

## Lecture 2: April 11

Lecturer: Merav Parter

## Hitting Sets

The following tool is very useful in many randomized constructions of spanners and related structures. In the most general setting, we are given universe  $\mathcal{U} = \{u_1, \dots, u_n\}$  (e.g., the set of vertices in the graph) and a collection  $\Sigma = \{S_1, \dots, S_m\}$  of  $m = \text{poly}(n)$  subsets  $S_i \subseteq \mathcal{U}$  consisting of elements of  $\mathcal{U}$  (e.g., the neighborhood sets of the vertices). We are particularly interested in the case where each  $S_i \in \Sigma$  is large, say, has at least  $\Delta$  elements (e.g., vertices of degree at least  $\Delta$ ). A subset  $S \subseteq \mathcal{U}$  is a *hitting set* for  $\Sigma$  if  $S \cap S_i \neq \emptyset$  for every  $S_i \in \Sigma$ . Our goal is to compute a *small* hitting set for  $\Sigma$ .

**Lemma 2.1** [Randomized Hitting-Set] Let  $\Sigma = \{S_1, \dots, S_m\}$  where each  $S_i \subseteq \mathcal{U}$  has size  $|S_i| \geq \Delta$  and  $m = n^c$  for some constant  $c$ . There is a randomized algorithm that finds a subset  $S \subseteq \mathcal{U}$  with  $|S| = O(n \log n / \Delta)$  such that  $S$  is a hitting set for  $\Sigma$  with probability at least  $1 - n^{-c'}$  for some  $c' \geq 0$ .

**Proof:** We add each element  $u_i \in \mathcal{U}$  to  $S$  with probability  $p = (c + 3c') \cdot \log n / \Delta$ . Using Chernoff, we get that  $|S| \leq 2p \cdot n$  with probability at least  $1 - n^{-2c'}$ . The probability for  $S$  to miss a subset  $S_i \in \Sigma$  is  $\prod_{u \in S_i} \Pr[u \notin S] = (1 - p)^{\Delta} \leq n^{-(c+3c')}$ . The proof follows by taking the union bound over all  $m = n^c$  subsets in  $\Sigma$ . ■

## Approximate Distance Oracles [TZ05]

Distance oracles are space-efficient data structures that answer fast distance queries between pairs of vertices. For an  $n$ -vertex undirected graph  $G$ , the distance oracle scheme consists of two algorithms: (1) *preprocessing* algorithm that given  $G$  computes the oracle  $\mathcal{O}$  and (2) *query* algorithm that given  $\mathcal{O}$  and a pair of vertices  $u, v \in V(G)$  computes a distance estimate  $\hat{\text{dist}}(u, v)$ . We say that a distance oracle  $\mathcal{O}$  is  $t$ -approximate if

$$\text{dist}(u, v, G) \leq \hat{\text{dist}}(u, v) \leq t \cdot \text{dist}(u, v, G).$$

The standard complexity measures are then the preprocessing time, the query time and the tradeoff between the size of the oracle and the approximation (or stretch)  $t$ . In this class, we mainly care about the query time and the space – stretch tradeoff. We will present an efficient construction of  $(2k - 1)$  approximate distance oracles ([TZ05]) of size  $O(k \log n \cdot n^{1+1/k})$  that answers distance queries in  $O(k)$  time. As we will see, this space–stretch tradeoff is nearly the best possible assuming the girth conjecture by Erdős. We start by illustrating the construction for  $k = 2$ .

**3-approximate distance oracles.** Our goal is to construct an oracle of size  $O(n^{3/2} \log n)$  (i.e., same size as that of 3-spanners) that answers distance queries in  $O(1)$  time and distort the distances in  $G$  up to factor 3. The idea is to compute for each vertex  $v$ , a collection of  $O(\log n \sqrt{n})$  *important* vertices,  $B(v)$ , and to keep in the oracle the distances between  $v$  and each  $w \in B(v)$ . This is indeed within our budget. The main challenge is in picking this collection of important vertices.

