

# Periods and $L$ -functions

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# A sequence of periods

Let  $X^\bullet$  be the quotient of  $(\mathrm{SL}_2)^n$  by the subgroup  $H_n$ , where:

$$H_n = \left\{ \left( \begin{array}{cc} 1 & x_1 \\ & 1 \end{array} \right) \times \left( \begin{array}{cc} 1 & x_2 \\ & 1 \end{array} \right) \times \cdots \times \left( \begin{array}{cc} 1 & x_n \\ & 1 \end{array} \right) \middle| x_1 + x_2 + \cdots + x_n = 0 \right\}.$$

It has an action of  $G = (\mathbb{G}_m \times (\mathrm{SL}_2)^n)/\pm 1$ , and corresponds to the following periods of automorphic forms  $f \in \pi$  on  $[G] := G(k) \backslash G(\mathbb{A})$ :

- $n = 1$ , Hecke:  $\int_{[\mathbb{G}_m]} f \begin{pmatrix} a & \\ & 1 \end{pmatrix} |a|^s da$ , represents  $L(\pi, \frac{1}{2} + s)$ .
- $n = 2$ , Rankin–Selberg:  $X^\bullet \hookrightarrow \mathbb{A}^2 \times^{\mathrm{GL}_2} G$ ,  $\Phi \in \mathcal{S}(\mathbb{A}_2)$ ,  
 $\int_{[\mathrm{GL}_2]} f_1(g) \overline{f_2(g)} E_\Phi(g, \frac{1}{2} + s) dg$ , represents  $L(\pi_1 \times \pi_2, \frac{1}{2} + s)$ .
- $n = 3$ , Garrett:  $X^\bullet \hookrightarrow [S, S] \backslash \mathrm{Sp}_6$ ,  
 $\int_{[G/\mathbb{G}_m]} f(g) E_{\mathrm{Siegel}}(g, \frac{1}{2} + s) dg$ , represents  $L(\pi_1 \times \pi_2 \times \pi_3, \frac{1}{2} + s)$ .

## To fix ideas:

\*All formulas approximate, Archimedean places omitted!\*

$$[H]/K_H (= \mathrm{Bun}_H) \rightarrow [G]/K_G (= \mathrm{Bun}_G), \quad K_G = G(\widehat{\mathcal{O}})$$

For  $f$  on  $[G]/K_G$ ,

$$\int_{[H]} f dh = \int_{[G]} f(g) \cdot 1_{[H]K_G}(g)$$

The “period distribution”  $1_{[H]K_G}$  is the image of

$$1_{H \backslash G(\widehat{\mathcal{O}})} \in \mathcal{S}(H \backslash G(\mathbb{A}))$$

under the “theta series”

$$\Phi \mapsto \Theta \Phi(g) := \sum_{\gamma \in H \backslash G(k)} \Phi(\gamma g).$$

Moral: The period distribution coming from  $1_{X^\bullet(\widehat{\mathcal{O}})}$  (for  $X^\bullet = H \backslash G$ ) may be wrong!

# Basic functions

Braverman–Kazhdan: Define a non-trivial Schwartz space for the “basic affine space”  $X = \overline{N \backslash G}^{\text{aff}} = \text{spec } k[G]^N$ , generalizing:

$$G = \text{GL}_2, X^\bullet = N \backslash \text{SL}_2 = \mathbb{A}^2 \setminus \{0\} \hookrightarrow X = \mathbb{A}^2.$$

At any finite place,

$$X^\bullet(\mathfrak{o}) = \{(x, y) \in \mathfrak{o}^2 \mid (x, y) = 1\}, \quad X(\mathfrak{o}) = \mathfrak{o}^2.$$

$$\mathcal{S}(X^\bullet(\mathbb{A})) \xrightarrow{f_{\mathbb{A}^\times} \chi^{-1}} \text{Ind}_B^G(\chi) \xrightarrow{\mathcal{E}} C^\infty([G]) \text{ Eisenstein series}$$

