

# INVARIANT MEASURES FOR THE HOROCYCLE FLOW ON PERIODIC HYPERBOLIC SURFACES

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**ABSTRACT.** We classify the ergodic invariant Radon measures for the horocycle flow on geometrically infinite regular covers of compact hyperbolic surfaces. The method is to establish a bijection between these measures and the positive minimal eigenfunctions of the laplacian of the surface. Two consequences: if the group of deck transformations  $G$  is of polynomial growth, then these measures are classified by the homomorphisms from  $G_0$  to  $\mathbb{R}$  where  $G_0 \leq G$  is a nilpotent subgroup of finite index; if the group is of exponential growth, then there may be more than one Radon measure which is invariant under the geodesic flow and the horocycle flow. We also treat regular covers of finite volume surfaces.

## 1. INTRODUCTION

Let  $M$  be a hyperbolic surface and  $T^1(M)$  its unit tangent bundle. The *geodesic flow* is the flow  $g^s : T^1(M) \rightarrow T^1(M)$  which moves a line element at unit speed along the geodesic it determines. The (stable) *horocycle* at a line element  $\omega$  is the geometric location of all  $\omega' \in T^1(M)$  for which  $d(g^s\omega, g^s\omega') \xrightarrow{s \rightarrow \infty} 0$ . This is a smooth curve. The (stable) *horocycle flow*  $h^t : T^1(M) \rightarrow T^1(M)$  moves line elements along the stable horocycle they determine, in the positive direction.

A famous theorem of Furstenberg [F] says that if  $M$  is compact, then  $h$  has a unique invariant probability measure. Variants of this phenomena have been established for more general geometrically finite hyperbolic surfaces by Dani [D] and Burger [Bu], for compact Riemannian surfaces of variable negative curvature by Marcus [Mrc], and for more general actions by Ratner [Rat]. The geometrically infinite case is still almost completely open.

We restrict our attention to the simplest possible geometrically infinite surfaces, the *periodic surfaces*. These are the surfaces of the form

$$M = \Gamma \backslash \mathbb{D} \text{ where } \{id\} \neq \Gamma \triangleleft \Gamma_0, \text{ } \Gamma_0 \text{ is a torsion free lattice in } \text{M\"ob}(\mathbb{D}).$$

Here and throughout  $\mathbb{D}$  is the unit disc, and  $\text{M\"ob}(\mathbb{D})$  is the group of Möbius transformations which preserve  $\mathbb{D}$ .  $M$  is a regular cover of the finite volume surface  $M_0 = \Gamma_0 \backslash \mathbb{D}$ , and therefore looks periodic. The *period* of  $M$  is  $M_0$ , and the *symmetry group* of  $M$  (relative to  $M_0$ ) is the group of deck transformations (which is isomorphic to  $\Gamma_0/\Gamma$ ). A periodic surface is called *cocompact* if  $M_0$  is compact.

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The symmetry group of a periodic surface is always finitely generated, and any finitely generated group can be realized as the symmetry group of some cocompact periodic surface. A periodic surface is called *Abelian*, *nilpotent* etc. if its symmetry group is Abelian, nilpotent etc.

It follows from the work of Ratner [Rat] that the horocycle flow has no finite invariant measures on periodic surfaces of infinite volume, other than measures supported on closed horocycles. But it has non-trivial invariant Radon measures, e.g. the volume measure on the unit tangent bundle.

The ergodic invariant Radon measures (*e.i.r.m.*'s) for the horocycle flow on a periodic surfaces have so far only been classified for free Abelian cocompact surfaces. In this case every homomorphism  $\varphi : G \rightarrow \mathbb{R}$  determines a unique (ray of) *e.i.r.m.*  $m$  s.t.  $m \circ dD = e^{\varphi(D)}m$  ( $D \in G$ ) [BL], and every *e.i.r.m.* arises this way [Sg].

Our aim here is to describe the *e.i.r.m.*'s for general periodic surfaces. One of our original aims was to understand how general is the situation that all  $h$ -*e.i.r.m.*'s are quasi-invariant under all deck transformations. We show below that although general Abelian and even nilpotent cocompact surfaces have this property, polycyclic cocompact surfaces of exponential growth do not.

We begin with some remarks on general hyperbolic surfaces  $M = \Gamma \backslash \mathbb{D}$ . Every  $h$ -*e.i.r.m.* on  $T^1(M)$  lifts to some  $\Gamma$ -invariant  $h$ -invariant Radon measure on  $T^1(\mathbb{D})$ . This set can be identified with  $(\partial\mathbb{D} \times \mathbb{R}) \times \mathbb{R}$  as follows: Let  $o \in \mathbb{D}$  denote the origin. For every  $e^{i\theta} \in \partial\mathbb{D}$  and  $z \in \mathbb{D}$  let  $\omega_\theta(z)$  be the line element based in  $z$  which determines the geodesic which ends at  $e^{i\theta}$ . The identification is

$$(e^{i\theta}, s, t) \longmapsto (h^t \circ g^s)(\omega_\theta(o)).$$

We call  $(e^{i\theta}, s, t)$  the *KAN-coordinates* of  $\omega$  (this is the Iwasawa decomposition).

It is well-known that  $g^s \circ h^t = h^{te^{-s}} \circ g^s$ . Therefore, in these coordinates

$$\begin{aligned} h^t(e^{i\theta_0}, s_0, t_0) &= (e^{i\theta_0}, s_0, t_0 + t); \\ g^s(e^{i\theta_0}, s_0, t_0) &= (e^{i\theta_0}, s_0 + s, t_0 e^{-s}). \end{aligned}$$

It follows that any  $h$ -invariant measure  $m$  must be of the form  $d\mu(e^{i\theta}, s)dt$ .

If, in addition,  $m$  is quasi-invariant with respect to the geodesic flow, then  $m \circ g^s = e^{(\alpha-1)s}m$  for some  $\alpha$  and all  $s$ ,<sup>1</sup> and we can decompose  $m$  further into  $dm = e^{\alpha s}d\nu(e^{i\theta})dsdt$  for some finite measure  $\nu$  on  $\partial\mathbb{D}$ . The measure  $\nu$  is then determined by the requirements that  $m$  be  $\Gamma$ -invariant and  $h$ -ergodic. These requirements turn out to be equivalent to ergodicity and conformality w.r.t. the  $\Gamma$ -action on  $\partial\mathbb{D}$  (see [Ba] and below).

This is the approach used by Martine Babillot in [Ba] to classify  $h$ -*e.i.r.m.* which are quasi-invariant w.r.t. the geodesic flow (for a different approach, see [ASS]).

In general, it is not true that any  $h$ -*e.i.r.m.* is  $g$ -quasi-invariant: Take a non-cocompact periodic surface  $M$  with period  $M_0$ . Since  $M_0$  is a non-compact hyperbolic surface of finite volume, it has cusps. Every cusp is encircled by closed horocycles of finite length. These horocycles lift to  $h$ -orbits on  $T^1(M)$ . The lifts are not necessarily of finite length, but they are always locally finite: The Lebesgue measure on them is a Radon measure on  $T^1(M)$ . This measure is  $h$ -ergodic and invariant, but is not  $g$ -quasi-invariant. We call these measures *trivial  $h$ -e.i.r.m.'s*.

<sup>1</sup>If  $m$  is  $h$ -*e.i.r.m.*, then so is  $m \circ g^s$  because  $g^s \circ h^t = h^{te^{-s}} \circ g^s$ . Since  $m, m \circ g^s$  are ergodic and equivalent, they must be proportional. The constant must of the form  $e^{\beta s}$ . Set  $\beta = \alpha - 1$ .

