c_p \). Upon degradation, which amounts to decreasing the length \( N_p \) by a factor of approximately 2 and simultaneously increasing \( c_p \) by factor of 2, \%DR decreases by a factor of 4. Explanations of these findings can be found in Sec. V.B.

3. Crossovers with rodlike polymers

A flexible polymer is a polymer that is coiled at equilibrium or in a flow of low Reynolds number, and it undergoes a coil-stretch transition at some value of the Reynolds number (see below for details). A rodlike polymer is stretched \textit{a priori}, having roughly the same linear extent at any value of the Reynolds number.
to see the phenomenon of drag enhancement at low Re with rodlike polymers is to consider the Fanning drag coefficient defined as

$$f = \frac{\tau_w}{\frac{1}{2} \rho \bar{V}^2},$$

(1.8)

where \(\tau_w\) is the shear stress at the wall, determined by the value \(S(y=0)\) of the shear at \(y=0\):

$$\tau_w = \rho \nu_y S(y=0),$$

(1.9)

\(\rho\) and \(\bar{V}\) are the fluid density and the mean fluid throughput, respectively. Figure 5 presents this quantity as a function of \(Re\) in the traditional Prandtl-Kármán coordinates \(1/\sqrt{f}\) vs \(Re\), for which once again the Newtonian high Re log law is universal, and for which also there exists an MDR universal maximum (Virk, 1975; Virk et al., 1996). The straight continuous line denoted by \(N\) presents the Newtonian universal law. Data points below this line are indicative of a drag enhancement, i.e., an increase in the dissipation due to the addition of the polymer. Conversely, data points above the line correspond to drag reduction, which is always bound by the MDR asymptote represented by the dashed line denoted by \(M\). This figure shows data for a rodlike polymer (a polyelectrolyte in aqueous solution at very low salt concentration) and shows how drag enhancement for low values of \(Re\) crosses over to drag reduction at large values of \(Re\) (Virk et al., 1996; Wagner et al., 2003).

One of the results of the theory presented below is that it reproduces the phenomena shown in Fig. 5 in a satisfactory manner.

We reiterate that with flexible polymers the situation is very different, and there is no drag enhancement at any value of \(Re\). The reason for this distinction will be made clear below as well.

II. SIMPLE THEORY OF THE VON KÁRMÁN LAW

As an introduction to the derivation of the MDR asymptote for fluids laden with polymers, we remind the reader first how the von Kármán log law (1.3) is derived. The derivation of the MDR will follow closely similar ideas with the modifications due to the polymers taken carefully into account.

Wall-bounded turbulence in Newtonian fluids is discussed (Monin and Yaglom, 1979; Pope, 2000) by considering the fluid velocity \(\bar{U}(r)\) as a sum of its average (over time) and a fluctuating part:

$$\bar{U}(r, t) = V(y) \hat{x} + \bar{u}(r, t).$$

(2.1)

The objects that enter the Newtonian theory are the mean shear \(S(y)\), the Reynolds stress \(W(y)\), and the kinetic energy density per unit mass \(K(y)\):

$$S(y) = dV(y)/dy, \quad W(y) = -\langle u_x u_y \rangle,$$

$$K(y) = \langle \bar{u}^2 \rangle / 2.$$

(2.2)

Note that the Reynolds stress is nothing but the momentum in the streamwise direction \(x\) transported by the fluctuations \(u_x\) in the direction of the wall; it is the momentum flux to the wall. Using the Navier-Stokes equations one can calculate this momentum flux \(P(y)\) which is generated by the pressure head \(p' = -\partial p/\partial x\); at a distance \(y\) from the wall this flux is (Pope, 2000)
\[ P(y) = p'(L - y). \]  

(2.3)

We are interested in positions \( y \ll L \), so that we can approximate the production of momentum by a constant \( p' L \). This momentum is then dissipated at a rate \( \nu_0 S(y) \).

This gives rise to the exact momentum balance equation

\[ \nu_0 S(y) + W(y) = p' L, \quad y \ll L. \]  

(2.4)

The two terms on the left-hand side take their main roles at different values of \( y \). For \( y \) close to the wall the viscous term dominates, predicting that \( S(y) \approx p' L / \nu_0 \). This translates immediately to Eq. (1.2), the mean velocity profile close to the wall.

Away from the wall the Reynolds stress dominates, but all that can be learned is that \( W(y) = \text{const.} \), which is not enough to predict the velocity profile. We need now to invoke a second equation that describes the energy balance.

Directly from the Navier-Stokes equations one can compute the rate of turbulent kinetic energy production by the mean shear; it is \( W(y) S(y) \) [see, for example, Pope (2000)], and the energy dissipation \( E_d \) at any point, \( E_d = \nu_0 \langle (\nabla u \cdot r)^2 \rangle \). The energy dissipation is estimated differently near the wall and in the bulk (L’vov et al., 2004).

Near the wall the velocity is smooth and we can estimate the gradient by the distance from the wall, and thus \( E_d = \nu_0 a K(y)/y^2 \), where \( a \) is a dimensionless coefficient of the order of unity. Further away from the wall the flow is turbulent, and we estimate the energy flux by \( K(y) / \tau(y) \), where \( \tau(y) \approx y / b \sqrt{K(y)} \) is the typical eddy turnover time at a distance \( y \) from the wall, and \( b \) is another dimensionless coefficient of the order of unity. Putting things together yields the energy balance equation

\[
\left[ \frac{a}{y^2} + \frac{b \sqrt{K(y)}}{y} \right] K(y) = W(y) S(y),
\]

(2.5)

where the first term is dominant near the wall and the second in the bulk. The interpretation of this equation is similar to the momentum balance equation except that the latter is exact; the first term on the left-hand side is the viscous dissipation, the second is the energy flux to the wall, and the right-hand side is the production.

To close the problem, the balance equations need to be supplemented by a relation between \( K(y) \) and \( W(y) \). Dimensionally these objects are the same, and therefore in the bulk [where one uses dimensional analysis to derive the second term of Eq. (2.5)] we expect that these objects must be proportional to each other. Indeed, experiments and simulations (Virk, 1975; Ptasinski et al., 2001) corroborate this expectation and one writes (L’vov et al., 2004; L’vov, Pomyalov, and Tiberkevich, 2005)

\[ W(y) = c_N^2 K(y), \]

(2.6)

with \( c_N \) being apparently \( y \) independent outside the viscous boundary layer. To derive the von Kármán log law we now assert that in the bulk the first term on the left-hand side of Eq. (2.5) is negligible, we then use Eq. (2.6) together with the previous conclusion that \( W(y) = \text{const.} \) to derive immediately \( S(y) \approx 1 / y \). Integrating yields the von Kármán log law. Note that on the face of it we have three phenomenological parameters, i.e., \( a, b, \) and \( c_N \). In fact, in the calculation of the mean velocity they appear in two combinations which can be chosen as \( K_N \) and \( B_K \) in Eq. (1.3). Nevertheless, it is not known how to compute these two parameters \( a \) \textit{a priori}. Significantly, we argue below that the slope of the MDR can be computed \( a \) \textit{a priori}, cf. Sec. III.C.

### III. The Universality of the MDR

To study the implications of adding small concentrations of polymers into wall-bounded turbulent flows we need a model that reliably describes modifications in the hydrodynamic equations that are induced by polymers. In the present section we are aiming at the universal, model independent properties of drag reduction, and therefore any reasonable model of polymers interacting with hydrodynamics which exhibits drag reduction should also lead to the universal properties. We begin with the case of flexible polymers.

#### A. Model equations for flows laden with flexible polymers

To give a flavor of the type of polymers most commonly used in experiments and technological applications of drag reduction we present the properties of polyethylene oxide \((N\times[-CH_2-CH_2-O], \) known as PEO). The typical number \( N \) of monomers ranges between \( 10^4 \) and \( 10^5 \). In water solution in equilibrium this polymer is in a coiled state, with an end-to-end distance \( \rho_0 \) of about \( 10^{-7} \) m. When fully stretched the maximal end-to-end distance \( \rho_m \) is about \( 5 \times 10^{-6} \) m. The typical mass concentration used is between 10 and 1000 wppm. The viscosity of the water solution increases by a factor of 2 when PEO is added with a concentration of 280 wppm. Note that even the maximal value \( \rho_m \) is much smaller than the typical Kolmogorov viscous scale, allowing us to model the turbulent velocity around such a polymer as a fluctuating homogeneous shear.

Although the polymer includes many monomers and therefore many degrees of freedom, it was shown (Flory, 1953; de Gennes, 1979; Bird et al., 1987; Beris and Edwards, 1994) that the most important degree of freedom is the end-to-end distance, allowing the simplest model of the polymer to be a dumbbell of two spheres of radius \( \alpha \) and negligible mass, connected by a spring of equilibrium length \( \rho_0 \), characterized by a spring constant \( k \). This model allows an easy understanding of the coil-stretch transition under a turbulent shear flow. If such a dumbbell is stretched to length \( \rho_p \), the restoring force \( k(\rho_p - \rho_0) \) is balanced by the Stokes force \( 6\pi \alpha \nu_0 d \rho_p / l \) (recall that the fluid density was taken as unity). Thus the relaxation time \( \tau \) of the dumbbell is \( \tau = 6\pi \alpha \nu_0 / k \). On the other hand, in the presence of a homogeneous shear \( S \), the spring is stretched by a Stokes force \( 6\pi \alpha \nu_0 S \rho_p \). Balancing the stretching force with the restoring force (both proportional to \( \rho_p \) for \( \rho_p \gg \rho_0 \)), one finds that the coil-
stretch transition is expected when $\Sigma r > 1$. In fact, a free polymer will rotate under a homogeneous shear, making this argument a bit more involved. Indeed, one needs a fluctuating shear $s$ in order to stretch the polymer, leading finally to the condition

$$\text{De} = \tau \langle S^2 \rangle > 1. \quad (3.1)$$

To obtain a consistent hydrodynamic description of polymer laden flows one needs to consider a field of polymers instead of a single chain. In a turbulent flow one can assume that the concentration of polymers is well mixed, and approximately homogeneous. Each chain still has many degrees of freedom. However, as a consequence of the fact that the most important degree of freedom for a single chain is the end-to-end distance, the effects of the ensemble of polymers enters the hydrodynamics in the form of a conformation tensor $R_\beta(r,t)$ which stems from the ensemble average of the dyadic product of the end-to-end distance of the polymer chains (Bird et al., 1987; Beris and Edwards, 1994).