Difference between  $\mathcal{S}(X^\bullet(\mathbb{A}))$  and  $\mathcal{S}(X(\mathbb{A}))$  is

$$E(z, s) = \sum_{(m, n)=1} \frac{y^s}{|mz + n|^{2s}} \quad \text{vs.} \quad E^*(z, s) = \sum_{(m, n) \neq (0, 0)} \frac{y^s}{|mz + n|^{2s}}$$

Here,  $E^*(z, s) = \zeta(2s)E(z, s)$ , both have meromorphic continuation.

$$X = \overline{N \backslash G}^{\text{aff}}$$

$\mathcal{S}(\overline{N \backslash G}^{\text{aff}})$  originates in *Drinfeld's compactification* of  $\text{Bun}_B$  (=global model for  $N \backslash G(\mathfrak{o})$ ).

$$\overline{\text{Bun}_B} = \text{Maps}(C, \overline{N \backslash G}^{\text{aff}} / T \times G)$$

$\overline{\text{Bun}_B}$  is singular, so we want to compute  $\text{IC}_{\overline{\text{Bun}_B}}$ .

Function-theoretically (taking Frobenius trace):

$$\mathcal{S}(X(\mathbb{A})) \ni \text{IC}_{X(\widehat{\mathcal{O}})},$$

where for  $\mathfrak{o} = \mathbb{F}((t))$  we'll think of  $X(\mathfrak{o})$  as the  $\mathbb{F}$ -points of the *arc* space of  $X$ :  $\mathcal{L}^+ X = \text{Maps}(D = \text{spec } \mathbb{F}[[t]], X)$ .

$$\text{IC}_{\overline{\text{Bun}_B}} = \Theta \left( \text{IC}_{X(\widehat{\mathcal{O}})} \right) \in C^\infty([T] \times [G]).$$

Braverman–Finkelberg–Gaitsgory–Mirković [BFGM]:

*“The Eisenstein series  $E^*(g, \chi) = \int_{[T]} \text{IC}_{\overline{\text{Bun}_B}}(t, g) \chi^{-1}(t) dt$  attached to  $\overline{\text{Bun}_B}$  is  $\prod_{\check{\alpha} > 0} L(\chi \circ \check{\alpha}, 0)$  times the Eisenstein series  $E(g, \chi)$  attached to  $\text{Bun}_B$ .“*

Back to  $X^\bullet = H \backslash G$ . Idea:

- Choose an affine  $X^\bullet \hookrightarrow X$  (e.g.,  $X = \overline{X^\bullet}^{\text{aff}}$ ).
- Define a Schwartz space  $\mathcal{S}(X(\mathbb{A}))$  with a “basic vector”  $\Phi^0 = IC_{X(\widehat{\mathcal{O}})}$ .
- Define the “ $X$ -period” as the theta series  $P_X(g) = \Theta\Phi^0(g) = \sum_{\gamma \in X(k)} \Phi^0(g)$ .

Conjecture (S., 2009)

For  $f \in \pi^{G(\widehat{\mathcal{O}})}$  an automorphic form, suitably normalized (e.g., by Fourier–Whittaker coefficient),  $\int_{[G]} f \cdot P_X$  is equal to (a special value of) an  $L$ -function.

# The case of $L$ -monoids

Example:  $X^\bullet = H = \mathrm{GL}_n \hookrightarrow X = \mathrm{Mat}_n$ , this unfolds to the Godement–Jacquet integral (here  $G = H \times H$ ,  $f = \phi \otimes \bar{\phi}$ ):

$$\int_{[H]} \langle \pi(h)\phi, \phi \rangle \Phi^0(h) dh = L(\pi, -\frac{1}{2}(n-1))$$