Our contribution is to show that the trivial measures are the only obstruction to  $g$ -quasi-invariance:

**Theorem 1.** *Let  $M$  be a periodic surface with period  $M_0$ . Any non-trivial  $h$ -e.i.r.m. on  $T^1(M)$  is quasi-invariant w.r.t. the geodesic flow.*

Let  $\Gamma$  be a Fuchsian group, and  $\nu$  some measure on  $\partial\mathbb{D}$ . We say that  $\nu$  is  $\Gamma$ -ergodic, if any  $\Gamma$ -invariant function is constant on a set of full measure. We say that  $\nu$  is  $\Gamma$ -conformal (with parameter  $\alpha$ ) if  $\nu$  is finite, and  $\frac{d\nu \circ g}{d\nu} = |g'|^\alpha$  for all  $g \in \Gamma$  (see [Su3]). Theorem 1 allows us to complete Babillot's programme and show

**Theorem 2.** *Let  $M = \Gamma \backslash \mathbb{D}$  be a periodic surface. If  $\nu$  is non-atomic,  $\Gamma$ -ergodic, and conformal with parameter  $\alpha$ , then  $e^{\alpha s} d\nu(e^{i\theta}) ds dt$  is a  $\Gamma$ -invariant measure on  $T^1(\mathbb{D})$ , which projects to a non-trivial  $h$ -e.i.r.m on  $T^1(\Gamma \backslash \mathbb{D})$ . Any non-trivial  $h$ -e.i.r.m on  $T^1(\Gamma \backslash \mathbb{D})$  is of this form.*

Recall that the *hyperbolic Laplacian* of  $\mathbb{D}$  is a second order differential operator on  $C^2(\mathbb{D})$  s.t.  $\Delta_{\mathbb{D}}(f \circ \varphi) = (\Delta_{\mathbb{D}} f) \circ \varphi$  for all  $\varphi \in \text{M\"ob}(\mathbb{D})$ . This determines  $\Delta_{\mathbb{D}}$  up to a constant, and this constant can be chosen to make  $\Delta_{\mathbb{D}} = y^2(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2})$  in the upper half plane model. The invariance property of  $\Delta_{\mathbb{D}}$  means that it descends to an operator  $\Delta_M$  on  $M = \Gamma \backslash \mathbb{D}$ , called the *hyperbolic Laplacian* of  $M$ .

The collection of positive  $\lambda$ -eigenfunctions of  $\Delta_M$  forms a cone. The extremal rays of this cone are directions generated by the *minimal* positive  $\lambda$ -eigenfunctions: the  $\lambda$ -eigenfunctions  $F$  for which  $\Delta_M G = \lambda G$ ,  $0 \leq G \leq F \Rightarrow \exists c \text{ s.t. } G = cF$ .

If  $P(e^{i\theta}, z) := \frac{1-|z|^2}{|e^{i\theta}-z|^2}$  (the Poisson kernel), then  $P(e^{i\theta}, z)^\alpha$  is an  $\alpha(\alpha-1)$ -positive eigenfunction of  $\Delta_{\mathbb{D}}$  (see §5.1). Consequently, any  $\Gamma$ -invariant function of the form  $\sum c_k P(e^{i\theta_k}, z)^\alpha$ ,  $c_k \geq 0$  defines a positive eigenfunction of  $\Delta_M$ . We call these eigenfunctions *trivial eigenfunctions* (see §6.1 for the connection with the Eisenstein series). As it turns out, in this case  $e^{i\theta_k}$  must all be fixed points of parabolic elements of  $\Gamma$  (see below).

Following Babillot [Ba], we consider the assignment

$$m = e^{\alpha s} d\nu(e^{i\theta}) ds dt \longmapsto F_m(z) := \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^\alpha d\nu(e^{i\theta}). \quad (*)$$

**Theorem 3.** *Let  $M$  be a periodic surface. The mapping  $(*)$  is a bijection between the non-trivial e.i.r.m's of  $h$  on  $T^1(M)$  and the non-trivial minimal positive eigenfunctions of  $\Delta_M$ . This bijection satisfies:*

- (1)  $m \circ g^s = e^{(\alpha-1)s} m \Leftrightarrow \Delta_M F_m = \alpha(\alpha-1)F_m$ ;
- (2)  $m \circ dD = cm \Leftrightarrow F_m \circ D = cF_m$  for all  $D$  in the symmetry group of  $M$ .

*Remark:* Cocompact periodic surfaces have no trivial  $h$ -e.i.r.m's, because compact surfaces do not admit closed horocycles. They admit no non-trivial positive eigenfunctions for the Laplacian, because uniform lattices have no parabolic fixed points. Therefore, for cocompact periodic surfaces, all  $h$ -e.i.r.m's are  $g$ -quasi-invariant, all  $h$ -e.i.r.m's have the form described in Theorem 2, and  $(*)$  is a bijection between the collection of all  $h$ -e.i.r.m's and the collection of all minimal positive eigenfunctions of the Laplacian.

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## 2. EXAMPLES

We illustrate these results by examples. We remind the reader that any finitely generated group is the symmetry group of some cocompact periodic surface. The classes of examples described below are therefore not empty.

**Example 1** (Furstenberg's Theorem [F]). *The horocycle flow of a compact hyperbolic surface is uniquely ergodic.*

*Proof.* This is the case when the symmetry group is trivial. Any e.i.r.m.  $m$  corresponds to a function  $F$  such that  $\Delta_M F = \alpha(\alpha - 1)F$  where  $\alpha$  satisfies  $m \circ g^s = e^{(\alpha-1)s}m$ . Since  $m$  is finite (a Radon measure on a compact space),  $\alpha$  must be equal to one. Therefore  $F$  is harmonic, whence (by compactness and the maximum principle) constant. The representing measure of the constant function is proportional to Haar's measure  $d\lambda$ . It follows that  $m$  is proportional to  $e^s d\lambda(e^{i\theta}) ds dt =$  volume measure.  $\square$

**Example 2** (Dani-Smillie Theorem [DS]). *The ergodic invariant Radon measures for the horocycle flow on a hyperbolic surface of finite area are all finite, and consist of trivial measures and measures proportional to the volume measure.*

*Proof.* Dani and Smillie proved this by showing that non-periodic horocycle orbits are equidistributed. We deduce it from theorem 3, and the fact that the minimal positive eigenfunctions in this case are either trivial, or constant (see §6.1).  $\square$

**Example 3** (Kaimanovich's Theorem [Kai1]). *The volume measure on a periodic surface is  $h$ -ergodic iff all bounded harmonic functions on the surface are constant (the Liouville property).*

*Proof.* Kaimanovich proved this for all hyperbolic surfaces [Kai1]. We explain how his result fits with ours in the periodic case. Let  $M$  be a hyperbolic periodic surface with symmetry group  $G$  and period  $M_0$ . The volume measure on  $T^1(\mathbb{D})$  is of the form  $dm = e^s d\lambda(e^{i\theta}) ds dt$ , where  $\lambda$  is Haar's measure on  $\partial\mathbb{D}$ . Haar's measure is  $\Gamma$ -conformal of parameter 1. By theorem 2, it is ergodic iff  $m$  is an e.i.r.m., in which case (by theorem 3)  $F_m(z) = \int_{\partial\mathbb{D}} P(e^{i\theta}, z) d\lambda(e^{i\theta}) \equiv 1$  is minimal. This shows: The volume measure is ergodic iff 1 is a minimal harmonic function. But 1 is minimal exactly when all bounded harmonic functions are constant.  $\square$

**Example 4** (The strong Liouville property). *The volume measure on a periodic surface is the unique  $g$ -invariant  $h$ -e.i.r.m. on  $M$  iff all positive harmonic functions on the surface are constant (the strong Liouville property).*

*Proof.*  $g$ -invariant  $h$ -e.i.r.m.'s are necessarily non-trivial, and therefore correspond to minimal positive harmonic functions. The volume measure corresponds to the constant function.  $\square$

**Example 5** (Nilpotent surfaces). *Let  $M$  be a cocompact periodic surface with nilpotent symmetry group  $G$ . Every homomorphism  $\varphi : G \rightarrow \mathbb{R}$  determines an  $h$ -e.i.r.m. measure  $m$  (unique up to a constant) such that  $m \circ dD = e^{\varphi(D)}m$  for every  $D \in G$ , and every  $h$ -e.i.r.m. is of this form.*

*Proof.* This is because the minimal positive eigenfunctions for a cocompact nilpotent surface form a family  $\{tF_\varphi : t > 0, \varphi : G \rightarrow \mathbb{R}$  is a homomorphism $\}$ , where  $F_\varphi \circ D = e^{\varphi(D)}F_\varphi$  for all  $D \in G$  (see §6.2). This example strengthens the main result of [Ba] by removing the  $g$ -quasi-invariance assumption.  $\square$

**Example 6** (Polynomial growth). *Let  $M$  be a cocompact periodic surface of polynomial growth<sup>2</sup>. The symmetry group of  $M$  contains a finitely generated normal nilpotent subgroup  $N$  of finite index, and the rays of h-e.i.r.m.'s on  $T^1(M)$  are in bijection with the homomorphisms from  $N$  to  $\mathbb{R}$ .*