$$R_\alpha^\beta = \langle \rho_\alpha \rho_\beta \rangle. \quad (3.2)$$

A successful model that had been employed frequently in numerical simulations of turbulent channel flows is the so-called FENE-P model (Bird et al., 1987), which takes into account the finite extensibility of the polymers:

$$\frac{\partial R_\alpha^\beta}{\partial t} + (U_\gamma \nabla) R_\alpha^\beta = \frac{\partial U_\alpha}{\partial r_\gamma} R_\alpha^\beta + R_\alpha^\gamma \frac{\partial U_\beta}{\partial r_\gamma} - \frac{1}{\tau} [P(r,t) R_\alpha^\beta - \rho_0^2 \delta_\alpha^\beta], \quad (3.3)$$

The finite extensibility is reflected by the Peterlin function $P(r,t)$ which can be understood as an ensemble averaging correction to the potential energy $k(\rho - \rho_0)^2/2$ of individual springs. For hydrodynamists this equation should be evident: think about magnetohydrodynamics, and the equations for the magnetic field $\mathbf{n}$. Write down the equations for the diadic product $n_\alpha n_\beta$; the inertial terms will be precisely the first line of Eq. (3.2). Of course, the dynamo effect would then tend to increase the magnetic field, potentially without limit: the role of the second line in the equation is to guarantee that the finite polymer will not stretch without limit, and the Peterlin term guarantees that when the polymer stretches close to the maximum there will be rapid exponential decay back to equilibrium values of the trace of $\mathbf{R}$. Since in most applications $\rho_\alpha \neq \rho_0$, the Peterlin function can also be written approximately as $P(r,t) \approx 1/(1 - \gamma R_{\gamma\gamma})$, where $\gamma = \rho_0^{-1}$. In its turn the conformation tensor appears in the equations for fluid velocity $U_\alpha(r,t)$ as an additional stress tensor:

$$\frac{\partial U_\alpha}{\partial t} + (U_\gamma \nabla) U_\alpha = - \nabla_\alpha p + \nu_0 \nabla^2 U_\alpha + \nabla_\gamma T_{\alpha\gamma} \quad (3.4)$$

$$T_{\alpha\beta}(r,t) = \frac{\nu_p}{\tau} \left[ P(r,t) \frac{\partial (r,t)}{\partial \rho_0^2} R_{\alpha\beta}(r,t) - \delta_{\alpha\beta} \right]. \quad (3.5)$$

Here $\nu_p$ is a viscosity parameter which is related to the concentration of the polymer, i.e., $\nu_p = \nu_0 - c_p$, where $c_p$ is the volume fraction of the polymer. We note, however, that the tensor field can be rescaled to remove the parameter $\gamma$ in the Peterlin function $R_{\alpha\beta} = \gamma R_{\alpha\beta}$, with the only consequence of rescaling the parameter $\nu_p$ accordingly. Thus the actual value of the concentration is open to calibration against the experimental data. Also, in most numerical simulations, the term $P$ is much larger than the unity tensors in Eqs. (3.2) and (3.5). Therefore in the theoretical development below we use the approximation

$$T_{\alpha\beta} \sim \nu_p P R_{\alpha\beta} \tau \rho_0^2. \quad (3.6)$$

We note that the conformation tensor always appears rescaled by $\rho_0^2$. For notational simplicity we absorb $\rho_0^{-2}$ into the definition of the conformation tensor, and keep the notation $R_{\alpha\beta}$ for the renormalized, dimensionless tensor.

Considering first homogeneous and isotropic turbulence, the FENE-P model can be used to demonstrate the Lumley scale discussed above. In homogeneous turbulence one measures the moments of the velocity difference across a length scale $r'$, and, in particular, the second order structure function

$$S_2(r') = \left\langle \left[ (U(r + r', t) - U(r,t)) \cdot \frac{r}{r'} \right]^2 \right\rangle, \quad (3.7)$$

where the average $\langle \cdots \rangle$ is performed over space and time. This quantity changes when the simulations are done with the Navier-Stokes equations, on the one hand, and with the FENE-P equations, on the other hand; see De Angelis et al. (2005). The polymers decrease the amount of energy at small scales. The reduction starts exactly at the theoretical estimate of the Lumley scale, shown with an arrow in Fig. 6. A more detailed analysis of the energy transfer (De Angelis et al., 2005) showed that energy flows from large to small scales and at the Lumley scale a significant amount of energy is transferred to the potential energy of the polymers by increasing $R_{\gamma\gamma}$. This energy is eventually dissipated when polymers relax their length back to equilibrium. In contradiction to the picture offered by de Gennes (1990), simulations indicate that the energy never goes back from the polymers to the flow; the only thing that polymers can do is to increase the dissipation. This is a crucial statement underlying the challenge of developing a consistent theory of drag reduction. In isotropic and homogeneous conditions the effect of the polymer is just to lower the effective Reynolds number which, of course, cannot explain drag reduction.

The FENE-P equations were also simulated on the computer in a channel or pipe geometry, reproducing the phenomenon of drag reduction found in experiments (Dimitropoulos et al., 1998, 2005; Ptasinski et al., 2001; Benzi et al., 2006). The most basic characteristic of the
phenomenon is the increase of fluid throughput in the channel for the same pressure head, compared to the Newtonian flow. This phenomenon is demonstrated in Fig. 7. As one can see, the simulation is limited compared to experiments; the Reynolds number is relatively low, and the MDR is not attained. Nevertheless, the phenomenon is there.

At any rate, once we are convinced that the FENE-P model exhibits drag reduction, it must also reproduce the universal properties of the phenomenon, and in particular the MDR. We show that this is indeed the case, but also that all crossover nonuniversal phenomena can be understood using this model. If we were not interested in the crossover phenomena, we could directly use the large concentration limit of the FENE-P model, which is the limit \( P=1 \) where the model identifies with the harmonic dumbbell model known also as the Oldroyd-B model.

B. Derivation of the MDR

In this section we review the theory that shows how the new log law (1.6) comes about when polymers are added to the flow. In the next section we explain why this law is universal and estimate the parameters from first principles.

As before, we proceed by taking the long time average of Eq. (3.4), and integrating the resulting equation along the \( y \) coordinate. This produces an exact equation for the momentum balance:

\[
W + v_0 S + \frac{\nu}{\tau} \langle PR_{xy}(y) \rangle = p'(L - y).
\]

The interpretation of this equation is as before, but we have a new term which is the rate at which momentum is transferred to the polymers. Near the wall it is again permissible to neglect the term \( p'y \) on the right-hand side for \( y \ll L \). One should not be surprised with the form of Eq. (3.8); the new term could only be the one that is appearing there, since it must have an \( x-y \) symmetry, and it simply stems from the additional stress tensor appearing in Eq. (3.4). The derivation of the energy balance equation is more involved, and had been described by L’vov et al. (2005a) and Benzi et al. (2006). The final form of the equation is

\[
a v_0 K \left( \frac{y}{y^*} \right)^3 + b \frac{K^{3/2}}{y} + c_4 v_0 \langle R_{yy} \rangle \frac{K(y)}{y^*} = WS_y,
\]

where \( c_4 \) is a dimensionless coefficient of the order of unity. This equation is in its final form, ready to be analyzed further. Equation (3.8) needs to be specialized to the vicinity of the MDR, which is only obtained when the concentration \( c_0 \) of polymers is sufficiently high, when \( Re \) is sufficiently high, but also when the Deborah number \( De \) is sufficiently high. L’vov et al. (2005a) and Benzi et al. (2006) showed that when these conditions are met,

\[
\langle PR_{xy} \rangle = c_1 \langle R_{yy} \rangle S \tau,
\]

where \( c_1 \) is another dimensionless coefficient of the order of unity. Using this result in Eq. (3.8) we end up with the momentum balance equation

\[
W(y) + v_0 S(y) + c_1 v_0 \langle R_{yy} \rangle S(y) = p'L, \quad y \ll L.
\]

The substitution (3.10) is important for what follows that we discuss it a bit further. When the conditions discussed above are all met, polymers tend to be stretched and well aligned with the flow, such that the \( xx \) component of the conformation tensor must be much larger than the \( yy \) component, with the \( yy \) component being the small-
est, tending to zero with $\text{De} \to \infty$. Since $\text{De}$ is the only dimensionless number that can relate the various components of $\langle R_{ij} \rangle$, we expect that $\langle R_{xx} \rangle \sim \text{De}(\langle R_{xy} \rangle)$ and $\langle R_{yy} \rangle \sim \text{De}(\langle R_{yx} \rangle)$. Indeed, a calculation (Lvov et al., 2005a) showed that in the limit $\text{De} \to \infty$ the conformation tensor attains the following universal form:

$$
\langle R \rangle = \begin{pmatrix}
2\text{De}^2 & \text{De} & 0 \\
\text{De} & 1 & 0 \\
0 & 0 & C
\end{pmatrix}
$$

for $\text{De} \gg 1$, (3.12)

where $C$ is of the order of unity. We concluded that $R_{xx}$ is much larger than any other component of the conformation tensor, but it plays no direct role in the phenomenon of drag reduction. Rather $R_{yy}$, which is much smaller, is the most important component from our point of view. We can thus rewrite the two balance equations derived here in the suggestive form:

$$
\nu(y)S + W = p' L, \quad (3.13)
$$

$$
\tilde{a} \nu(y) \frac{K}{y} + b \frac{K_{3/2}}{y} = WS, \quad (3.14)
$$

where $\tilde{a} = a c_4 / c_1$ and the effective viscosity $\nu(y)$ is

$$
\nu(y) = c_1 \nu_0 (R_{yy}), \quad (3.15)
$$

Note that for the purpose of deriving the MDR we can neglect the bare viscosity compared to the effective viscosity contributed by polymers.

Exactly like in the Newtonian theory one needs to add a phenomenological relation between $W(y)$ and $K(y)$ which holds in the elastic layer,

$$
W(y) = c_2 K(y), \quad (3.16)
$$

with $c_2$ an unknown coefficient of the order of unity.