For (split)  $H \xrightarrow{\det} \mathbb{G}_m$ , and any section  $\lambda : \mathbb{G}_m \rightarrow H$ , identified with a highest weight for the dual group  $\check{H}$ , Braverman–Kazhdan and Ngô defined an  $L$ -monoid  $H \hookrightarrow H_\lambda$ , generalizing  $\mathrm{GL}_n \hookrightarrow \mathrm{Mat}_n$ .  
Bouthier–Ngô–S. (2014):

- 1 For  $\mathfrak{o} = \mathbb{F}[[t]]$ , the function  $IC_{X(\mathfrak{o})}$  is well-defined.  
 (Rests on the Grinberg–Kazhdan–Drinfeld theorem on finite-dimensionality of singularities of  $\mathcal{L}^+X$ .)
- 2 The GJ integral in this case gives  $L(\pi, V_\lambda, -\langle \rho, \lambda \rangle)$ .

# The general case

What is the  $L$ -value attached to a general spherical  $X$ ?

The Gaitsgory–Nadler dual group:  $\check{G}_X \hookrightarrow \check{G}$ . (Conjecturally, only automorphic forms with Langlands parameters into  $\check{G}_X$  have nonzero pairing with  $P_X$ .)

E.g., in the group case that we just saw  $X = H$ ,  $G = H \times H$ , and the representation must be of the form  $\pi \otimes \tilde{\pi}$ , so  $\check{G}_X = \check{H}$ .

Looking for a representation  $\rho_X : \check{G}_X \rightarrow \mathrm{GL}(V)$ .

S. (2009) Let  $X = H \backslash G$  with  $H$  reductive, so  $\mathrm{IC}_{X(\mathfrak{o})} = 1_{X(\mathfrak{o})}$ .  
General formula in terms of the *colors* of  $X$ :

$X // N \curvearrowright T = B/N$ , acts through some quotient  $T \twoheadrightarrow T_X$ .

The toric variety  $X // N$  defines certain coweights of  $T_X$  which are weights of the representation  $\rho_X$  of  $X // N$ .

# Examples

- $X = H \curvearrowright G = H \times H$ . The colors are the Bruhat divisors, inducing valuations equal to the simple coroots  $\check{\alpha}$ . Hence  $\rho_X = \check{\mathfrak{h}}$ . Corresponds to Petersson diagonal period of normalized cusp forms:

$$\int_{[H]} f(h) \overline{f(h)} dh = L(\pi, \text{Ad}, 1)$$

- $X = \text{PGL}_2^{\text{diag}} \backslash \text{PGL}_2^3$ .  $B$ -orbits  $\leftrightarrow$   $\text{PGL}_2$ -orbits on  $(\mathbb{P}^1)^3 \ni (z_1, z_2, z_3)$ , colors =  $\{z_i = z_j\}$ , valuations  $\frac{\check{\alpha}_i + \check{\alpha}_j - \check{\alpha}_k}{2}$ . Hence,  $\rho_X = \text{Std} \otimes \text{Std} \otimes \text{Std}$  of  $\text{SL}_2^3$ . The (Kudla, Harris, Gross, Böcherer, Schulze-Pillot, Watson, Ichino) triple product period

$$|\int_{[\text{PGL}_2]} f_1(g) f_2(g) f_3(g) dg|^2 = L(\pi_1 \times \pi_2 \times \pi_3, \frac{1}{2}).$$

S.-Jonathan Wang (2020?) Vast generalization of the above result and Bouthier–Ngô–S. (for  $\check{G}_X = \check{G}$ , for now):  
Description of  $IC_{X(\mathcal{O})}$  in terms of the geometry of  $X$ .