The construction is based on a random sample of  $O(\sqrt{n})$  vertices  $S \subseteq V$ , which is computed by adding each  $v \in V$  into  $S$  independently with probability  $q = 1/\sqrt{n}$ . This process is denoted by  $S \leftarrow \text{Sample}(V, q)$ . Using  $S$ , we define a subset  $B_S(v)$  for every  $v$  by

$$B_S(v) = \{w \in V \setminus S \mid \text{dist}(v, w, G) < \text{dist}(v, p_S(v))\},$$

where  $p_S(v)$  is the closest vertex to  $v$  in  $S$ . The final set of important vertices for  $v$  is  $B(v) = B_S(v) \cup S$ . The algorithm keeps (by 2-level hash) all the  $(w, v)$  distances in  $G$  for every  $v \in V$  and  $w \in B(v)$ . For simplicity,

we also store (explicitly) in the oracle the  $p_S(u)$  and  $\text{dist}(u, p_S(u))$  for every  $u \in V$ . This completes the description of the construction of the oracle  $\mathcal{O}$ . We next claim that the resulting oracle has size  $O(n^{3/2} \log n)$  with high probability. To do that, it is sufficient to bound the cardinality of the  $B_S(v)$  sets.

**Claim 2.2 (Size)** *W.h.p.,  $|B_S(v)| = O(\sqrt{n} \cdot \log n)$  for every  $v \in V$ .*

**Proof:** We define an auxiliary subset  $N_S(v)$  that consists of the  $\sqrt{n} \cdot \log n$  closest vertices to  $v$  in  $V$ . By Lemma 2.1, w.h.p.,  $S$  hits (i.e., intersects) the subset  $N_S(v)$ , and hence  $B_S(v) \subseteq N_S(v)$ . The claim follows. ■

We now turn to describe the query algorithm, that given the oracle  $\mathcal{O}$  and a vertex pair  $(u, v)$  computes  $\hat{\delta}(u, v)$ . First, if  $v \in B(u)$ , the oracle returns  $\hat{\delta}(u, v) = \text{dist}(u, v, G)$ . Otherwise, it returns  $\hat{\delta}(u, v) = \text{dist}(u, p_S(u), G) + \text{dist}(p_S(u), v, G)$ . Note that since  $p_S(u) \in S$ , the oracle  $\mathcal{O}$  indeed stores the distances  $\text{dist}(u, p_S(u), G)$  and  $\text{dist}(v, p_S(u), G)$ .

**Claim 2.3 (Stretch)** *For every  $u, v$ ,  $\hat{\delta}(u, v) \leq 3 \cdot \text{dist}(u, v, G)$ .*

**Proof:** If  $v \in B(u)$ , the claim trivially holds. Otherwise, if  $v \notin B(u)$ , it implies that  $\text{dist}(u, p_S(u), G) \leq \text{dist}(u, v, G)$ . Hence,  $\text{dist}(p_S(u), v) \leq \text{dist}(p_S(u), u, G) + \text{dist}(u, v, G) \leq 2 \cdot \text{dist}(u, v, G)$ . We therefore have that:  $\hat{\delta}(u, v) = \text{dist}(u, p_S(u), G) + \text{dist}(p_S(u), v, G) \leq \text{dist}(u, v, G) + 2 \cdot \text{dist}(u, v, G) \leq 3 \cdot \text{dist}(u, v, G)$ . ■

Note that just like in the randomized 3-spanner construction, we saw last time, also here the high probability guarantee is only for the size while the stretch guarantee holds deterministically (with probability 1).