At this point one asserts that the “dressed” viscous term dominates the inertial term on the left-hand side of the balance equations (3.13) and (3.14). From the first of these we estimate $\nu(y) \sim p' L / S(y)$. Plugged into the second of these equations this leads, together with Eq. (3.16), to $S(y) = \text{const} / y$, where const is a combination of the unknown coefficients appearing in these equations, and therefore is itself an unknown coefficient of the order of unity. The important thing is that the theory predicts a new log law for $V(y)$, the slope of which we show to be universal in the next subsection. Before doing so it is important to realize that if $S(y) = \text{const} / y$, our analysis indicates that the effective viscosity $\nu(y)$ must grow outside the viscous layer linearly in $y$, $\nu(y) \sim y$. If we make the self-consistent assumption that $\nu_0$ is negligible in the log-law region compared to $\nu_0(R_{yy})$, then this prediction appears in contradiction with what is known about the stretching of polymers in the channel geometry, where it had been measured that the extent of stretching decreases as a function of the distance from the wall. The apparent contradiction evaporates when we recall that the amount of stretching is dominated by $R_{yy}$ which is indeed decreasing. To see this note that Eq. (3.12) predicts that $R_{xx} \approx 2\text{De}^2 R_{yy} \approx S(y) S(y) R_{yy} \approx 1/y$. At the same time $R_{yy}$ increases linearly in $y$. Both predictions agree with what is observed in simulations, see Fig. 8.

The simplicity of the resulting theory, and the correlation between a linear viscosity profile and the phenomenon of drag reduction, raises the natural question: Is it enough to have a viscosity that rises linearly as the function of the distance from the wall to cause drag reduction? To answer this question one can simulate the Navier-Stokes equations with proper viscosity profiles (discussed below) and show that the results are in semi-quantitative agreement with the corresponding full FENE-P direct numerical simulations (DNS). Such simulations were done (De Angelis et al., 2004) in a domain $2\pi L \times 2L \times 1.2\pi L$, with periodic boundary conditions in the streamwise and spanwise directions, and with no slip conditions on walls that were separated by $2L$ in the wall-normal direction. An imposed mass flux and the same Newtonian initial conditions were used. The Reynolds number $Re$ (computed with the centerline velocity) was 6000 in all runs. The $y$ dependence of the scalar effective viscosity was close to being piecewise linear along the channel height, namely, $\nu = \nu_0$ for $y \leq y_1$, a linear portion with a prescribed slope for $y_1 < y \leq y_2$, and again a constant value for $y_2 < y < L$. For numerical stability this profile was smoothed out as shown in Fig. 9. Included in the figure is the flat viscosity profile of the standard Newtonian flow.

In Fig. 10 we show the resulting profiles of $V_y(y)$ vs $y'$. The line types are chosen to correspond to those used in Fig. 9. The decrease of the drag with the increase of the slope of the viscosity profiles is obvious. Since the slopes of the viscosity profiles are smaller than needed to achieve the MDR asymptote for the corresponding $Re$, the drag reduction occurs only in the near-wall region and the Newtonian plugs are clearly visible.
The conclusion of these simulations appears to be that one can increase the slope of the linear viscosity profile and gain further drag reduction. The natural question that comes to mind is whether this can be done without limit such as to reduce the drag to zero. Of course this would not be possible, and here lies the clue for understanding the universality of the MDR.

C. The universality of the MDR: Theory

The crucial new insight that will explain the universality of the MDR and furnish the basis for its calculation is that the MDR is a marginal flow state of wall-bounded turbulence: attempting to increase $S(y)$ beyond the MDR results in the collapse of the turbulent solutions in favor of a stable laminar solution with $W=0$ (Benzi, De Angelis, et al., 2005). As such, the MDR is universal by definition, and the only question is whether a polymer (or other additive) can supply the particular effective viscosity $\nu(y)$ that drives Eqs. (3.13) and (3.14) to attain the marginal solution that maximizes the velocity profile. We expect that the same marginal state will exist in numerical solutions of the Navier-Stokes equations furnished with a $y$-dependent viscosity $\nu(y)$. There will be no turbulent solutions with velocity profiles higher than the MDR.

To see this explicitly, we first rewrite the balance equations in wall units. For constant viscosity [i.e., $\nu(y) = \nu_0$], Eqs. (3.13)–(3.16) form a closed set of equations for $S=y_0/p'L$ and $W=W/p'L$ in terms of two dimensionless constant $\delta^* = a\sqrt{K/W}$ (the thickness of the viscous boundary layer) and $\kappa_K = b/c_N^2$ (the von Kármán constant). Newtonian experiments and simulations agree well with a fit using $\delta^* \sim 6$ and $\kappa_K \sim 0.436$ (see the continuous line in Fig. 2 which shows the mean velocity profile using these very constants). Once the effective viscosity $\nu(y)$ is no longer constant we expect $c_N$ to change ($c_N \rightarrow c_V$) and consequently the two dimensionless constants will change as well. We denote the new constants as $\Delta$ and $\kappa_C$, respectively. Clearly one must require that for $\nu(y)/\nu_0 \rightarrow 1$, $\Delta \rightarrow \delta^*$ and $\kappa_C \rightarrow \kappa_K$. The balance equations are now written as (Benzi, De Angelis, et al., 2005)

$$\nu'(y^+)S^+(y^+) + W^+ = 1,$$

(3.17)

$$\nu'(y^+)\frac{\Delta^2}{y^+} + \frac{\sqrt{W^+}}{\kappa_C y^+} = S^+,$$

(3.18)

where $\nu'(y^+) = \nu'(y)/\nu_0$. Now substituting $S^+$ from Eq. (3.17) into Eq. (3.18) leads to a quadratic equation for $\sqrt{W^+}$. This equation has a zero solution for $W^+$ (laminar solution) as long as $\nu'(y^+\Delta/y^+ = 1$. Turbulent solutions are possible only when $\nu'(y^+\Delta/y^+ < 1$. Thus at the edge of existence of turbulent solutions we find $\nu^* \propto y^+$ for $y^+ \gg 1$. This is not surprising, since it was observed above that the MDR solution is consistent with an effective viscosity which is asymptotically linear in $y^+$. It is therefore sufficient to seek the edge solution of the velocity profile with respect to linear viscosity profiles, and we rewrite Eqs. (3.17) and (3.18) with an effective viscosity that depends linearly on $y^+$ outside the boundary layer of thickness $\delta^*$:

$$[1 + \alpha(y^+ - \delta^*)]S^+ + W^+ = 1,$$

(3.19)

$$[1 + \alpha(y^+ - \delta^*)]\frac{\Delta^2}{y^+} + \frac{\sqrt{W^+}}{\kappa_C y^+} = S^+.$$

(3.20)

We now endow $\Delta$ with an explicit dependence on the slope of the effective viscosity $\nu^*(y)$, $\Delta = \Delta(\alpha)$. Since drag reduction must involve a decrease in $W$, we expect the ratio $a^2K/W$ to depend on $\alpha$, with the constraint that $\Delta(\alpha) \rightarrow \delta^*$ when $\alpha \rightarrow 0$. Although $\Delta$, $\delta^*$, and $\alpha$ are all dimensionless quantities, physically $\Delta$ and $\delta^*$ represent (viscous) length scales (for the linear viscosity profile and for the Newtonian case, respectively) while $\alpha^{-1}$ is the scale associated to the slope of the linear viscosity profile. It follows that $a\delta^*$ is dimensionless even in the original physical units. It is thus natural to present $\Delta(\alpha)$ in terms of a dimensionless scaling function $f(x)$,
FIG. 11. The solution for $10 \sqrt{W^*}$ (dashed line) and $y^* S^*$ (solid line) in the asymptotic region $y^* \gg \delta^*$, as a function of $\alpha$. The vertical solid line $\alpha = 1/2 \delta^* = 1/12$, which is the edge of turbulent solutions; since $\sqrt{W^*}$ changes sign here, to the right of this line there are only laminar states. The horizontal solid line indicates the highest attainable value of the slope of the MDR logarithmic law $1/\kappa_V = 12$.

\[
\Delta(a) = \delta^* f(a \delta^*).
\]  

(3.21)

Obviously, $f(0) = 1$. In the Appendix we show that the balance equations (3.19) and (3.20) (with the prescribed form of the effective viscosity profile) have a nontrivial symmetry that leaves them invariant under rescaling of the wall units. This symmetry dictates the function $\Delta(a)$ in the form

\[
\Delta(a) = \frac{\delta^*}{1 - a \delta^*}.
\]  

(3.22)

Armed with this knowledge we can now find the maximal possible velocity far away from the wall, $y^* \gg \delta^*$. There the balance equations simplify to

\[
\begin{align*}
\alpha y^* S^* + W^* &= 1, \\
\alpha \Delta^2(a) + \sqrt{W^*/\kappa_C} &= y^* S^*.
\end{align*}
\]  

(3.23)\hspace{1cm}(3.24)

These equations have the $y^*$-independent solution for $\sqrt{W^*}$ and $y^* S^*$:

\[
\begin{align*}
\sqrt{W^*} &= \frac{\alpha}{2 \kappa_C} + \sqrt{\left(\frac{\alpha}{2 \kappa_C}\right)^2 + 1 - \alpha^2 \Delta^2(a)}, \\
y^* S^* &= \alpha \Delta^2(a) + \sqrt{W^*/\kappa_C}.
\end{align*}
\]  

(3.25)

By using Eq. (3.25) (see Fig. 11), we obtain that the edge solution ($W^* \to 0$) corresponds to the supremum of $y^* S^*$, which happens precisely when $\alpha = 1/\Delta(a)$. Using Eq. (3.22) we find the solution $\alpha = \alpha_m = 1/2 \delta^*$. Then $y^* S^* = \Delta(\alpha_m)$, giving $\kappa_V^1 = 2 \delta^*$. Using the estimate $\delta^* \approx 6$ we get the final prediction for the MDR. Using Eq. (1.6) with $\kappa_V^1 = 12$, we get

\[
V^*(y^*) = 12 \ln y^* - 17.8.
\]  

(3.26)

This result is in close agreement with the empirical law (1.5) proposed by Virk. The value of the intercept on the right-hand side of Eq. (3.26) follows from Eq. (1.6) which is based on matching the viscous solution to the MDR log law in Virk et al. (2004). We now also have justification for this matching: the MDR is basically a laminar solution that can match smoothly with the viscous sublayer, with continuous derivative. This is not possible for the von Kármán log law which represents fully turbulent solutions. Note that the numbers appearing in Virk’s law correspond to $\delta^* = 5.85$, which is well within the error bar on the value of this Newtonian parameter. Note that we can easily predict where the asymptotic law turns into the viscous layer upon the approach to the wall. We consider an infinitesimal $W^*$ and solve Eqs. (3.17) and (3.18) for $S^*$ and the viscosity profile. The result, as before, is $\nu^*(y) = \Delta(\alpha_m) y^*$. Since the effective viscosity cannot fall below the Newtonian limit $\nu^* = 1$ we see that the MDR cannot go below $\nu^* = \Delta(\alpha_m) = 2 \delta^*$. We thus expect an extension of the viscous layer by a factor of 2, in good agreement with the experimental data.