Example: Let  $X^\bullet = H_n \backslash G_n$  the  $(\mathbb{G}_m \times \mathrm{SL}_2^n) / \pm 1$ -variety from the beginning of this lecture,  $X = \overline{X^\bullet}^{\mathrm{aff}}$ . Then, for a cusp form  $f_s \in \pi = |\bullet|^s \otimes \pi_1 \otimes \cdots \otimes \pi_n$ ,  $\Re(s) \gg 0$ , Whittaker coefficient 1,

$$\int_{[G]} f \cdot \Theta(IC_{X(\mathcal{O})}) = L(\pi_1 \times \cdots \times \pi_n, \frac{1}{2} + s).$$

Writing  $\int_{[G]} f \cdot P_X$  as an Euler product is sometimes easy (Godement–Jacquet, Hecke, Rankin–Selberg), and sometimes hard (Gan–Gross–Prasad).

The Ichino–Ikeda conjecture:

For  $X = H \backslash G = \mathrm{SO}_n \backslash (\mathrm{SO}_n \times \mathrm{SO}_{n+1})$ ,

$$\left| \int_{[G]} f \cdot P_X \right|^2 = \left| \int_{[H]} f(h) dh \right|^2 = \int_{H(\mathbb{A})} \langle \pi(h)f, f \rangle dh \text{ (an Euler product).}$$

The observation of Akshay Venkatesh: The RHS is equal to the Plancherel density of  $1_{X(\mathfrak{o})}$  (when  $f$  is everywhere unramified). Hence, the  $L$ -value attached to the period can be computed by a local Plancherel formula:

$$\|1_{X(\mathfrak{o})}\|_{L^2(X(F))}^2 = \int_{\hat{G}^{\mathrm{unr}}} L(\pi, \rho_X) \mu(\pi)$$

Of course, *there is no a priori reason why the Plancherel formula should involve an  $L$ -function!* A priori, what is denoted by  $L(\pi, \rho_X)$  could be any function of  $\pi$ .

In any case, generalizing the Ichino–Ikeda conjecture (S.–Venkatesh), we have a path from periods to  $L$ -functions:

$$\left| \int_{[G]} f \cdot P_X \right|^2$$

II conjecture  
 $\rightsquigarrow$  Euler product of Plancherel densities of  $\|IC_{X(\mathfrak{o})}\|_{L^2(X(F))}^2$

local calculation  
 $\rightsquigarrow$

$$\int_{\hat{G}^{unr}} L(\pi, \rho_X) \mu(\pi).$$

The aforementioned [S. 2009, S.–Wang 2020?] perform this local calculation.

Why do  $L$ -functions appear in the Plancherel decomposition of  $\|IC_{X(\mathfrak{o})}\|_{L^2(X(F))}^2$ ?

$F = \mathbb{F}((t)) \supset \mathfrak{o}$ . Let's think of elements  $\Phi_i$  of  $S(X(F))^{G(\mathfrak{o})}$  as Frobenius traces of  $\mathcal{L}^+G$ -equivariant  $\ell$ -adic sheaves  $\mathcal{F}_i$  on the loop space  $\mathcal{L}X$ ; then

$$\langle \Phi_1, \Phi_2 \rangle = \text{tr}(\text{Frob}, \text{Hom}(\mathcal{F}_1, D\mathcal{F}_2)),$$

(all objects and Homs in the derived category).

We are led to study  $D(\mathcal{L}X/\mathcal{L}^+G)$ , e.g.,  $X = H$ ,  $G = H \times H$ , this is the bounded derived category of  $H(\mathfrak{o})$ -equivariant constructible sheaves on the affine Grassmannian of  $H$ .

Bezrukavnikov–Finkelberg: There is a natural equivalence of triangulated categories (“the spherical category”):

$$D(\mathcal{L}^+H \backslash \mathcal{L}H / \mathcal{L}^+H) \xrightarrow{\sim} \mathcal{Coh}_{\text{perf}}(\check{\mathfrak{h}}^* / \check{H}) = \mathcal{Coh}_{\text{perf}}(T^*\check{H} / (\check{H} \times \check{H})).$$