**$(2k-1)$ -approximate distance oracles.** To handle the general case of  $k \geq 1$ , instead of computing one sample set  $S$ , we compute an hierarchy of  $k$  subsets:

$$V = A_0 \supseteq A_1 \supseteq \dots \supseteq A_{k-1}, \text{ where } A_i = \text{Sample}(A_{i-1}, n^{-1/k}), i \in \{1, \dots, k-1\}.$$

We will compute for every  $v$  and for every  $i \in \{0, \dots, k-1\}$ , a subset  $B_i(v)$  of  $O(n^{1/k} \log n)$  important vertices to  $v$  in  $A_i$ . Since the desired size of the oracle is  $O(k \log n \cdot n^{1+1/k})$ , we have the capacity to store all the distances between  $v$  to each of its important vertices,  $B_i(v)$ , in each level of the hierarchy. For each  $i \in \{0, \dots, k-1\}$ , define:

$$B_i(v) = \{w \in A_i \setminus A_{i+1} \mid \text{dist}(v, w, G) < \text{dist}(v, p_{i+1}(v), G)\},$$

where  $p_i(v)$  is the closest vertex to  $v$  in  $A_i$  (in particular,  $p_0(v) = v$ ). Also, let  $B_{k-1}(v) = A_{k-1}$  and  $B(v) = \bigcup_{i=0}^{k-1} B_i(v)$ . The algorithm stores (by 2-level hash) the distances between each  $v \in V$  and  $w \in B(v)$ . To show that the output oracle has size  $O(k \log n \cdot n^{1+1/k})$ , it is sufficient to show:

**Claim 2.4** *W.h.p.,  $|B_i(v)| = O(n^{1/k} \cdot \log n)$  for every  $v \in V$  and every  $i \in \{0, \dots, k-1\}$ .*

**Proof:** Since  $B_{k-1}(v) = A_{k-1}$ , by Chernoff  $|A_{k-1}| = O(n^{1/k} \cdot \log n)$  and the claim follows for  $i = k-1$ . We now consider the case where  $i \leq k-2$  and define the auxiliary subset  $N_i(v)$  to be the subset of  $n^{1/k} \log n$  closest vertices to  $v$  in  $A_i$ . By Lemma 2.1, w.h.p.,  $A_{i+1}$  hits  $N_i(v)$  and hence  $B_i(v) \subseteq N_i(v)$ . ■

We proceed by describing the query algorithm. See Fig. 2.1.

Note that Algorithm Query always returns an answer since  $p_{k-1}(v)$  is in  $A_{k-1}$  and hence in  $B(u)$ . The intuition for the query algorithm is that for a given pair  $\langle u, v \rangle$ , the goal is to find the minimum  $i$  such that  $p_i(v) \in B(u) \cap B(v)$ . When  $p_i(v)$  is not in  $B_i(u)$ , it implies that  $p_{i+1}(u)$  is sufficiently close to  $u$  (as a function of the distance between  $u$  and  $v$  in  $G$ ). We now analyze this algorithm formally.

**Claim 2.5** *Let  $u_i, v_i, w_i$  be the nodes that play the  $u, v, w$  roles in iteration  $i$ . Then,  $\text{dist}(v_i, w_i) \leq (i-1) \cdot \delta$  for every  $i \in \{1, \dots, k-1\}$ .*

**Algorithm** Query( $u, v, \mathcal{O}$ )

1.  $w \leftarrow p_0(v) = v$ .
2. For  $i = 1$  to  $k$  do:
  - (a) If  $w \in B(u)$  then: (here  $w = p_{i-1}(v)$ )
    - i. Return  $\widehat{\delta}(u, v) = \text{dist}(v, w, G) + \text{dist}(w, u, G)$ .
  - (b) Else:
    - i.  $w \leftarrow p_i(u)$ ,
    - ii. swap  $u$  and  $v$ .