Note that the result $W^* = 0$ should not be interpreted as $W = 0$. The difference between the two objects is the factor of $p' L$, $W = p' LW^*$. Since the MDR is reached asymptotically as $Re \to \infty$, there is enough turbulence at this state to stretch the polymers to supply the needed effective viscosity. Indeed, our discussion is similar to the experimental remark by Virk (1975) that close to the MDR asymptote the flow appears laminar.

In summary, added polymers endow the fluid with an effective viscosity $\nu(y)$ instead of $\nu_y$. There exists a profile of $\nu(y)$ that results in a maximal possible velocity profile at the edge of existence of turbulent solutions. That profile is the prediction for the MDR. In particular, we offer a prediction for simulations: direct numerical simulations of the Navier-Stokes equations in wall-bounded geometries, endowed with a linear viscosity profile (De Angelis et al., 2004), will not be able to support turbulent solutions when the slope of the viscosity profile exceeds the critical value that is in correspondence with the slope of the MDR.

IV. THE ADDITIVE EQUIVALENCE: THE MDR OF RODLIKE POLYMERS

In this section we address the experimental finding that rigid rodlike polymers appear to exhibit the same MDR (1.6) as flexible polymers (Virk et al., 1997). Since the bare equations of motion of rodlike polymers differ quite significantly from those of flexible polymers, one needs to examine the issue carefully to understand this universality, which was termed by Virk additive equivalence. The point is that in spite of the different basic equations, when conditions allow attainment of the MDR, the balance equations for momentum and energy are identical in form to those of the flexible polymers (Benzi, Ching, et al., 2005; Ching et al., 2006). The differences between the two types of polymers arise when we consider how the MDR is approached, and crossovers...
back to the Newtonian plugs, all issues that are considered below.

A. Hydrodynamics with rodlike polymers

The equation for the incompressible velocity field \( U(r,t) \) in the presence of rodlike polymers has a form isomorphic to Eq. (3.4),

\[
\frac{\partial U}{\partial t} + U \cdot \nabla U = \nu_0 \Delta U - \nabla p + \nabla \cdot \sigma, \tag{4.1}
\]

but with another \( \sigma \Rightarrow \sigma_{ab} \) playing the role of an extra stress tensor caused by polymers.

The calculation of the tensor \( \sigma \) for rigid rods by Doi and Edwards (1988) used realistic assumptions that the rodlike polymers are massless and have no inertia. In other words, rodlike polymers are assumed to be at all times in local rotational equilibrium with the velocity field. Thus the stress tensor does not have a contribution from rotational fluctuations against the fluid, but rather only from velocity variations along the rodlike object. Such variations lead to “skin friction,” and this is the only extra dissipative effect that is taken into account (Brenner, 1974; Hinch and Leal, 1975, 1976; Manhart, 2003). The result of these considerations is the following expression for the additional stress tensor:

\[
\sigma_{ab} = 6 \nu_p n_i n_b n_i n_j, \quad \text{rodlike polymers}, \tag{4.2}
\]

where \( \nu_p \) is the polymeric contribution to the viscosity at vanishingly small and time-independent shear; \( \nu_p \) increases linearly with the polymer concentration, making it an appropriate measure for the polymer’s concentration. The other quantities in Eq. (4.2) are the velocity gradient tensor

\[
S_{ab} = \partial U_a / \partial x_b, \tag{4.3}
\]

and \( n = n(r,t) \) is a unit \( \langle n \cdot n = 1 \rangle \) director field that describes the polymer’s orientation. Notice that for flexible polymers Eq. (3.6) for \( T_{ab} \) is completely different from Eq. (4.2). The difference between Eqs. (4.2) and (3.6) for the additional stress tensor in the cases of rodlike and flexible polymers reflects their very different microscopic dynamics. For flexible polymers the main source of interaction with turbulent fluctuations is the stretching of polymers by the fluctuating shear \( s \). This is how energy is taken from the turbulent field, introducing an additional channel of dissipation without necessarily increasing the local gradient. In the rodlike polymer case dissipation is only taken as the skin friction along rodlike polymers. Bearing in mind all these differences it becomes even more surprising that the macroscopic equations for the mechanical momentum and kinetic energy balances are isomorphic for the rodlike and flexible polymers, as demonstrated below.

\[
\langle \sigma_{xy} \rangle = 6 \nu_p \langle R_{xy} R_{yj} S_{ij} \rangle = 6 \nu_p \left[ \delta \langle R_{xy} \rangle R_{ij} S_{ij} \right]. \tag{4.4}
\]

Now we make use of the expected solution for the conformation tensor in the case of large mean shear. In such flows we expect a strong alignment of rodlike polymers along the streamwise direction \( x \). Then the director components \( n_x \) and \( n_z \) are much smaller than \( n_x = 1 \). For large shear we can expand \( n_x \) according to

\[
n_x = \sqrt{1 - n_y^2 - n_z^2} \approx 1 - \frac{1}{2} (n_y^2 + n_z^2). \tag{4.5}
\]

This expansion allows us to express all products \( R_{ab}, R_{cd} = n_p n_i n_j n_k \) in terms that are linear in \( R \), up to third order terms in \( n_x \sim n_z \). In particular,

\[
R_{xx}^2 \approx 1 - 2 (R_{xy} + R_{yz}), \quad R_{xy}^2 \approx R_{xy}. \tag{4.6}
\]

With Eq. (4.6) the first term on the right-hand side of Eq. (4.4) can be estimated as

\[
6 \nu_p \delta (\langle R_{xy}^2 \rangle) = \varepsilon \nu_p (\langle R_{xy} \rangle) S, \quad \varepsilon = 6. \tag{4.7}
\]

The estimate of the second term on the right-hand side of Eq. (4.4) needs further calculations which can be found in Benzi, Ching, et al. (2005) and Ching et al. (2006), with the result that it is of the same order as the first one. Finally we present the momentum balance equation in the form

\[
\nu_0 S + c_1 \nu_p (\langle R_{yy} \rangle) S + W = p' L. \tag{4.8}
\]

Another way of writing this result is in the form of an effective viscosity,

\[
\nu(y) S + W = p' L, \tag{4.9}
\]

where the effect of the rodlike polymers is included by the effective viscosity \( \nu(y) \):

\[
\nu(y) = \nu_0 + c_1 \nu_p (\langle R_{xy} \rangle). \tag{4.10}
\]

We see that despite the different microscopic stress tensors, the final momentum balance equation is the same for the flexible and the rodlike polymers. Additional calculations by Benzi, Ching, et al. (2005) showed that the energy balance equation attains precisely the same form as Eq. (3.14). Evidently this immediately translates, via the theory of the previous sections, to the same MDR by the same mechanism, and therefore the additive equivalence.

V. NONUNIVERSAL ASPECTS OF DRAG REDUCTION: FLEXIBLE POLYMERS

In this section we return to the crossover phenomena described in Secs. I.B.2 and I.B.3 and provide the theory (Benzi, L’vov, et al., 2004) for their understanding. First, we refer to the experimental data in Fig. 3.

A. The efficiency of drag reduction for flexible polymers

When there exist crossovers back from the MDR to the Newtonian plugs the mean velocity profile in the flexible polymer case consists of three regions (Virk,
chemical nature of the polymer, etc. According to the viscous solution $y^*_N < y^*$, logarithmic layer for the turbulent Newtonian flow. Region 3, $y^*_N < y^* < y^*_V = (1 + Q)y^*_N$, MDR asymptotic profile in the viscoelastic flow. Region 4, $y^* > y^*_V$, Newtonian plug in the viscoelastic flow.

1975): a viscous sublayer, a logarithmic elastic sublayer (region 3 in Fig. 12) with the slope greater then the Newtonian one, Eq. (1.5), and a Newtonian plug (region 4). In the last region the velocity follows a log law with the Newtonian slope, but with some velocity increment $\Delta V^*$:

$$V^*(y^*) = \kappa_K^{-1} \ln y^* + B_K + \Delta V^*.$$  (5.1)

Note that we have simplified the diagram for the sake of this discussion: the three profiles Eqs. (1.2), (1.3), and (1.5) intercept at one point $y^* = y^*_N = \kappa_V^{-1} = 11.7 \approx 2\delta$. In reality the Newtonian log law does not connect sharply with the viscous solution $V^* = y^*$, but rather through a crossover region of the order of $\delta$.

The increment $\Delta V^*$ which determines the amount of drag reduction is in turn determined by the crossover from the MDR to the Newtonian plug (see Fig. 12). We refer to this crossover point as $y^*_V$. To measure the quality of drag reduction one introduces (Benzi, L’vov, et al., 2004) a dimensionless drag reduction parameter

$$Q = \frac{y^*_V}{y^*_N} - 1.$$  (5.2)

The velocity increment $\Delta V^*$ is related to this parameter as follows:

$$\Delta V^* = (\kappa_V^{-1} - \kappa_K^{-1}) \ln(y^*_V/y^*_N) = \beta \ln(1 + Q).$$  (5.3)

Here $\beta = \kappa_V^{-1} - \kappa_K^{-1} \approx 9.4$. The Newtonian flow is then a limiting case of the viscoelastic flow corresponding to $Q = 0$.