*Proof.* Let  $M$  be a periodic cocompact surface of polynomial growth with period  $M_0$  and symmetry group  $G$ . Let  $F_0 \subset M$  be one of the connected preimages of  $M_0$  under the covering group which project to  $M_0$  bijectively. The collection  $\{D \in G : \overline{F}_0 \cap D(\overline{F}_0) \neq \emptyset\}$  is a finite set of generators for  $G$ . Let  $|\cdot|$  be the word metric w.r.t. to this set of generators. Then

$$\#\{D \in G : |D| \leq n\} \times \text{vol}(F_0) \leq \text{vol}\{p \in M : d(p, F_0) \leq (n+1) \cdot \text{diam}(M_0)\}.$$

Therefore,  $G$  has polynomial growth. By Gromov's theorem [Gr],  $G$  contains a nilpotent subgroup  $N_0$  of finite index. The group  $N := \bigcap_{g \in G} g^{-1}N_0g$  is normal and nilpotent. By Poincaré's theorem ([Ro], theorem 1.3.12)  $N_0$  has finite index in  $G$ , because the intersection which defines it has a finite number of different terms. Since  $N$  has finite index in  $G$  and  $G$  is finitely generated,  $N$  is finitely generated (see e.g. [Ro], theorem 6.1.8).

We claim that there is a compact hyperbolic surface  $M_1$  such that  $M$  is a nilpotent surface with period  $M_1$  and symmetry group  $N$  (we thank Y. Coudene for this observation). This finishes the proof, by reducing Example 5 to Example 4.

Write  $M_0 = \Gamma_0 \backslash \mathbb{D}$ ,  $M = \Gamma \backslash \mathbb{D}$ , and  $G = \Gamma_0/\Gamma$ . Since  $N \triangleleft G$ ,  $N = \Gamma_1/\Gamma$  for some  $\Gamma \triangleleft \Gamma_1 \triangleleft \Gamma_0$ . It follows that  $M$  is regular cover of  $M_1 := \Gamma_1 \backslash \mathbb{D}$ , and the group of deck transformations of this cover is  $\Gamma_1/\Gamma \equiv N$ . To see that  $M_1$  is compact, note that it is a finite cover of  $M_0$ , because  $|\Gamma_0/\Gamma_1| = |(\Gamma_0/\Gamma)/(\Gamma_1/\Gamma)| = |G/N| < \infty$ .  $\square$

*Remark:* This shows that the h-e.i.r.m.'s on a cocompact periodic surface of polynomial growth can be naturally parameterized as a  $d$ -parameter family with  $d = \text{rank}(N/[N, N])$  (where the rank of the finitely generated Abelian group  $A = N/[N, N]$  is the  $d$  in  $A/\text{Tor}(A) \simeq \mathbb{Z}^d$ ).

**Example 7** (Polycyclic surfaces). *Cocompact polycyclic surfaces which are not virtually nilpotent are Liouville, but not strongly Liouville.<sup>3</sup> Therefore,*

- (i) *The volume measure on  $T^1(M)$  is a  $g$ -invariant h-e.i.r.m.;*
- (ii) *There are other  $g$ -invariant h-e.i.r.m.'s, and these measures are not quasi-invariant w.r.t. all deck transformations.*

*Proof.* A cocompact polycyclic surface has the Liouville property (Kaimanovich [Kai2]), and we saw that this implies (i). If  $M$  is not virtually nilpotent, then it is not of polynomial growth. Polycyclic groups are linear, therefore the work of Bougerol & Élie [BE] provides a non-constant positive harmonic function  $F$  on  $M$ .

Any positive harmonic function is the barycenter of minimal positive harmonic functions, so it is possible to find a non-constant minimal positive harmonic function  $F_0$ .

<sup>2</sup>A Riemannian surface is said to be of polynomial growth, if the volume of balls of radius  $R$  is  $O(R^\delta)$  for some  $\delta$  as  $R \rightarrow \infty$ .

<sup>3</sup> $G$  is *polycyclic* if  $\exists G_i \triangleleft G$  s.t.  $\{1\} = G_0 \triangleleft \dots \triangleleft G_n = G$  and  $G_i/G_{i-1}$  are cyclic. Polycyclic groups are characterized as the solvable groups all of whose subgroups are finitely generated.  $G$  is *virtually nilpotent* if  $\exists N \triangleleft G$  nilpotent such that  $|G/N| < \infty$ . Finitely generated virtually nilpotent groups are characterized as the groups of polynomial growth: if  $\Lambda$  is a finite set of generators, then  $|\Lambda^n| = O(n^\alpha)$  for some  $\alpha$ .

The measure  $m_0$  which corresponds to  $F_0$  is  $g$ -invariant, because  $F_0$  has eigenvalue zero. We claim that it cannot be quasi-invariant w.r.t. all deck transformations. The horocycle flow commutes with all deck transformations. If  $m$  were quasi-invariant w.r.t. all deck transformations, then  $m_0 \circ dD = e^{\varphi(D)}m_0$  with  $\varphi : G \rightarrow \mathbb{R}$  a homomorphism (equivalent ergodic invariant measure are proportional). Any homomorphism into  $\mathbb{R}$  must vanish on  $[G, G]$ . Going back to  $F_0$  we see that  $F_0 \circ D = F_0$  for all  $D \in [G, G]$ . It follows that  $F_0$  descends to a positive harmonic function on  $M/[G, G]$ . But this cocompact surface is Abelian (its symmetry group is  $G/[G, G]$ ) and all positive harmonic functions on Abelian surfaces are constant [LS], a contradiction.  $\square$

**Example 8** (The Thrice Punctured Sphere). *Working in the upper half plane  $\mathbb{H}$ , define  $\Gamma(2) := \{\varphi(z) = \frac{az+b}{cz+d} : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z}), \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \bmod 2\}$ .*

- (1)  *$M_0 = \Gamma(2) \backslash \mathbb{H}$  is a finite volume hyperbolic surface, and is homeomorphic to the sphere minus three points, which correspond to three cusps;*
- (2) *Suppose  $G$  is a group generated by two elements, and  $G \not\cong F_2$ . There exists a periodic surface  $M_G$  with period  $M_0$  and symmetry group  $\cong G$ ;*
- (3) *The e.i.r.m.'s for  $h : T^1(M_G) \rightarrow T^1(M_G)$  consist of trivial measures, and of the measures given by theorems 2 and 3.*

*Remark.* A theorem B.H. Neumann says that there are uncountably many non-isomorphic groups with two generators, see e.g. [Ro].

*Proof.* The topological description of  $M_0$  can be found in [Kat], page 141. It is a classical fact due to Klein that  $\Gamma(2)$  is a free group on two generators. If  $G$  is generated by two elements, then there is a surjective homomorphism  $H : \Gamma(2) \rightarrow G$ , and  $\Gamma := \ker(H)$  is a normal subgroup of  $\Gamma(2)$ . If  $G \not\cong F_2$ , then  $H$  is not an isomorphism, so  $\Gamma \neq \{id\}$ . The surface  $M := \Gamma \backslash \mathbb{H}$  is then a periodic surface with symmetry group  $\Gamma(2)/\ker(H) \cong \text{Im}(H) = G$ . Parts (2) and (3) follow.  $\square$

### 3. GENERALITIES ON MÖBIUS TRANSFORMATIONS, FUCHSIAN GROUPS, AND ORBIT COCYCLES

**3.1. The Bowen–Series map.** Fix a Fuchsian group  $\Gamma_0$  s.t.  $\Gamma_0 \backslash \mathbb{D}$  has finite volume. Let  $\text{Par}(\Gamma_0)$  denote the collection of all fixed points of parabolic  $g \in \Gamma_0$ . Bowen and Series constructed in [BS] a countable partition  $\{I_a\}_{a \in S}$  of  $\partial\mathbb{D}$  into arcs with disjoint interiors, a generating set  $\{g_a\}_{a \in S} \subset \Gamma_0$ , and  $f_{\Gamma_0} : \partial\mathbb{D} \rightarrow \partial\mathbb{D}$  with the following properties:

- (Orb)  $f_{\Gamma_0}$  is (almost) orbit equivalent to  $\Gamma_0$ : For all except finitely many  $(\xi, \eta) \in (\partial\mathbb{D})^2$ ,  $\exists m, n > 0$  s.t.  $f_{\Gamma_0}^m(\xi) = f_{\Gamma_0}^n(\eta) \Leftrightarrow \exists g \in \Gamma_0$  s.t.  $\xi = g(\eta)$ .
- (Res)  $f_{\Gamma_0}|_{\text{int}(I_a)} = g_a|_{\text{int}(I_a)}$  ( $a \in S$ ).
- (Mar)  $\{I_a\}_{a \in S}$  is a Markov partition:  $f_{\Gamma_0}(I_a) \cap I_b \neq \emptyset \Rightarrow f(I_a) \supseteq I_b$ .
- (Tr)  $f_{\Gamma_0}$  is topologically transitive. In particular, for every  $a, b \in S$  there exists some  $n$  such that  $f_{\Gamma_0}^n(I_a) \supseteq I_b$ .
- (Fin) If  $\text{Par}(\Gamma_0) = \emptyset$ , then  $S$  is finite. Otherwise,  $\exists S_0 \subset S$  finite s.t. the forward  $f_{\Gamma_0}$ -orbit of every  $x \in \partial\mathbb{D} \setminus \text{Par}(\Gamma_0)$  enters  $\bigcup_{a \in S_0} I_a \setminus \text{Par}(\Gamma_0) =: \Lambda$  infinitely many times.
- (BD) For any finite set  $S_0$  as in (Fin), let  $f_{S_0} : \Lambda \rightarrow \Lambda$  be the first return map:  $f_{S_0}(x) = f_{\Gamma_0}^{\varphi(x)}(x)$  where  $\varphi(x) := \min\{n \geq 1 : f_{\Gamma_0}^n(x) \in \Lambda\}$ . There exists  $N$  such that  $\inf |(f_{S_0}^N)'| > 1$  and  $\sup |f_{S_0}''/f_{S_0}^2| < \infty$  (Adler's condition).

Every word  $\underline{a} = (a_0, \dots, a_{n-1}) \in S^n$  determines a set

$$[\underline{a}] := \bigcap_{k=0}^{n-1} f_{\Gamma_0}^{-k}(I_{a_k}).$$

This set, called a *cylinder (of length n)*, is an arc. If it is nonempty, we say that  $\underline{a}$  is *admissible*.

Condition (Res) shows that any admissible word  $\underline{a} = (a_0, \dots, a_{n-1})$  determines an element  $g_{\underline{a}} \in \Gamma_0$  such that  $g_{\underline{a}} = f_{\Gamma_0}^{n-1}|_{[\underline{a}]} = g_{a_{n-2}} \circ \dots \circ g_{a_0}$  if  $n \geq 2$ , or  $g_{\underline{a}} := id$ , if  $n = 1$ . Condition (Mar) implies that  $g_{\underline{a}}$  maps  $[\underline{a}]$  onto  $I_{a_{n-1}}$ . The content of (BD) is that if  $a_0, a_{n-1} \in S_0$ , then this is done with uniformly bounded distortion: There exists a modulus of continuity  $\omega(\delta) \xrightarrow{\delta \rightarrow 0} 0$  such that for any cylinder  $[\underline{a}]$ ,

$$\left| \log g'_{\underline{a}}(\xi_1) - \log g'_{\underline{a}}(\xi_2) \right| \leq \omega(|g_{\underline{a}}(\xi_1) - g_{\underline{a}}(\xi_2)|) \text{ whenever } \xi_1, \xi_2 \in [\underline{a}]. \quad (1)$$

(see [Ad]). In particular, there exists a constant  $B_0$  (independent of  $\underline{a}$  or  $n$ ) s.t.

$$\frac{1}{B_0} \leq \left| \frac{g'_{\underline{a}}(x)}{g'_{\underline{a}}(y)} \right| = \left| \frac{(f_{\Gamma_0}^{n-1})'(x)}{(f_{\Gamma_0}^{n-1})'(y)} \right| \leq B_0 \text{ for all } x, y \in [\underline{a}].$$

Properties (Orb), (Res), (Mar), and (Tr) are proved in [BS]. Property (BD) is also proved in [BS], although it is stated there in a slightly weaker form. The proof of (Fin) is sketched in the appendix.

**3.2. The Busemann function and the Poisson kernel.** Define two functions  $a_\theta(z_1, z_2), b_\theta(z_1, z_2)$  ( $0 \leq \theta < 2\pi, z_1, z_2 \in \mathbb{D}$ ) such that

$$\omega_\theta(z_2) = h^{a_\theta(z_1, z_2)} \circ g^{b_\theta(z_1, z_2)}(\omega_\theta(z_1)).$$

The action of  $\text{M\"ob}(\mathbb{D})$  on  $T^1(\mathbb{D})$  in the *KAN*-coordinates is then

$$g(e^{i\theta}, s, t) = (g(e^{i\theta}), s + b_\theta(g^{-1}o, o), t + e^{-s}a_\theta(g^{-1}o, o)) \quad (g \in \text{M\"ob}(\mathbb{D})). \quad (2)$$

The function  $b_\theta(\cdot, \cdot)$  is called the *Busemann function* (some authors use this name for  $-b_\theta(\cdot, \cdot)$ ). The function  $a_\theta(\cdot, \cdot)$  is not important in our context.

The geometric meaning of the Busemann function is explained by the identity  $b_\theta(z_1, z_2) = \lim_{s \rightarrow \infty} d(g^s \omega_\theta(z_1), g^s \omega_\theta(z_2))$ . It immediately follows that  $b_\theta(x, y) + b_\theta(y, z) = b_\theta(x, z)$ , and that  $b_{g\theta}(g(z), g(w)) = b_\theta(z, w)$  for all  $g \in \text{M\"ob}(\mathbb{D})$  (where  $g \cdot \theta$  is an angle such that  $g(e^{i\theta}) = e^{ig \cdot \theta}$ ).

We now explain the potential theoretic meaning of the Busemann function, following [Kai2] and [F]. The *harmonic measures* of  $\mathbb{D}$  are  $d\lambda_z(e^{i\theta}) = P(e^{i\theta}, z)d\lambda(e^{i\theta})$  where  $\lambda$  is the normalized Haar measure of  $\partial\mathbb{D}$ , and  $P(e^{i\theta}, z) = \frac{1-|z|^2}{|e^{i\theta}-z|^2}$  is the Poisson kernel. The harmonic measures satisfy  $\lambda_z \circ g^{-1} = \lambda_{g(z)}$  ( $g \in \text{M\"ob}(\mathbb{D})$ ).<sup>4</sup> The Busemann function satisfies  $b_\theta(z_1, z_2) = -\log \frac{d\lambda_{z_1}}{d\lambda_{z_2}}(e^{i\theta})$ .<sup>5</sup> In particular:

$$b_\theta(g^{-1}o, o) = -\log |g'(e^{i\theta})|. \quad (3)$$

<sup>4</sup>The Every  $f \in C(\partial\mathbb{D})$  determines an harmonic function  $F(z) = \int f d\lambda_z$  with boundary values  $f$ . Since  $g \in \text{M\"ob}(\mathbb{D})$ ,  $F \circ g$  is harmonic, with boundary values  $f \circ g$ . Thus,  $\int f d\lambda_{g(z)} = F(g(z)) = \int f \circ g d\lambda_z = \int f d\lambda_z \circ g^{-1}$ . Since  $f$  was arbitrary, the identity must hold.

<sup>5</sup>The following argument is from [Kai2]:  $h_\theta(z_1, z_2) := -\log \frac{d\lambda_{z_1}}{d\lambda_{z_2}}(e^{i\theta})$  satisfies  $h_\theta(x, z) = h_\theta(x, y) + h_\theta(y, z)$  and  $h_{g\theta}(gx, gy) = h_\theta(x, y)$  for all  $g \in \text{M\"ob}(\mathbb{D})$ . All such functions must be proportional to the Busemann function. Checking specific points we see that  $h_\theta = b_\theta$ .

**3.3. The limit set.** The *limit set* of a Fuchsian group  $\Gamma$  (acting on  $\mathbb{D}$ ) is the set

$$\Lambda := \{z : z \text{ is an accumulation point of } \Gamma w \text{ for some } w \in \mathbb{D}\}.$$

The limit set is subset of  $\partial\mathbb{D}$ . It is characterized as the smallest non-empty  $\Gamma$ -invariant subset of  $\partial\mathbb{D}$ . In particular,  $\Lambda$  is equal to the set of accumulation points of any single  $\Gamma$ -orbit, and  $\Gamma$  acts minimally on its limit set (see [Be]).