Notice that the (co)adjoint representation  $\check{\mathfrak{h}}^*$  is the one that shows up in the calculation of the Petersson norm=diagonal period of normalized cusp forms:

$$\int_{[H]} f(h) \overline{f(h)} dh = L(\pi, \text{Ad}, 1)$$

### Conjecture (Ben Zvi–Venkatesh–S.)

Given a spherical variety  $X$ , there is a Hamiltonian  $\check{G}$ -space  $\check{M} \rightarrow \check{\mathfrak{g}}$  and an equivalence of module categories for the spherical category:

$$D(\mathcal{L}X/\mathcal{L}^+G) \xrightarrow{\sim} \mathcal{Coh}_{\text{perf}}(\check{M}/\check{G}).$$

Moreover,  $\check{M} = V_X \times^{\check{G}_X} \check{G}$ , where  $\rho_X : \check{G}_X \rightarrow \text{GL}(V_X)$  is the representation attached to the  $L$ -value of  $X$ .

The association  $M = T^*X \leftrightarrow \check{M}$  is involutive, i.e., if  $\check{M} = T^*\check{X}$ , the Hamiltonian dual of  $\check{X}$  is  $M$ .

# Examples

$M$	$\check{M}$
$T^*H$ $\int_{[H]^{\text{diag}}} f_1(h)f_2(h)dh = L(\tau, \text{Ad}, 1), \pi = \tau \otimes \tilde{\tau}$	$T^*\check{H}$
$T^*((N, \psi) \backslash G) = (\mathfrak{t}^* \mathbin{\!/\mkern-5mu/\!} W) \times G$ (Whittaker normalization)	$\text{pt} = \check{G}/\check{G}$ $\int_{[\check{G}]} 1 = L(\mathfrak{t}^* \mathbin{\!/\mkern-5mu/\!} W)$ (motive of $G$ )
Tate: $T^*\mathbb{A}^1$ $\int_{[\mathbb{G}_m]} \chi(x)\Theta\Phi(x)dx = L(\chi, 0)$	$T^*\mathbb{A}^1$
Hecke: $T^*(\mathbb{G}_m \backslash \text{PGL}_2)$ $\int_{[\mathbb{G}_m]} f \begin{pmatrix} a & \\ & 1 \end{pmatrix} da = L(\pi, \text{Std}, \tfrac{1}{2})$	$T^*\text{Std} \curvearrowright \text{SL}_2$ $(E^*(g, \chi) = L(\chi \circ \check{\alpha}, 0)E(g, \chi))$
Gross–Prasad: $T^*(\text{SO}_{2n} \backslash \text{SO}_{2n} \times \text{SO}_{2n+1})$ $ \int_{[H]} f_1(h)f_2(h)dh ^2 = L(\pi_1 \times \pi_2, \tfrac{1}{2})$	Theta: $(W_{4n}, \omega) \curvearrowright \text{SO}_{2n} \times \text{Sp}_{2n}$ . Rallis inner product formula.

Remark: All these examples are spherical/multiplicity-free and smooth affine. Other examples suggest that losing the spherical property on one side leads to singularities/stacky behavior on the other.

# Open problems

- 1 Proving the geometric conjecture: Recent new cases by Braverman–Finkelberg–Ginzburg–Travkin (unramified), Raskin. Can we upgrade the calculation of the Plancherel formula (with J. Wang) to the conjectural categorical equivalence?
- 2 Euler factorization and relative functoriality: it could be possible to establish the Ichino–Ikeda formula through a comparison of relative trace formulas:

$$\mathcal{S}(X \times X/G) \xrightarrow{\sim} \mathcal{S}(N, \psi \backslash G/N, \psi).$$

The geometric framework suggests the existence of natural such transfer map, corresponding to the pushforward  $\check{M} \rightarrow \text{pt}$ .

- 3 Functional equation: There should be a Fourier transform  $\mathcal{S}(X) \xrightarrow{\sim} \mathcal{S}(X^*)$ , where  $X^*$  is  $X$  with  $G$ -action twisted by Chevalley involution, such that the theta series satisfy the Poisson summation formula. Also suggested by the geometric conjectures.