The crossover point $y^*_V$ is nonuniversal, depending on Re, the number of polymers per unit volume $c_p$, the chemical nature of the polymer, etc. According to the theory in the last section, the total viscosity of the fluid $\nu_{0\ell}(y^*) = \nu_0 + \nu_\ell(y^*)$ [where $\nu_\ell(y^*)$ is the polymeric contribution to the viscosity which is proportional to $\langle R_{ij}\rangle$] is linear in $y^*$ in the MDR region:

$$\nu_{0\ell}(y^*) = \nu_0 y^*/y^*_N, \quad y^*_N < y^* < y^*_V.$$  (5.4)

When the concentration of polymers is small and Re is large enough, the crossover to the Newtonian plug at $y^*_V$ occurs when the polymer stretching can no longer provide the necessary increase of the total fluid viscosity. In other words, in that limit the crossover is due to the finite extensibility of the polymer molecules. Obviously, the polymeric viscosity cannot be greater than $\nu_{p\text{ max}}$ which is the viscosity of the fully stretched polymers. Thus the total viscosity is limited by $\nu_0 + \nu_{p\text{ max}}$. Equating $\nu_0 + \nu_{p\text{ max}}$ and $\nu_{0\ell}(y^*_V)$ gives us the crossover position

$$y^*_V = y^*_N(\nu_0 + \nu_{p\text{ max}})/\nu_0.$$  (5.5)

It follows from Eq. (5.2) that the drag reduction parameter is determined by

$$Q = \nu_{p\text{ max}}/\nu_0, \quad c_p \text{ small, } \text{Re large.}$$  (5.6)

At this point we need to relate the maximum polymeric viscosity $\nu_{p\text{ max}}$ to the polymer properties. To this aim we estimate the energy dissipation due to a single, fully stretched, polymer molecule. In a reference frame comoving with the polymer’s center of mass the fluid velocity can be estimated as $u = r \nabla r$ (the polymer’s center of the mass moves with the fluid velocity due to negligible inertia of the molecule). The friction force exerted on the $i$th monomer is estimated using Stokes law with $\partial u_i$ being the velocity difference across a monomer,

$$F_i = \rho_0 \nu_0 \xi \partial u_i = \rho_0 \nu_0 \xi r_i \nabla u,$$  (5.7)

where $\xi$ is an effective hydrodynamic radius of one monomer (depending on the chemical composition) and $r_i$ is the distance of the $i$th monomer from the center of the mass. In a fully stretched state $r_i = \xi$ (the monomers are aligned along a line). The energy dissipation rate (per unit volume) is equal to the work performed by the external flow

$$- \frac{dE}{dt} = c_p \sum_{i=1}^N F_i \partial u_i = \rho_0 \nu_0 \xi^3 c_p N_p^3 (\nabla u)^2$$

$$= \rho_0 \nu_{p\text{ max}} (\nabla u)^2.$$  (5.8)

We thus can estimate $\nu_{p\text{ max}}$:

$$\nu_{p\text{ max}} = \nu_0 \xi^3 c_p N_p^3.$$  (5.9)

Finally, the drag reduction parameter $Q$ is given by

$$Q = \xi^3 c_p N_p^3, \quad c_p \text{ small, } \text{Re large.}$$  (5.10)

This is the central theoretical result of Benzi, L’vov, et al. (2004), relating the concentration $c_p$ and degree of polymerization $N_p$ to the increment in mean velocity $\Delta V^*$ via Eq. (5.3).

**B. Drag reduction when polymers are degraded**

The main experimental results are summarized in Fig. 3. Note that in this experiment the flow geometry is rather complicated: with counter-rotating disks the linear velocity depends on the radius, and the local Rey-
Defining the centerline Reynolds number as $\text{Re}$, we should be replaced by the pressure gradients. In this plane geometry the torques in Eq. (1.7) should be replaced by the pressure gradients $p'_{N,Y}$:

$$\% \text{DR} = \frac{p'_N - p'_V}{p'_N} \times 100.$$  
(5.11)

In order to relate $\% \text{DR}$ with the drag reduction parameter $Q$, one rewrites Eq. (5.1) in natural units,

$$V(y) = \sqrt{p'/L} [\kappa^{-1}_{K} \ln (y \sqrt{p'/L} / \nu_0) + B_K + \Delta V^*].$$  
(5.12)

To find the degree of drag reduction one computes $p'_V$ and $p'_N$ keeping the centerline velocity $V(L)$ constant. Defining the centerline Reynolds number as $\text{Re}$, we rewrite

$$\text{Re} = \frac{V(L) L}{\nu_0} = \text{Re} [\kappa^{-1}_{K} \ln \text{Re} + B_K + \Delta V^*].$$  
(5.13)

This equation implicitly determines the pressure gradient and therefore the $\% \text{DR}$ as a function of $Q$ and $\text{Re}$. The set of Eqs. (5.3) and (5.13) is readily solved numerically, and the solution for three different values of $\text{Re}$ is shown in Fig. 13. The middle curve corresponds to $\text{Re} = 1.2 \times 10^6$, which coincides with the experimental conditions (Choi et al., 2002). One sees, however, that the dependence of $\% \text{DR}$ on $\text{Re}$ is rather weak.

One important consequence of the solutions shown in Fig. 13 is that for small $Q$ (actually for $Q \leq 0.5$ or $\% \text{DR} \approx 20$), $\% \text{DR}$ is approximately a linear function of $Q$. The experiments (Choi et al., 2002) lie entirely within this linear regime, in which we can linearize Eq. (5.13) in $\Delta V^*$, solve once for $p'_V$ and once for $p'_N$ (using $\Delta V^* = 0$). Computing Eq. (5.11) we find an approximate solution for the $\% \text{DR}$:

$$\% \text{DR} = \frac{2\beta Q}{\kappa^{-1}_{K} \ln (\text{Re}_N) + B_K} \times 100.$$  
(5.14)

Here $\text{Re}_N$ is the friction Reynolds number for the Newtonian flow, i.e., the solution of Eq. (5.13) for $\Delta V^* = 0$.

It is interesting to note that while the $\% \text{DR}$ depends on the Reynolds number, the ratio of different $\% \text{DR}$’s does not [to $O(Q)$]:

$$\frac{\% \text{DR}(1)}{\% \text{DR}(2)} = \frac{Q^{(1)}}{Q^{(2)}} = \frac{\nu_{p \text{ max}}^{(1)}}{\nu_{p \text{ max}}^{(2)}}.$$  
(5.15)

This result, together with Eq. (5.9), rationalizes completely the experimental finding of Choi et al. (2002) summarized in Fig. 3. During the DNA degradation, the concentration of polymers increases by a factor of 2, while the number of monomers $N_p$ decreases by the same factor. This means that $\% \text{DR}$ should decrease by a factor of 4, as is indeed the case.

The experimental results pertain to high $\text{Re}$ and small $c_p$, where we can assert that the crossover results from exhausting the stretching of polymers such that the maximal available viscosity is achieved. In the linear regime relevant to this experiment the degradation has a maximal effect on the quality of drag reduction $Q$, leading to the precise factor of 4 in the results shown in Fig. 3. Larger values of the concentration of DNA will exceed the linear regime as is predicted by Fig. 13; then the degradation is expected to have a smaller influence on the drag reduction efficacy. It is worthwhile to test the predictions of this theory also in the nonlinear regime.

### C. Other mechanisms for crossover

Having any reasonable model of polymer laden flows at our disposal we can address other possibilities for the saturation of drag reduction. We expect a crossover from the MDR asymptote back to the Newtonian plug when the basic assumptions on the relative importance of the various terms in the balance equations lose their validity, i.e., when (i) turbulent momentum flux $W$ becomes comparable with the total momentum flux $p'/L$, or when (ii) turbulent energy flux $bK^{3/2}/y$ becomes of the same order as turbulent energy production $\omega$. In fact, it was shown (Benzi et al., 2006) using the FENE-P model that both these conditions give the same crossover point

$$y_W = \frac{\tau_p}{\langle p' \rangle}.$$  
(5.16)

Note that $y_W = \tau(\langle p' \rangle)$ is the effective nonlinear polymer relaxation time. Therefore condition (5.16) can be also rewritten as
\[ S(y_V) \tilde{\tau}(y_V) = 1. \]  \hspace{1cm} \text{(5.17)}

In writing this equation we use the fact that the crossover point belongs also to the edge of the Newtonian plug where \( S(y) = \left( \frac{\sigma}{\eta} \right) \). The left-hand side of this equation is simply the local Deborah number (the product of local mean shear and local effective polymer relaxation time). Thus the crossover to the Newtonian plug occurs at the point where the local Deborah number decreases to \( \sim 1 \). We expect that this result is correct for any model of elastic polymers, not only for the FENE-P model considered here.

To understand how the crossover point \( y_V \) depends on the polymer concentration and other parameters, one needs to estimate mean value of the Peterlin function \( \langle P \rangle \). Following Benzi et al. (2006) we estimate the value of \( \langle P \rangle \) as
\[
\langle P \rangle = \frac{1}{1 - \gamma \langle R \rangle},
\hspace{1cm} \text{(5.18)}
\]
where \( \langle R \rangle = (R_{xx} + R_{yy} + R_{zz}) / 3 \sim (R_{xx} + 2R_{yy}) \) and \( \gamma \sim 1/p_m^2 \) (for simplicity we disregard \( \rho_0 \)). We know from before that
\[
\langle R_{xx} \rangle = \langle S \rangle \tilde{\tau}(R_{yy})
\hspace{1cm} \text{(5.19)}
\]
and therefore at the crossover point (5.17)
\[
\langle R_{xx} \rangle = \langle R_{yy} \rangle, \quad \langle R \rangle = \langle R_{yy} \rangle.
\hspace{1cm} \text{(5.20)}
\]

The dependence of \( \langle R_{yy} \rangle \) on \( y \) in the MDR region follows from Eqs. (3.11), since
\[
\langle R_{yy} \rangle = \frac{y \sqrt{p' L}}{v_p}.
\hspace{1cm} \text{(5.21)}
\]

Then at the crossover point \( y = y_V \)
\[
\langle P \rangle = \frac{1}{1 - \gamma y_{yy} \sqrt{p'L}/v_p}.
\hspace{1cm} \text{(5.22)}
\]

Substituting this estimate into Eq. (5.16) gives the final result
\[
y_V = \frac{C \sqrt{p' L}}{1 + \gamma p' L \pi v_p}
\hspace{1cm} \text{(5.23)}
\]

This prediction can be put to direct test when \( \tilde{c}_p \) is very large, or equivalently in the Oldroyd-B model (Bird et al., 1987) where \( P = 1 \) (Benzi, Ching et al., 2004). Indeed, in numerical simulations when the Weissenberg number was changed systematically [cf. Yu et al. (2001)], one observes the crossover to depend on \( \text{De} \) in a manner consistent with Eq. (5.23). The other limit when \( \tilde{c}_p \) is small is in agreement with the linear dependence on \( \tilde{c}_p \) predicted in Eq. (5.10).

We thus reach conclusions about the saturation of drag reduction in various limits of the experimental conditions, in agreement with experiments and simulations.

\section{VI. CROSSOVER PHENOMENA WITH RODLIKE POLYMERS}

\subsection{A. The attainment of the MDR as a function of concentration}

At this point we return to the different way that the MDR is approached by flexible and rodlike polymers when the concentration of the polymer is increased. As discussed above, in the case of flexible polymers the MDR is followed until the crossover point \( y_v^* \) already discussed in great detail. The rodlike polymers attain the MDR only asymptotically, and for intermediate values of the concentration the mean velocity profile increases gradually from the von Kármán log law to the MDR log law.