A Fuchsian group is called *non-elementary* if its limit set contains more than two points. In this case it must be uncountable [Be]. A Fuchsian group is said to be of the *first kind* if  $\Lambda = \partial\mathbb{D}$ .

Any torsion free lattice in  $\text{Möb}(\mathbb{D})$  is of the first kind [Be]. Any non-trivial normal subgroup  $\Gamma$  of a group of the first kind  $\Gamma_0$  is again of the first kind: The limit set of  $\Gamma$  is invariant under  $\Gamma_0$ , because  $\Gamma \triangleleft \Gamma_0$ . Since  $\Gamma \neq \{id\}$ , this set is non-empty, and therefore (being a closed  $\Gamma_0$ -invariant set), must contain the limit set of  $\Gamma_0$ . But this set is  $\partial\mathbb{D}$ , by assumption.

**3.4. Translation lengths.** Recall that  $id \neq g \in \text{Möb}(\mathbb{D})$  is called *hyperbolic* if it has two fixed points in  $\partial\mathbb{D}$ . In this case one of these points is repelling, the other is attracting, and the geodesic which connects them – called the *axis* of  $g$  – is left invariant by  $g$ . A hyperbolic Möbius transformation moves the points on its axis a fixed (hyperbolic) distance. This distance is called the *translation length* of  $g$ , and is given by  $\tau(g) := |\log|g'(p)||$  where  $p$  is one of the fixed points of  $g$ .<sup>6</sup>

Define for a torsion free Fuchsian group  $\Gamma$

$$\tau(\Gamma) := \{\tau(g) : g \in \Gamma \text{ is hyperbolic}\}.$$

This set is also called the *length spectrum* of  $\Gamma \backslash \mathbb{D}$ , because it is equal to the collection of lengths of closed geodesics on  $\Gamma \backslash \mathbb{D}$ . We need the following two properties of  $\tau(\Gamma)$ :

- (FI) If  $\Gamma_0 \backslash \mathbb{D}$  has finite volume, then  $\tau(\Gamma_0)$  intersects any compact interval at most finitely many times (see §6.4). Clearly, every subgroup  $\Gamma \leq \Gamma_0$  inherits this property.
- (NA) If  $\Gamma$  is Fuchsian and non-elementary, then  $\tau(\Gamma)$  generates a dense subgroup of  $\mathbb{R}$  (Guivarc'h & Raugi [GR], Dal'bo [Da2]). This, in particular, is the case for non-trivial normal subgroups of lattices (which as mentioned above are of the first kind, whence non-elementary).

**3.5. The orbit equivalence relation.** Let  $X$  be a complete metric separable space, and suppose  $G$  is a countable discrete group which acts on  $X$  in a continuous way. The *orbit equivalence relation* of  $G$  is

$$\mathfrak{G} = \mathfrak{G}(G) := \{(x, y) \in X \times X : \exists g \in G \text{ s.t. } y = g(x)\}.$$

An *orbit cocycle* is a Borel function  $\Phi : \mathfrak{G} \rightarrow \mathbb{R}$  with the cancellation property:  $\Phi(x, y) + \Phi(y, z) = \Phi(x, z)$ . Automatically,  $\Phi(x, x) = 0$  and  $\Phi(x, y) = -\Phi(y, x)$ .

A  *$\mathfrak{G}$ -holonomy* is a bi-measurable bijection between Borel sets  $\text{dom}(\kappa), \text{im}(\kappa) \subset X$  Borel s.t. for all  $x \in X$ ,  $(x, \kappa(x)) \in \mathfrak{G}$ . Such maps take the form  $x \mapsto g_x(x)$  where  $g_x \in G$  depends on  $x$  measurably. The following fact is standard: *If  $m$  is  $G$ -invariant, then  $m \circ \kappa|_{\text{dom}(\kappa)} = m|_{\text{dom}(\kappa)}$  for all  $\mathfrak{G}$ -holonomies.*

More generally, let  $(X, \mathcal{F})$  be a complete metric separable space with its Borel  $\sigma$ -algebra. A *countable Borel equivalence relation* is an equivalence relation  $\mathfrak{G} \subset X \times X$

<sup>6</sup>One way to prove this is to note that any hyperbolic  $g \in \text{Möb}(\mathbb{D})$  is conjugate to  $z \mapsto kz$  ( $k > 0$ ) on  $\{z : \text{Re}(z) > 0\}$ . This isometry has translation length  $|\log k|$ .

with countable equivalence classes which forms a Borel subset of  $X \times X$ . The  $\mathfrak{G}$ -holonomies are defined as before. A Borel measure on  $X$  is called  $\mathfrak{G}$ -*invariant* if it is invariant under all  $\mathfrak{G}$ -holonomies, and  $\mathfrak{G}$ -*ergodic* if every Borel function which is invariant under all holonomies is a.e. equal to a constant. In the case of the orbit equivalence relation of a countable group, these definitions coincide with the usual definition for ergodicity and invariance w.r.t. a group action. (In fact, any countable Borel equivalence relation is the orbit equivalence relation of some countable group of Borel automorphisms [FM].)

Suppose  $m$  is a Borel measure on  $(X, \mathcal{F})$ . Some care is needed in discussing ‘almost everywhere’ statements in  $\mathfrak{G}$ , because an equivalence relation usually has zero measure w.r.t.  $m \times m$ . A property  $P(x, y)$  of pairs  $(x, y) \in X \times X$  is called *Borel*, if  $\{(x, y) \in \mathfrak{G} : P(x, y) \text{ holds}\}$  is a Borel subset of  $X \times X$ . A Borel property is said to *hold  $m$ -almost everywhere in  $\mathfrak{G}$* , if the set

$$\{x \in X : P(x, y) \text{ holds for all } y \text{ s.t. } (x, y) \in \mathfrak{G}\}$$

has full measure. The Borel measurability of sets of this form is proved in [FM].

#### 4. PROOF OF THEOREM 1

Fix two Fuchsian groups  $\Gamma, \Gamma_0$  such that  $\{id\} \neq \Gamma \triangleleft \Gamma_0$  and  $\Gamma_0$  is a lattice. Let  $m_0$  be a  $\Gamma$ -invariant measure on  $T^1(\mathbb{D})$  which descends to a non-trivial  $h$ -e.i.r.m. on  $T^1(M)$  where  $M = \Gamma \backslash \mathbb{D}$ . We have already remarked that in the *KAN*-coordinates, any  $h$ -invariant measure is of the form  $dm(e^{i\theta}, s)dt$ . By (2),  $m_0$  is  $\Gamma$ -invariant iff  $m$  is left invariant by the following  $\Gamma$  action on  $\partial\mathbb{D} \times \mathbb{R}$ :

$$g : (e^{i\theta}, s) \mapsto (g(e^{i\theta}), s + b_\theta(g^{-1}o, o)) = (g(e^{i\theta}), s - \log |g'(e^{i\theta})|) \quad (4)$$

It is also easy to see that the condition that  $m_0$  descends to an  $h$ -ergodic measure is equivalent to saying that  $m$  is ergodic with respect to the  $\Gamma$  action (4).

Abusing notation we denote the action  $g^s : (e^{i\theta_0}, s_0) \mapsto (e^{i\theta_0}, s_0 + s)$  by the symbol reserved for the geodesic flow, and set  $H_m := \{s \in \mathbb{R} : m \circ g^s \sim m\}$ . This is a closed subgroup of  $\mathbb{R}$ , and our goal is to show that  $H_m = \mathbb{R}$ . This suffices, because  $m \circ g^s \sim m$  iff  $m_0 \circ g^s \sim m_0$ .

**4.1. Two Lemmas.** Let  $N_\varepsilon(\cdot)$  denote the  $\varepsilon$ -neighborhood of a set, and  $\Gamma, \Gamma_0$ , and  $m$  be as above. We assume throughout that  $m_0$  projects to a non-trivial measure on  $T^1(\Gamma \backslash \mathbb{D})$ .