Figure 2.1: An  $O(k)$ -time query algorithm

**Proof:** In iteration  $i$ ,  $w_i = p_{i-1}(v_i)$ . The proof is shown by induction on  $i$ . For  $i = 1$ , the claim holds trivially as  $w_i = v$ . Assume that the claim holds up to  $i - 1$  and consider iteration  $i \geq 2$ . In iteration  $i - 1$ ,  $w_{i-1} = p_{i-2}(v_{i-1})$ , hence  $w_{i-1} \in A_{i-2}$ . Since the algorithm did not halt at iteration  $i - 1$ , we have that  $w_{i-1} \notin B(u_{i-1})$  and thus

$$\begin{aligned} \text{dist}(u_{i-1}, p_{i-1}(u_{i-1}), G) &\leq \text{dist}(u_{i-1}, w_{i-1}, G) \leq \text{dist}(u_{i-1}, v_{i-1}, G) + \text{dist}(v_{i-1}, w_{i-1}, G) \\ &\leq \delta + (i - 2)\delta \leq (i - 1) \cdot \delta, \end{aligned}$$

where the penultimate inequality follows by induction assumption. Since  $u_{i-1} = v_i$  and  $p_{i-1}(u_{i-1}) = w_i$ , the claim follows. ■

**Claim 2.6** For every  $u, v$ ,  $\widehat{\delta}(u, v) \leq (2k - 1) \cdot \text{dist}(u, v, G)$ .

**Proof:** Let  $i \in \{1, \dots, k\}$  be the iteration in which the query algorithm halts given the query  $u, v$ . By Claim 2.5,  $\text{dist}(v_i, w_i) \leq (i - 1) \cdot \delta$ . The returned estimate is then:  $\widehat{\delta}(u, v) = \text{dist}(v_i, w_i) + \text{dist}(w_i, u_i) \leq \text{dist}(v_i, w_i) + \text{dist}(w_i, v_i) + \text{dist}(v_i, u_i) \leq 2(i - 1) \cdot \delta + \delta = (2i - 1)\delta$ . Since  $i \leq k$ , the claim follows. ■

**Size Lower Bound.** We saw in the previous class, that the greedy construction of  $(2k - 1)$  spanners with  $O(n^{1+1/k})$  edges is optimal assuming Erdős' girth conjecture. It is tempting to believe that removing the *subgraph* requirement, and allowing to compress the graph in any arbitrary way yields sparser structures. The next lemma shows that this is not the case, demonstrating a space lower bound of  $\Omega(n^{1+1/k})$  bits for any  $(2k - 1)$  approximate distance structure.

**Lemma 2.7** Assuming Erdős' girth conjecture, for every  $k \geq 1$  and sufficiently large  $n$ , there exists an  $n$ -vertex graph for which any  $(2k - 1)$  approximate distance oracle has size  $\Omega(n^{1+1/k})$ .

**Proof Sketch:** Let  $G$  be an  $n$ -vertex graph with girth at least  $2k + 2$  and  $\Omega(n^{1+1/k})$  edges (such a graph exists by the girth conjecture). We claim that every two subgraphs  $G_1, G_2$  of  $G$  must have different oracles. Since  $G$  has  $2^{|E(G)|} = n^{1+1/k}$  subgraphs, this would imply the claim. Without loss of generality, let  $(u, v)$  be an edge in  $G_1 \setminus G_2$ . We will show that a  $(2k - 1)$  approximate oracle  $\mathcal{O}$  for  $G_1$  cannot be a  $(2k - 1)$  approximate oracle for  $G_2$ . Since  $(u, v) \in G_1$ , we have:  $1 \leq \widehat{\delta}(u, v, \mathcal{O}) \leq (2k - 1)$ . On the other hand, recalling that  $G$  has girth at least  $2k + 2$ , we have:  $\text{dist}(u, v, G_2) \geq \text{dist}(u, v, G \setminus \{(u, v)\}) \geq 2k + 1 > \widehat{\delta}(u, v, \mathcal{O})$ .

## References

- [TZ05] Mikkel Thorup and Uri Zwick. Approximate distance oracles. *Journal of the ACM (JACM)*, 52(1):1–24, 2005.