This difference can be fully understood in the context of the present theory. The detailed calculation addressing this issue was presented by Ching et al. (2006). The results of the calculation, presented as the mean velocity profiles for increasing concentration of the two types of polymers, are shown in Figs. 14 and 15. Note the difference between these profiles as a function of the polymer concentration. While the flexible polymer case exhibits the feature (Virk, 1975; Virk et al., 1997) that the velocity profile adheres to the MDR until a crossover to the
Newtonian plug is realized, the rodlike case presents a “fan” of profiles which only asymptotically reach the MDR. We also notice that the flexible polymer matches the MDR faithfully for relatively low values of $\tilde{\nu} = \nu_p/\nu_0$, whereas the rodlike case attains the MDR only for much higher values of $\tilde{\nu}$. This result is in agreement with the experimental finding of Wagner et al. (2003) and Bonn et al. (2005) that the flexible polymer is a better drag reducer than the rodlike analog.

The calculation of Ching et al. (2006) allows a parameter-free estimate of the crossover points $y_p^+$ from the MDR to the Newtonian plug in the case of flexible polymers. The resulting estimate reads

$$y_p^+ = 12 + \tilde{\nu}\sqrt{0.1}. \quad (6.1)$$

These estimates agree well with the numerical results in Fig. 14. No such simple calculation is available for the case of rodlike polymers since there is no clear point of departure for small $\tilde{\nu}$.

We note that the higher efficacy of flexible polymers cannot be easily related to their elongational viscosity as measured in laminar flows. Some studies (den Toonder et al., 1995; Wagner et al., 2003; Bonn et al., 2005), proposed that there is a correlation between the elongational viscosity measured in laminar flows and the drag reduction measured in turbulent flows. We find here that flexible polymers do better in turbulent flows due to their contribution to the effective shear viscosity, and their improved capability in drag reduction stems simply from their ability to stretch, something that rodlike polymers cannot do.

B. Crossover phenomena as a function of the Reynolds number

Finally, we address the drag enhancement by rodlike polymers when the values of Re are too small, see Fig. 5. The strategy of Amarouchene et al. (2007) was to develop an approximate formula for the effective viscosity in the case of rodlike polymers that interpolates properly between low and high values of Re. For that purpose we discuss the high Re form of $\nu_{\text{eff}}$, derive the form for low value of Re, and then offer an interpolation formula.

Even for intermediate values of Re one cannot neglect $y$ in the production term $p'(L-y)$ in the momentum balance equation. Keeping this term the momentum balance equation becomes, in wall units,

$$\left(1 + \nu_p^+ R_{yy}S^+ + W^+\right) S^+ = 1 - \frac{y^+}{\text{Re}}. \quad (6.2)$$

where $\nu_p^+ = \nu_p/\nu_0$.

The energy balance equation remains as before,

$$W^+ S^+ \sim a^2 \left(1 + \nu_p^+ R_{yy}\right) \frac{K^+}{(y^+)^2} + b \left(K^+\right)^{3/2} \frac{y^+}{y^+}. \quad (6.3)$$

As was explained above, Eqs. (6.2) and (6.3) imply that polymers change the properties of flows by replacing the viscosity by

$$\nu = 1 + \nu_p^+ R_{yy}. \quad (6.4)$$

In the fully developed turbulent flow with rodlike polymers, when Re is very large, Benzi, Ching, et al. (2005) showed that $R_{yy}$ depends on $K^+$ and $S^+$:

$$R_{yy} = \frac{K^+}{(y^+ S^+)^2}. \quad (6.5)$$

Benzi, Ching, et al. (2005) argued that for large Re, $K^+$ grows linearly with $y^+$ and thus the viscosity profile is linear.

Next consider low Re flows. According to Eq. (6.4), the value of $\nu_{\text{eff}}$ depends on $\nu_p^+$ and $R_{yy}$. The value of $\nu_p^+$ is determined by the polymer properties such as the number of monomers, their concentration etc., and thus $\nu_p^+$ should be considered as an external parameter in the equation. The value of $R_{yy}$, on the other hand, depends on the properties of the flow. In the case of laminar flow with a constant shear rate, i.e., $K^+ = W^+ = 0$ and $S^+ = \text{const}$, it was shown theoretically by Doi and Edwards (1988) that

$$R_{yy} = \frac{2^{1/3}}{\text{De}^{1/3}}. \quad (6.6)$$

Thus, the effective viscosity is reduced if $S$ is increased, and therefore the rodlike polymer solution is a shear-thinning liquid. Naturally, the value of De changes with Re. To clarify this dependence we consider the momentum equations (2.4) at $y=0$ in the Newtonian case,

$$\nu_0 S = p'/L. \quad (6.7)$$

Usually in experiments the system size and the working fluid remain the same. Therefore $\nu_0$ and $L$ are constants and Re depends on $p'/L$ only. According to Eq. (1.1), $\text{Re}_S$ grows as $\sqrt{p'/L}$ and therefore

$$\text{De} = \frac{\nu_0}{\gamma L^2 \text{Re}}^2 \quad (6.8)$$

by Eq. (6.7). Putting into Eq. (6.6), we have
In the case of intermediate Re, we need an interpolation between Eqs. (6.5) and (6.6). To do this note that when \( y^+ \) is small, the solution of Eqs. (6.2), (6.6), and (6.3), result in \( W^+ = K^+ = 0 \) in the viscous sublayer. This implies that the flow cannot be highly turbulent in the viscous sublayer. Thus, it is reasonable to employ Eq. (6.6) as long as \( y^+ \) is small. On the other hand, as the upper bound of \( y^+ \) is \( \text{Re} \), when \( y^+ \) is large, it automatically implies that \( \text{Re} \) is large. The laminar contribution is therefore negligible as it varies inversely with \( \text{Re} \). The effective viscosity due to the polymer is dominated by the turbulent estimate, Eq. (6.5). To connect these two regions we simply use the pseudosum:

\[
\nu = 1 + \nu_p \frac{\lambda}{\text{Re}^{4/3}}
\]

where \( \lambda = \nu_0/\gamma L^2 \) is a constant.

In the case of intermediate Re, we need an interpolation between Eqs. (6.5) and (6.6). To do this note that when \( y^+ \) is small, the solution of Eqs. (6.2), (6.6), and (6.3), result in \( W^+ = K^+ = 0 \) in the viscous sublayer. This implies that the flow cannot be highly turbulent in the viscous sublayer. Thus, it is reasonable to employ Eq. (6.6) as long as \( y^+ \) is small. On the other hand, as the upper bound of \( y^+ \) is \( \text{Re} \), when \( y^+ \) is large, it automatically implies that \( \text{Re} \) is large. The laminar contribution is therefore negligible as it varies inversely with \( \text{Re} \). The effective viscosity due to the polymer is dominated by the turbulent estimate, Eq. (6.5). To connect these two regions we simply use the pseudosum:

\[
\nu = 1 + \nu_p \left( \frac{\lambda}{\text{Re}^{4/3}} + \frac{K^+}{(y^+ S^+)^2} \right) = \frac{\nu_p K^+}{(y^+ S^+)^2}
\]

where \( g = 1 + \nu_p^2 \lambda/\text{Re}^{4/3} \). One can see that the limits for both high and low \( \text{Re} \) are satisfied.

The form of Eq. (6.10) for \( \nu_{\text{eff}} \) was incorporated into the balance equations which were analyzed and solved self-consistently by Amarouchene et al. (2007); one of the most interesting results, which can be directly compared to the data in Fig. 5, refers to the drag reduction as a function of concentration for rodlike polymers, presented in Prandtl-Kármán coordinates. Results of the theoretical predictions are shown in Fig. 16, and the qualitative agreement with experimental observations is obvious.

Another interesting comparison with experimental findings is available from Amarouchene et al. (2007) where the percentage of drag enhancement and reduction were measured as a function of \( \nu_p \). Quantitative comparison needs a careful identification of the material parameters in the theory and experiment, and was described by Amarouchene et al. (2007). Figure 17 shows the comparison of the percentage of drag reduction (enhancement) between the theoretical predictions and experimental results. The two data sets shown pertain to \( c_p = 250 \) and \( 500 \) wppm. The agreement between theory and experiment is satisfactory.

**VII. DRAG REDUCTION BY BUBBLES**

In order not to give the impression that polymers are the only additives that can reduce the drag, or that they provide the only technologically preferred method, we discuss briefly drag reduction by other additives like surfactants and bubbles Gyur and Bewersdorff (1995). Generally speaking, the understanding of drag reduction by these additives lags behind what had been achieved for polymers. The importance of drag reduction by bubbles cannot be, however, overestimated; for practical applications in the shipping industry the use of polymers is out of the question for economic and environmental reasons, but air bubbles are potentially very attractive (Kitagawa et al., 2005).

The theory of drag reduction by small concentrations of minute bubbles is relatively straightforward, since under such conditions the bubbles only renormalize the density and the viscosity of the fluid, and a one-fluid model suffices to describe the dynamics (L’vov et al., 2005b). The fluid remains incompressible, and the equations of motion are basically the same as for a Newtonian fluid with renormalized properties. The amount of drag reduction under such conditions is, however, limited. But when the bubbles increase in size, the one-fluid model loses its validity since the bubbles become dynamical in the sense that they are no longer Lagrangian particles, their velocity is no longer the fluid velocity at their center, and they begin to fluctuate under the influence of local pressure variations. The fluctuations of the...
bubbles are of two types: (i) the bubbles are no longer spherical, distorting their shape according to the pressure variations, and (ii) the bubbles can oscillate radially (keeping their spherical shape) due to the compressibility of the gas inside the bubble. The first effect was studied numerically using the “front tracking” algorithm of Kawamura and Kodama (2002) and Lu et al. (2005). However, the results indicate either a drag enhancement or a limited and transient drag reduction. This leads one to study the possibility of explaining bubbly drag reduction by bubble oscillations. Indeed, a theoretical model proposed by Legner (1984) successfully explained the bubbly drag reduction by relating turbulent viscosity in the bubbly flow to the bulk viscosity of the bubbles. While the bulk viscosity is important only when the bubbles are compressible, it is important and interesting to see how and why it affects the characteristics of the flow. Here we review how drag reduction is caused by bubbles when bubble oscillations are dominant. Finally, we compare our finding with the results of Legner (1984), showing that a nonphysical aspect of that theory is removed, while good agreement with experiment is retained.