**Lemma 1** (Holonomy Lemma). *Let  $[\underline{a}] \subset \partial\mathbb{D}$  be a cylinder and  $I$  be a compact interval such that  $m([\underline{a}] \times I) \neq 0$ . For every  $\tau_0 \in \tau(\Gamma)$  and  $\varepsilon > 0$ , there exists a 1-1 measure preserving Borel  $\bar{\kappa}$  such that  $\bar{\kappa}([\underline{a}] \times I) \subset [\underline{a}] \times N_\varepsilon(I + \tau_0) \text{ mod } m$ .*

*Proof.* The non-triviality of  $m_0$  implies that  $m(\text{Par}(\Gamma_0) \times \mathbb{R}) = 0$ : Otherwise, by ergodicity,  $m$  is supported on a set of the form  $\{(g(e^{i\theta_0}), s_0 - \log |g'(e^{i\theta_0})|) : g \in \Gamma\}$  for some parabolic fixed point  $e^{i\theta_0}$  and some  $s_0 \in \mathbb{R}$ . This means that  $m_0$  is carried by the  $\Gamma$ -images of a single horocycle whose line elements determine geodesics which terminate at  $e^{i\theta_0}$ . Such horocycles project to one closed horocycle on  $\Gamma_0 \backslash \mathbb{D}$ , in contradiction to the non-triviality assumption.

Let  $S_0 \subset S$  be the finite set given by (Fin), and assume w.l.o.g. that  $S_0$  contains the first symbol  $a_0$  of  $[\underline{a}]$  (otherwise add this symbol to  $S_0$ ). We claim that  $\exists a \in S_0$  such that the  $f_{\Gamma_0}$ -orbit of a.e.  $\xi \in \partial\mathbb{D}$  enters  $I_a$  infinitely many times:<sup>7</sup> There

<sup>7</sup>More precisely: if  $\Omega_a \subset \partial\mathbb{D}$  is the set of points with this property, then  $m[(\Omega_a \times \mathbb{R})^c] = 0$ .

is certainly an  $a \in S_0$  such that this happens with positive measure, because by (Fin) and the previous paragraph a.e. orbit enters  $\bigcup_{a \in S_0} I_a$  infinitely often, and this union is finite. Now, the event we are describing is  $f_{\Gamma_0}$ -invariant, therefore by (Orb)  $\Gamma_0$ -invariant, whence (since  $\Gamma \subset \Gamma_0$ )  $\Gamma$ -invariant. Since  $m$  is ergodic, this event must have full measure.

Now fix some  $[\underline{a}], I, \tau_0, \varepsilon$  as in the statement. By the definition of  $\tau(\Gamma)$ , there is  $g \in \Gamma$  hyperbolic with attracting fixed point  $\xi^+$  and repelling fixed point  $\xi^-$  such that  $|g'(\xi^-)| = |g'(\xi^+)|^{-1} = e^{\tau_0}$ . We may assume w.l.o.g. that  $\xi^+ \in \text{int}(I_a)$ . Otherwise, choose some  $h \in \Gamma$  such that  $h(\xi^+) \in \text{int}(I_a)$  and work with  $h \circ g \circ h^{-1}$  (such  $h$  exists because  $\Gamma$  is of the first kind, and such groups act minimally on  $\partial\mathbb{D}$ ).

If the repelling fixed point of  $g$  also lies in  $\text{int}(I_a)$ , divide  $I_a$  into two intervals  $I_a^+, I_a^-$  such that  $\xi^\pm \in \text{int}(I_a^\pm)$ . Otherwise, set  $I_a^+ = I_a$ ,  $I_a^- = \emptyset$ . We can always make sure that the point  $p_a$  which separates  $I_a^+$  from  $I_a^-$  satisfies  $m(\{p_a\} \times \mathbb{R}) = 0$ , because there are at most countably many  $p_a$ 's for which this is false.

Observe that  $g^{\pm 1}(I_a^\pm) \subset I_a^\pm$  (any hyperbolic isometry contracts intervals which contain its attracting fixed point but not its repelling fixed point). Therefore, if

$$\gamma(\xi) := \begin{cases} g(\xi) & \xi \in I_a^+ \\ g^{-1}(\xi) & \xi \in I_a^-, \end{cases}$$

than  $\gamma(I_a) \subset I_a$  and  $|\gamma'(\xi^\pm)| = e^{-\tau_0}$ .

Fix  $\ell$  (to be determined later) and set  $[a_\pm^\ell] := g^{\pm\ell}(I_a^\pm)$ . We claim that almost every  $f_{\Gamma_0}$ -orbit enters  $[a_+^\ell] \cup [a_-^\ell] = \gamma^\ell(I_a)$  infinitely many times.

Assume by way of contradiction that this is not the case. In this case the function  $N(\xi) := 1_{[\underline{a}]}(\xi) \max\{n : f_{\Gamma_0}^n(\xi) \in \gamma^\ell(I_a)\} \cup \{0\}$  is finite for  $m$ -a.e.  $(\xi, s)$ .

By choice of  $a$ , the  $f_{\Gamma_0}$ -orbit of a.e.  $\xi$  enters  $I_a$  infinitely many times. Denote these times by  $n_1(\xi) < n_2(\xi) < \dots$ , and consider the maps  $\kappa_i$  defined as follows: For every  $\xi$ , let  $[\xi_0, \dots, \xi_{n_i(\xi)}]$  be the cylinder which contains  $\xi$ . Then

$$\kappa_i(\xi) := (f_{\Gamma_0}^{n_i(\xi)}|_{[\xi_0, \dots, \xi_{n_i(\xi)}]})^{-1} \circ \gamma^\ell \circ f_{\Gamma_0}^{n_i(\xi)}|_{[\xi_0, \dots, \xi_{n_i(\xi)}]}.$$

For every  $\xi$ ,  $\kappa_i(\xi) = g_\xi(\xi)$  or  $g_\xi^{-1}(\xi)$  for some  $g_\xi \in \Gamma$  (which depends on  $i$  but is constant on  $[\xi_0, \dots, \xi_{n_i(\xi)}]$ ), because of (Res) and the normality of  $\Gamma$  in  $\Gamma_0$ . Abusing notation, we define  $\kappa'_i(\xi)$  to be  $g'_\xi(\xi)$  or  $(g_\xi^{-1})'(\xi)$  (depending on whether  $\kappa_i(\xi) = g_\xi(\xi)$  or  $g_\xi^{-1}(\xi)$ ), and define for  $i$  larger than the length of  $[\underline{a}]$

$$\bar{\kappa}_i : (e^{i\theta}, s) \mapsto (\kappa_i(e^{i\theta}), s - \log|\kappa'_i(e^{i\theta})|).$$

- (i)  $\bar{\kappa}_i$  is injective, because  $\kappa_i$  is injective (it is piecewise injective and the images of the pieces are disjoint).
- (ii)  $\bar{\kappa}_i$  is measure preserving, because it is a holonomy of the orbit relation of the action of  $\Gamma$  on  $\partial\mathbb{D} \times \mathbb{R}$ .
- (iii)  $\exists M_0$  such that  $\kappa_i([\underline{a}] \times I) \subset [\underline{a}] \times N_{M_0}(I)$ , because the chain rule and (1) show that  $|\kappa'_i(\xi)| = B_0^\pm |\gamma'(\eta)|$  for some  $\eta \in I_a$ , and this is uniformly bounded away from zero and infinity.
- (iv) For a.e.  $(\xi, s) \in [\underline{a}] \times I$ ,  $\kappa_i(\xi, s) \in [N \geq i] \times N_{M_0}(I)$ , because by construction,  $N(\bar{\kappa}_i(\xi)) \geq n_i(\xi) \geq i$ .

Now,  $[N \geq i] \times N_{M_0}(I)$  is a decreasing sequence of sets whose intersection is negligible (because  $N < \infty$  a.e.). These are subsets of the finite measure set  $[\underline{a}] \times N_{M_0}(I)$ ,

so their measure must tend to zero. By (iv),  $(m \circ \bar{\kappa}_i)([\underline{a}] \times I) \xrightarrow[i \rightarrow \infty]{} 0$ . But this contradicts (ii).