### A. Average equations for bubbly flows: The additional stress tensor

Dealing with bubbles, we cannot set the fluid density to unity any longer and we must display it explicitly. Here a Newtonian fluid with density $\rho$ is laden with bubbles of vanishingly small density, and radius $R$ which is much smaller than the outer scale of turbulence $L$. The volume fraction of bubbles $C$ is taken sufficiently small such that direct interactions between bubbles can be neglected. In writing the governing equations for the bubbly flow we assume that the length scales of interest are larger than the bubble radius. Later we distinguish, however, between the case of microbubbles whose radius is smaller than the Kolmogorov scale $\eta$ and bubbles whose radius is of the order of $\eta$ or slightly larger. For length scales larger than the bubbles one writes (Biesheuvel and Wijngaarden, 1984; Sanghia and Didwania, 1993; Zhang and Prosperetti, 1994)

$$\rho(1 - C) \left[ \frac{\partial U}{\partial t} + U \cdot \nabla U \right] = -\nabla p + \nabla \cdot \sigma + C \nabla \cdot \tau,$$

(7.1)

$$\frac{\partial (1 - C)}{\partial t} + \nabla \cdot (1 - C) U = 0.$$  

(7.2)

In these equations, $U$ is the velocity of the carrier fluid, and

$$\sigma_{ij} = \frac{\mu_0}{2} \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right).$$

(7.3)

Here $\mu_0 = \rho \nu_0$. The effect of the bubbles appears in two ways, one through the normalization of the fluid density, $\rho \rightarrow \rho(1 - C)$, and the second is via the extra stress tensor $\tau$. Note that in the case of polymers we accounted only for the additional stress tensor since the actual volume fraction of polymers is minute, typically less than $10^{-3}$. A typical value of the bubbles volume fraction is 0.05 or even more, and cannot be neglected.

The evaluation of the extra stress tensor $\tau$ is tedious. There are a number of forces acting on bubbles, but following Lo et al. (2006) we neglect gravity and the lift force. Lo et al. (2006) found that the add-mass force due to bubble oscillations and the add-mass force due to bubble acceleration are of the same order of magnitude. The viscous forces were, of course, taken into account. The result is of the following form:

$$\tau = \rho \left[ \frac{1}{2} (w - U) \cdot (w - U) - R \ddot{R} - \frac{1}{2} \dot{R}^2 \right] I$$

$$- \frac{1}{2} (w - U) (w - U) + \frac{1}{2} \mu_0 \sigma \right].$$

(7.4)

Here $I$ is the unit tensor and $w$ is the bubble velocity which differs from the carrier velocity $U$. $\dot{R}$ stands for the rate of change of the bubble radius $R$. The relative importance of the various terms in $\tau$ depends on the values of $Re$ and also on the so-called Weber number, which is defined as

$$We = \frac{\rho |w - U|^2 R}{\gamma}.$$  

(7.5)

The Weber number is the ratio of the kinetic energy of the fluid associated with the bubble motion over the surface energy of the bubble itself due to surface tension $\gamma$. When $We \ll 1$ the bubbles can be considered as rigid spheres. If $We$ is sufficiently large, the bubbles begin to deform and oscillate; this contributes a significant contribution to $\tau$. In the following section we show that this can be crucial for drag reduction.

For very small bubbles (microbubbles) of very small density the last term in $\tau$, i.e., $\mu_0 S$, which is the viscous contribution, is the only one that survives. In this case the bubble contribution to the stress tensor can be combined with $\sigma$ in Eq. (7.1), resulting in the effective viscosity given by

$$\mu_{eff} = \left(1 + \frac{5}{2} C\right) \mu.$$  

(7.6)

The study of drag reduction under this renormalization of the viscosity and the density was presented by L’vov et al. (2005b) with the result that drag reduction can be obtained by putting the bubbles out of the viscous sublayer and not too far from the wall. The amount of drag reduction, is however, rather limited in such circumstances.

### B. Balance equations in the turbulent boundary layer

At this point we apply the formalism detailed above to the question of drag reduction by bubbles in a stationary turbulent boundary layer with plain geometry. This can be a pressure driven turbulent channel flow or a plain Couette flow, which is close to the circular Couette flow realized by van den Berg et al. (2005). As before, we take the smallest geometric scale to be $2L$, the unit vec-
tor in the streamwise and spanwise directions to be \( \dot{\mathbf{w}} \) and \( \dot{\mathbf{z}} \), respectively, and the distance to the nearest wall to be \( y \ll L \). The variables that enter the analysis are modified by the density, except for the mean shear, which remains as in Eq. (2.2). The turbulent kinetic energy density is modified to read

\[
K = \frac{1}{2} \rho (1 - C) \langle u' \rangle^2,
\]

(7.7)

and the Reynolds stress

\[
\mathcal{W} = - \rho (1 - C) \langle uu' \rangle.
\]

(7.8)

1. Momentum balance

From Eq. (7.1) we derive the exact equation for the momentum balance by averaging and integrating in the usual way, and find the following for \( y \ll L \):

\[
\rho \mathbf{P} = \mu_0 \mathbf{S} + \mathcal{W} + C \langle \tau_{xy} \rangle.
\]

(7.9)

Here \( P \) is the momentum flux toward the wall.

For \( C = 0 \), Eq. (7.9) is the usual Eq. (2.4) satisfied by Newtonian fluids. To expose the consequences of bubbles we notice that the diagonal part of the bubble stress tensor \( \tau \) [the first line on the right-hand side of Eq. (7.4)] does not contribute to Eq. (7.9). The \( xy \) component of the off-diagonal part of \( \tau \) is given by the second line in Eq. (7.4). Define the dimensionless ratio

\[
\zeta = \frac{\langle (w_x - U_x)(w_y - U_y) \rangle}{2 \langle uu' \rangle}.
\]

(7.10)

For later purposes it is important to assess the size and sign of \( \zeta \), which was analyzed by Lo et al. (2006), and shown that for small values of \( \text{Re} \), \( \zeta \) is small. On the other hand, for large \( \text{Re} \) the fluctuating part of \( \mathbf{w} \) is closely related to the fluctuating part of \( \mathbf{u} \). The relation is

\[
\mathbf{w} - \mathbf{U} \approx 2 \mathbf{u}.
\]

(7.11)

This implies that \( \zeta \approx 2 \) and is positive definite, as we indeed assume below. With this definition we can simplify the appearance of Eq. (7.9):

\[
\rho \mathbf{P} = \mu_{\text{eff}} \mathbf{S} + \frac{1 + C (\zeta - 1)}{1 - C} \mathcal{W},
\]

(7.12)

with \( \mu_{\text{eff}} \) defined by Eq. (7.6).

2. Energy balance

Next, we consider the balance of turbulent energy in the log layer. In this region, the production and dissipation of turbulent kinetic energy are almost balanced. The production can be calculated exactly, \( \mathcal{W} \mathcal{S} \). The dissipation of the turbulent energy is modeled by the energy flux which is the kinetic energy \( K(y) \) divided by the typical eddy turn over time at a distance \( y \) from the wall, which is \( \sqrt{\rho(1-C)y/b} \sqrt{K} \), where \( b \) is a dimensionless number of the order of unity. Thus the flux is written as \( bK^{3/2}/\sqrt{\rho(1-C)} \). The extra dissipation due to the bubble is \( C \langle \tau_{xy} \rangle \), where \( s_{ij} = \partial u_i/\partial x_j \). In summary, the turbulent energy balance equation is then written as

\[
\frac{bK^{3/2}}{\sqrt{\rho(1-C)y}} + C\langle \tau_{xy} \rangle = \mathcal{W} \mathcal{S}.
\]

(7.13)

As usual, the energy and momentum balance equations do not close the problem, and we need an additional relation between the objects of the theory, and define \( \epsilon_B \) in a manner following Eq. (2.6):

\[
\mathcal{W} = \epsilon_B \mathcal{K}.
\]

(7.14)

Clearly, \( \lim_{C \to 0} \epsilon_B = c_N \) and for small \( C \) (noninteracting bubbles) \( \epsilon_B^2 = c_N^2 \). Considering that in numerical simulations of the incompressible bubbly flow (Lance et al., 1991; Bellakhel et al., 2004) that \( \epsilon_B \) is slightly smaller than its Newtonian counterpart, we can write

\[
\epsilon_B^2 = c_N^2 (1 - \hat{\beta} C),
\]

(7.15)

with positive coefficient \( \hat{\beta} \) of the order of unity. We are not aware of direct measurements of this form in bubbly flows, but it appears natural to assume that the parameter \( \hat{\beta} \) is \( y \) independent in the turbulent log-law region.

C. Drag reduction in bubbly flows

In this section we argue that bubble oscillations are crucial in enhancing the effect of drag reduction. This conclusion is in line with the experimental observation of van den Berg et al. (2005) where bubbles and glass spheres were used under similar experimental conditions. Evidently, bubble deformations can lead to the compressibility of the bubbly mixture. This is in agreement with the simulation of Ferrante and Elgobashi (2004) where a strong correlation between compressibility and drag reduction was found.

To make the point clear we start with the analysis of the energy balance equation (7.13). The additional stress tensor \( \tau_{ij} \), Eq. (7.4), has a diagonal and an off-diagonal part. The off-diagonal part has a viscous part that is negligible for high \( \text{Re} \). The other term can be evaluated using the estimate (7.11), leading to a contribution to \( \langle \tau_{xy} \rangle \) written as

\[
\frac{1}{2} \langle (w_x - U_x)(w_y - U_y) \rangle \nabla u = 2 \langle uu' \nabla u \rangle.
\]

(7.16)

The expression on the right-hand side is the spatial turbulent energy flux which is known to be very small in the log layer compared to the production term on the right-hand side of Eq. (7.13). We will therefore neglect the off-diagonal part of the stress tensor in the energy equation. The analysis of the diagonal part of the stress depends on the bubble oscillations and we therefore discuss separately oscillating bubbles and rigid spheres.

1. Drag reduction with rigid spheres

Consider first situations in which \( \hat{\beta} = 0 \). This is the case for bubbles at small \( \text{We} \), or when bubbles are replaced by some particles which are less dense than the carrier.
fluid (van den Berg et al., 2005). When the volume of the bubbles is fixed, the incompressibility condition for the Newtonian fluid is unchanged, and $s_{ij}=0$. The diagonal part of $\tau$, Eq. (7.4), due to the incompressibility condition $s_{ij}=0$, has no contribution to $\langle \tau_{ij} s_{ij} \rangle$. As a result the discussion following Eq. (7.16) the term due to the extra stress tensor can be neglected and the energy balance equation is then unchanged compared to the Newtonian fluid. Note that the momentum balance equation is, nevertheless, affected by the bubbles. Putting Eq. (7.14) into Eq. (7.13), we have after simple algebra

$$\mathcal{W} = \rho (1 - C) \frac{c_b^6}{\kappa_b b^2}. \quad (7.17)$$

To assess the amount of drag reduction we consider an experiment (Kitagawa et al., 2005) in which the velocity profile (and thus $S$) is maintained fixed. Drag reduction is then measured by the reduction in the momentum flux $P$. Substituting Eq. (7.17) into Eq. (7.12) in which one can neglect $\mu_{eff}$; and replacing $S(y)y=1/\kappa_b$, we find

$$P = \rho (1 - C + \xi C) \frac{c_b^6}{\kappa_b b^2}. \quad (7.18)$$

If there are no bubbles ($C=0$), the Newtonian momentum flux $P_N$ reads

$$P_N = \frac{\rho c_b^6}{\kappa_b b^2}. \quad (7.19)$$

The percentage of drag reduction can be defined as

$$\% DR = \frac{P_N - P}{P_N} = 1 - \frac{(1 - C + \xi C)c_b^6}{c_N}$$

$$= (1 - \xi + 3\beta)C. \quad (7.20)$$

At small $Re$, $\xi=0$ and the amount of drag reduction increases linearly with $C$. If $Re$ is very large we expect $\xi = 2$, and then the drag is enhanced. This result is in good agreement with the experimental data of van den Berg et al. (2005). Indeed, the addition of glass beads with density less than water caused drag reduction when $Re$ is small, whereas at $Re \sim 10^6$ the drag was slightly enhanced.