Therefore, for any  $\ell$ , the orbit of a.e.  $\xi \in [\underline{a}]$  enters  $\gamma^\ell(I_a)$  infinitely often. It follows that  $[\underline{a}]$  is (up to measure zero) of the form

$$[\underline{a}] = \biguplus_{i=1}^{\infty} [\underline{p}_i] \cap f_{\Gamma_0}^{-\ell_i}(\gamma^\ell I_a)$$

where  $[\underline{p}_i]$  are cylinders of length  $\ell_i + 1$  and  $f_{\Gamma_0}^{\ell_i}[\underline{p}_i] = I_a$ . Define a map  $\kappa$  on  $[\underline{a}]$  by

$$\kappa|_{[\underline{p}_i] \cap f_{\Gamma_0}^{-\ell_i}(\gamma^\ell I_a)} = (f_{\Gamma_0}^{\ell_i}|_{[\underline{p}_i]})^{-1} \circ \gamma \circ f_{\Gamma_0}^{\ell_i}|_{[\underline{p}_i]}.$$

- (i)  $\kappa$  is injective and  $\kappa[\underline{a}] \subset [\underline{a}]$ : Indeed,  $\kappa$  maps  $[\underline{p}_i] \cap f_{\Gamma_0}^{-\ell_i}(\gamma^\ell I_a)$  bijectively onto  $[\underline{p}_i] \cap f_{\Gamma_0}^{-\ell_i}(\gamma^{\ell+1} I_a) \subset [\underline{p}_i] \cap f_{\Gamma_0}^{-\ell_i}(\gamma^\ell I_a)$ .
- (ii)  $\kappa$  is a holonomy of the  $\Gamma$  action on  $\partial\mathbb{D}$ : This is because of (Res) and the normality of  $\Gamma$  in  $\Gamma_0$ .
- (iii)  $\sup |\log |\kappa'| + \tau_0| \xrightarrow[\ell \rightarrow \infty]{} 0$  on  $[\underline{a}]$ . See below.

Before checking (iii), we explain how it can be used to complete the construction. Fix, using (iii),  $\ell$  large enough that  $|\log |\kappa'| + \tau_0| < \varepsilon$ . As before,

$$\bar{\kappa} : (e^{i\theta}, s) \mapsto (\kappa(e^{i\theta}), s - \log |\kappa'(e^{i\theta})|)$$

makes sense, is measure preserving, and maps  $[\underline{a}] \times I$  into  $[\underline{a}] \times N_\varepsilon(I + \tau_0)$ .

We check (iii). Observe first that each  $[\underline{p}_i]$  starts with  $a_0$  and recall that  $a_0 \in S_0$ . By the chain rule for every  $\xi \in [\underline{p}_i] \cap f_{\Gamma_0}^{-\ell_i}(\gamma^\ell I_a^\pm)$  there are  $\xi_1, \xi_2 \in [\underline{p}_i] \cap f_{\Gamma_0}^{-\ell_i}(\gamma^\ell I_a^\pm)$  (same sign for both) and  $\xi_3 \in \gamma^\ell I_a$  such that

$$|\kappa'| = \frac{|(f_{\Gamma_0}^{\ell_i})'(\xi_1)|}{|(f_{\Gamma_0}^{\ell_i})'(\xi_2)|} \frac{|\gamma'(\xi_3)|}{|\gamma'(\xi^\pm)|} e^{-\tau_0}.$$

Now  $|f_{\Gamma_0}^{\ell_i}(\xi_1) - f_{\Gamma_0}^{\ell_i}(\xi_2)| \leq |\gamma^\ell I_a^\pm|$ . Writing  $\omega_\pm(\delta)$  for the moduli of continuity of  $\log |\gamma'_\pm|$  and using (1), we see that

$$|\log |\kappa'(\xi)| + \tau_0| \leq \omega(|\gamma^\ell I_a^\pm|) + \omega_\pm(|\gamma^\ell I_a^\pm|)$$

(where the sign is decided according to the half of  $I_a$  which contains  $f_{\Gamma_0}^{\ell_i}(\xi)$ ). Since  $|\gamma^\ell(I_a^\pm)| \xrightarrow[\ell \rightarrow \infty]{} 0$ , the result follows.  $\square$

**Lemma 2.** *For every  $\xi \in \partial\mathbb{D}$ ,  $m(\{\xi\} \times \mathbb{R}) = 0$ .*

*Proof.* The non-triviality of  $m_0$  implies that  $m(\text{Par}(\Gamma_0) \times \mathbb{R}) = 0$ , because of the discussion at the beginning of the proof of Lemma 1. It is therefore enough to consider  $\xi \in \partial\mathbb{D} \setminus \text{Par}(\Gamma_0)$  and show  $m(\{\xi\} \times \mathbb{R}) = 0$ . Assume by way of contradiction that there is a  $\xi \in \partial\mathbb{D} \setminus \text{Par}(\Gamma_0)$  for which this is false.

Define  $\tau(\xi) := \{\pm\tau(g) : g \in \Gamma, g(\xi) = \xi\} = \{\log |g'(\xi)| : g \in \Gamma, g(\xi) = \xi\}$ . This is a proper definition because any  $g \in \Gamma$  which fixes  $\xi$  is hyperbolic, otherwise  $\xi \in \text{Par}(\Gamma_0)$ .

The set  $\tau(\xi)$  forms a subgroup of  $\mathbb{R}$ . This subgroup is closed, because  $\tau(\xi) \subseteq \tau(\Gamma)$ , and  $\tau(\Gamma)$  intersects any compact interval at most finitely many times (FI). As mentioned in §3.4,  $\tau(\Gamma)$  is not contained in a closed (proper) subgroup of  $\mathbb{R}$ . Therefore,  $\tau(\xi) \subsetneq \tau(\Gamma)$ .

Fix some  $\tau_0 \in \tau(\Gamma) \setminus \tau(\xi)$ , and let  $\varepsilon := \frac{1}{4}d(\tau_0, \tau(\xi))$ . Choose some compact interval  $I$  of length  $\varepsilon$  such that  $m(\{\xi\} \times I) \neq 0$ . Consider the sequence of cylinders  $[\xi_0, \dots, \xi_{n-1}]$  which contain  $\xi$ . By the holonomy lemma, there exist measure-preserving injections  $\bar{\kappa}_n$  defined on  $[\xi_0, \dots, \xi_n]$  such that

$$\bar{\kappa}_n([\xi_0, \dots, \xi_{n-1}] \times I) \subset [\xi_0, \dots, \xi_{n-1}] \times N_\varepsilon(I + \tau_0).$$

The proof of the holonomy lemma shows that we can choose  $\bar{\kappa}_n$  to be of the form  $(e^{i\theta}, s) \mapsto (\kappa_n(e^{i\theta}), s - \log |\kappa'_n(e^{i\theta})|)$  with  $\kappa_n$  piecewise hyperbolic Möbius transformation. As before,  $\kappa'_n$  can be defined unambiguously.

By construction,  $\log |\kappa'_n(\xi)|$  is  $2\varepsilon$ -close to  $(-\tau_0)$ , and therefore does not belong to  $\tau(\xi)$ . It follows that  $\kappa_n(\xi) \neq \xi$ . Since by construction  $\kappa_n(\xi) \rightarrow \xi$ , the set  $\{\kappa_n(\xi)\}_{n \geq 1}$  is infinite.

Hence, there are infinitely many pairwise disjoint sets in the list  $\{\bar{\kappa}_n(\{\xi\} \times I)\}_{n \geq 1}$ . These sets have measure  $m(\{\xi\} \times I) \neq 0$ , because  $\bar{\kappa}_n$  is measure preserving. But this is impossible, because they are all subsets of the set  $\partial\mathbb{D} \times N_\varepsilon(I + \tau_0)$ , and this set has finite measure because of the Radon property.  $\square$

**4.2. Proof of Theorem 1.** . Let  $m_0, m$  and  $H_m$  be as in the previous section.

*Step 1.* There exists a Borel measurable  $u : \partial\mathbb{D} \rightarrow \mathbb{R}$  such that  $m$  is carried by the set  $\{(e^{i\theta}, s) : s - u(e^{i\theta}) \in H_m\}$ .