2. Drag reduction with flexible bubbles

If the value of $We$ is sufficiently large such that $\dot{R} \neq 0$, the velocity field is no longer divergenceless. Also, to evaluate the extra stress tensor one needs the explicit dynamical equation for the bubble radius. This equation was provided by Zhang and Prosperetti (1994), and was analyzed carefully by Lo et al. (2006). Using the results of the analysis in Eq. (7.4) one can simplify the extra stress tensor (for calculating the correlation $\langle \tau_{ij} s_{ij} \rangle$) to the form

$$\tau = \rho \frac{1}{2} \mu \nabla \cdot u I + \frac{1}{2} (w - U)(w - U). \quad (7.21)$$

The extra turbulent dissipation due to the bubble is $\langle \tau_{ij} s_{ij} \rangle$. In light of the smallness of the term in Eq. (7.16) we find

$$\langle \tau_{ij} s_{ij} \rangle = \frac{1}{2} \mu s_{ij}^2. \quad (7.22)$$

The term $\frac{1}{2} \mu s_{ij}^2$ is of the same form as the usual dissipation term $\mu s_{ij} s_{ij}$ and therefore we write this as

$$\langle \frac{1}{2} \mu s_{ij}^2 \rangle = A \frac{\rho |u|^{3/2}}{y} = A \frac{\kappa^{3/2}}{\sqrt{\rho (1 - C)^{3/2} y}}, \quad (7.23)$$

where $A$ is an empirical constant. Finally, the energy equation becomes

$$b(1 - C) + AC \frac{\kappa^{3/2}}{y} = \mathcal{W} S. \quad (7.24)$$

As we derived Eq. (7.19), we again specialize the situation to an experiment in which $S$ is constant, and compute the momentum flux

$$P = \frac{(1 - C)^2 (1 - C + \xi C)}{(1 - C + \frac{A}{b} C)^2} \frac{c_b^6}{\kappa_b b^2}. \quad (7.25)$$

The degree of drag reduction is then

$$\% DR = 1 - \frac{(1 - C)^2 (1 - C + \xi C)}{(1 - C + \frac{A}{b} C)^2} \frac{(c_b)^6}{(c_N)^6}. \quad (7.26)$$

Note that $A$ is an unknown parameter that depends on $We$, and so its value is different in different experiments. The percentage of drag reduction for various values of $A$ are shown in Fig. 18 where we chose $\alpha=2$ and for simplicity we estimate $c_b=c_N$. One sees that for $\alpha=2$ and $A=0$ (where the latter is associated with rigid bubbles), we only find drag enhancement. For small values of $A$, or small amplitudes of oscillations, small concentrations of bubbles lead (for $\alpha=2$) to drag enhancement, but upon increasing the concentration we find modest drag reduction. Larger values of $A$ lead to considerably large degree of drag reduction. For $A=0.15$, the result agrees reasonably with Legner’s theory which predicts $\% DR = 1 - 5(1 - C)^2/4$ (Legner, 1984). Note that according to Legner, there should be considerable drag enhancement when $C=0$. This is of course a nonsensical result that is absent in our theory. For $A=0.8$, $\% DR \approx 4C$ for small $C$. This is the best fit to the experimental results reported by Kitagawa et al. (2005).

VIII. SUMMARY AND DISCUSSION

We reviewed drag reduction by polymers and bubbles. Quite generally, we have shown that drag reduction can be understood in great detail using only a few equations that govern the budgets of energy and momentum. Both polymers and bubbles open up an additional channel for dissipation, and thus pose a fundamental riddle: Why do
oscillations, we find significant values of drag reduction. For large values of $A$, we find only increasing drag enhancement as a function of $C$. For small values of $A$ we have first a slight drag enhancement, and then modest drag reduction. For large values of $A$, associated with strong bubble oscillations, we find significant values of drag reduction.

One should make a great distinction between the two cases. The phenomenon of drag reduction by polymers exhibits interesting universal features which are shared even by flexible and rodlike polymers. These features are the most prominent experimental results that require theoretical understanding. We have shown that the MDR has a special significance in being an edge solution of turbulent flows. Trying to increase the reduction of drag behind what is afforded by the MDR would relaminarize the flow. This may be the central theoretical insight that is offered in this Colloquium, providing a simple and intuitive meaning to the nature of the MDR. This explains why flexible and rodlike polymers have the same MDR, even though they approach the MDR in distinctly different ways.

Once the theory was put forth to explain the universal aspects of drag reduction by polymers, it became also clear that it can easily explain, in considerable quantitative detail, also the nonuniversal aspects, including crossovers due to small concentrations of polymers, low values of Re, and the small number of monomers in the polymer chains. We trust that this detailed understanding can help in designing and optimizing the use of polymers in practical applications of drag reduction.

On the other hand, the case of bubbles exhibits much less universality, since the placement of the bubbles with respect to the wall and their actual density profile have crucial consequences regarding their efficacy as drag reducers. The main conclusion of our study is that bubble oscillations can contribute decisively to drag reduction in turbulent flows. In agreement with the experimental findings of van den Berg et al. (2005), we find that rigid bubbles tend to drag enhance, and the introduction of oscillations whose amplitude is measured by the parameter $A$ (Fig. 18) increases the efficacy of drag reduction. The main drawback of the present study is that the bubble concentration was taken uniform in the flow. In reality a profile of bubble concentration may lead to even stronger drag reduction if placed correctly with respect to the wall. A consistent study of this possibility calls for the consideration of buoyancy and the self-consistent solution of the bubble concentration profile. Such an effort must await future progress.

**Note added in proof**

An article by Ryskin in 1987 (Ryskin, 1987) offered a theory that claimed to give a quantitative explanation for such experiments as were available then. We have not discussed this work here because we believe it does not satisfactorily describe the essential “make or break” observations that a theory should address.

An acceptable theory of drag reduction by flexible polymers must explain a few crucial observations: (1) the universality of the maximum drag reduction asymptote (MDR) and the parameters that characterize it, (2) the nonuniversal crossovers to the Newtonian plug when the Reynolds number, the Debora number, or the length of the polymers are not large enough. In addition, the theory should explain the very different approach to the universal maximum drag reduction asymptote (which remains universal) when flexible polymers are changed by rigid ones.

The Ryskin paper estimated the increase in the effective viscosity due to the polymers by means of a single number $v_T$, see his Eq. (2). However, the only way to explain the drag reduction phenomenology discussed in this Colloquium—for example, the MDR as a new log law with parameters different than the classical von Kármán law—is with an effective viscosity that increases linearly with the distance from the wall. The present discussion has used Prandtl units, wherein the kinematic viscosity is scaled to unity, so overall changes in the viscosity as a number cannot change the von Kármán log law. To achieve the needed results it is necessary to employ a hydrodynamic model of the polymer interaction with the fluid, be it the FENE-P model or any other reasonable model.
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APPENDIX: THE HIDDEN SYMMETRY OF THE BALANCE EQUATIONS

Consider the following identity:

$$v^*(y^*) = 1 + \alpha(y^* - \delta^*) = [1 + \alpha(y^* - \delta) + \alpha(\delta - \delta^*)]$$

$$= g(\delta) \left[ 1 + \frac{\alpha}{g(\delta)} (y^* - \delta) \right].$$  \hspace{1cm} (A1)

where

$$g(\delta) = 1 + \alpha(\delta - \delta^*), \quad \delta \geq \delta^*.$$  \hspace{1cm} (A2)

Next, introduce newly renormalized units using the effective viscosity $g(\delta)$, i.e.,

$$y^i = \frac{y^*}{g(\delta)}, \quad \delta^i = \frac{\delta}{g(\delta)}, \quad S^i = S^* g(\delta), \quad W^i = W^*.$$  \hspace{1cm} (A3)

In terms of these variables the balance equations are rewritten as

$$[1 + \alpha(y^i - \delta^i)]S^i + W^i = 1,$$  \hspace{1cm} (A4)

$$[1 + \alpha(y^i - \delta^i)]\frac{\Delta^2(\alpha)}{y^{12}} + \frac{\sqrt{W^i}}{\kappa k y^{\delta^i}} = S^i.$$  \hspace{1cm} (A5)

These equations are isomorphic to Eqs. (3.19) and (3.20) with $\delta^*$ replaced by $\delta^i$. The ansatz (3.21) is then replaced by $\Delta(\alpha) = \delta^i g(\delta)^{-1} f(\alpha \delta^i)$. This form is dictated by the following considerations: (i) $\Delta(\alpha) \rightarrow \delta^i$ when $\alpha \rightarrow 0$, (ii) all length scales in rescaled units are divided by $g(\delta)$, and thus the prefactor in front of $f$ becomes $\delta^i / g(\delta)$, and (iii) $\alpha \delta^*$ in Eq. (3.18) is now replaced in Eq. (A5) by $\alpha \delta^i$, leading to the new argument of $f$. Since the function $\Delta(\alpha)$ cannot change due to the change of variables, the function $\Delta(\alpha)$ should be identical to that given by Eq. (3.21):

$$\delta^i f(\alpha \delta^i) = \frac{\delta^i}{g(\delta)} f(\alpha \delta^i).$$  \hspace{1cm} (A6)

Using the explicit form of $g(\delta)$, Eq. (A2), and choosing (formally first) $\delta = \delta^i = 0$ we find that $f(\xi) = 1/(1 - \xi)$. It is easy to verify that this is indeed the solution of the above equation for any value of $\delta^i$, and therefore the unique form of Eq. (3.22).

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