*Proof.* Let  $\mathfrak{G}$  denote the orbit equivalence relation of the action of  $\Gamma$  on  $\partial\mathbb{D}$ :

$$\mathfrak{G} := \{(\xi, \eta) \in \partial\mathbb{D} \times \partial\mathbb{D} : \exists g \in \Gamma \text{ s.t. } \eta = g(\xi)\}.$$

Let  $\Lambda_0 \subset \partial\mathbb{D}$  be the collection of all points which are fixed by some  $id \neq g \in \Gamma$ . Define  $\Phi : \mathfrak{G} \rightarrow \mathbb{R}$  by

$$\Phi(e^{i\theta_1}, e^{i\theta_2}) := \begin{cases} b_{\theta_1}(g^{-1}o, o) & e^{i\theta_1} \notin \Lambda_0 \text{ and } e^{i\theta_2} = g(e^{i\theta_1}), g \in \Gamma \\ 0 & \text{otherwise.} \end{cases}$$

Using the various properties of the Busemann function, it is not difficult to see that this is a  $\mathfrak{G}$ -cocycle, i.e.

$$\Phi(x, y) + \Phi(y, z) = \Phi(x, z) \text{ for all } \mathfrak{G}\text{-equivalent } x, y, z \in \partial\mathbb{D}.$$

The set of fixed points  $\Lambda_0 \times \mathbb{R}$  is  $\Gamma$ -invariant. It is clear that the orbit equivalence relation of  $\Gamma$  on  $(\partial\mathbb{D} \times \mathbb{R}) \setminus (\Lambda_0 \times \mathbb{R})$  is the same as

$$\mathfrak{G}_\Phi := \{((x, s), (x', s')) : (x, x') \in \mathfrak{G} \text{ and } s' - s = \Phi(x, x')\}.$$

Since  $\Lambda_0$  is countable,  $m(\Lambda_0 \times \mathbb{R}) = 0$ . Therefore, since  $m$  is  $\Gamma$ -invariant and ergodic,  $m$  is  $\mathfrak{G}_\Phi$ -invariant and ergodic.

The cocycle reduction theorem of [Sg] constructs  $u : \partial\mathbb{D} \rightarrow \mathbb{R}$  Borel such that

$$\Phi(e^{i\theta_1}, e^{i\theta_2}) + u(e^{i\theta_1}) - u(e^{i\theta_2}) \in H_m \text{ } m\text{-a.e. in } \mathfrak{G}_\Phi.$$

This implies that  $F : \partial\mathbb{D} \times \mathbb{R} \rightarrow \mathbb{R}/H_m$ ,  $F(e^{i\theta}, s) := s - u(e^{i\theta}) + H_m$  is  $\mathfrak{G}_\Phi$ -invariant, and therefore (by ergodicity) constant almost everywhere. It now remains to modify  $u$  by a constant to ensure that  $F = H_m$  almost everywhere.

*Step 2.* The function  $u(e^{i\theta})$  of the previous step can be made essentially bounded.

*Proof.*  $H_m$  is a closed subgroup of  $\mathbb{R}$ , so it is either equal to  $\mathbb{R}$ ,  $c\mathbb{Z}$  or  $\{0\}$ . In the first case there is nothing to prove. In the second case, one can choose  $v = u \bmod c$ . It remains to treat the case  $H_m = \{0\}$ . In this case,  $m$  is supported on the graph

of  $u$ ,  $\{(e^{i\theta}, u(e^{i\theta})) : 0 \leq \theta < 2\pi\}$ . We claim that  $u$  is then automatically essentially bounded.

Assume by way of contradiction that  $\text{ess sup}|u| = \infty$ . In this case there are intervals  $I, J, \tau_0 \in \tau(\Gamma)$ , and  $\varepsilon > 0$  s.t.  $m(\partial\mathbb{D} \times I) \neq 0, m(\partial\mathbb{D} \times J) \neq 0, I \cap J = \emptyset$  and  $N_\varepsilon(I + \tau_0) \subset J$ <sup>8</sup>.

Define two measures on  $\partial\mathbb{D}$  by  $\mu_I(E) := m(E \times I)$ ,  $\mu_J(E) = m(E \times J)$ . These measures are mutually singular: Indeed,  $s = u(e^{i\theta})$   $m$ -a.e., so  $u(e^{i\theta}) \in I$   $\mu_I$ -a.e. and  $u(e^{i\theta}) \in J$   $\mu_J$ -a.e. Since  $I \cap J = \emptyset$ ,  $\mu_I \perp \mu_J$ .

Since  $\mu_I \perp \mu_J$ , there exists some cylinder  $[\underline{a}]$  such that  $\mu_I[\underline{a}] > 2\mu_J[\underline{a}]$ : Indeed, the collection of all Borel sets which satisfy the opposite inequality is a monotone class. If it contains all cylinders, then it must contain all Borel sets (because the cylinders generate the Borel sets). But this implies that  $\mu_I \leq 2\mu_J$  in contradiction to  $\mu_I \perp \mu_J$ .

By the definition of  $[\underline{a}]$ ,  $\mu_I$ , and  $\mu_J$ ,  $m([\underline{a}] \times I) > 2m([\underline{a}] \times J)$ . We now obtain a contradiction: Let  $\bar{\kappa}$  be a measure preserving injection  $\bar{\kappa} : [\underline{a}] \times I \hookrightarrow [\underline{a}] \times N_\varepsilon(I + \tau_0) \subseteq [\underline{a}] \times J$ . Then  $2m([\underline{a}] \times J) < m([\underline{a}] \times I) = (m \circ \bar{\kappa})([\underline{a}] \times I) \leq m([\underline{a}] \times J)$ , and  $2 < 1$  or  $0 < 0$ .

*Step 3.* After the change of coordinates  $\vartheta(e^{i\theta}, s) = (e^{i\theta}, s - u(e^{i\theta}))$ ,  $m$  takes the form  $dm \circ \vartheta^{-1} = e^{\lambda s} d\nu(e^{i\theta}) dm_{H_m}(s)$  where  $\lambda \in \mathbb{R}$ ,  $m_{H_m}$  is Haar's measure on  $H_m$ , and  $\nu$  is a finite measure on  $\partial\mathbb{D}$  which is equivalent to a  $\Gamma$ -ergodic  $\Gamma$ -conformal measure with parameter  $\lambda$ .

*Proof.* We have seen that  $m$  is supported on  $\{(e^{i\theta}, s) : s - u(e^{i\theta}) \in H_m\}$  with  $u(e^{i\theta})$  Borel. It follows that  $m \circ \vartheta^{-1}$  is carried by  $\partial\mathbb{D} \times H_m$ . If we choose an essentially bounded version of  $u$ , then  $m \circ \vartheta^{-1}$  is Radon.

Since  $m$  is ergodic and  $g^s$  commutes with the  $\Gamma$ -action,  $m \circ g^s$  is also  $\Gamma$ -ergodic and invariant. It is therefore either proportional to  $m$ , or singular w.r.t.  $m$ . It follows that  $\exists \lambda$  such that for all  $s \in H_m$ ,  $m \circ g^s = e^{\lambda s} m$ . Since  $\vartheta$  and  $g^s$  commute, we also have  $m \circ \vartheta^{-1} \circ g^s = e^{\lambda s} m \circ \vartheta^{-1}$  for all  $s \in H_m$ . Consequently,  $e^{-\lambda s} dm \circ \vartheta^{-1}$  is invariant w.r.t. translations in  $H_m$ . It is not difficult to deduce from this and the fact that  $e^{-\lambda s} m \circ \vartheta^{-1}$  is supported in  $\partial\mathbb{D} \times H_m$ , that  $e^{-\lambda s} dm \circ \vartheta^{-1} = \nu \times m_{H_m}$  with some measure  $\nu$  on  $\partial\mathbb{D}$ .

This measure must be finite, because  $m \circ \vartheta^{-1}$  is Radon. For every  $g \in \Gamma$ ,  $m$  is  $g$ -invariant, and therefore  $m \circ \vartheta^{-1}$  is  $\vartheta \circ g \circ \vartheta^{-1}$ -invariant. Comparing this with the formula,  $m \circ \vartheta^{-1} = e^{\lambda s} \nu \times m_{H_m}$  we see that

$$\frac{d\nu \circ g}{d\nu}(e^{i\theta}) = |g'|^\lambda \frac{e^{-\lambda u}}{e^{-\lambda u \circ g}}$$

Therefore  $e^{\lambda u} \nu$  is  $\Gamma$ -conformal with parameter  $\lambda$  (this is a finite measure because  $\text{ess sup}|u| < \infty$  and  $\nu(\partial\mathbb{D}) < \infty$ .)

*Step 4.*  $H_m = \mathbb{R}$ , which proves the theorem.

*Proof.* Assume by way of contradiction that  $H_m \neq \mathbb{R}$ . Since this is a closed subgroup of  $\mathbb{R}$ ,  $H_m = c\mathbb{Z}$  for some  $c$ .

<sup>8</sup>To see this pick some  $\tau \in \tau(\Gamma)$  and observe that the partition  $\partial\mathbb{D} \times \mathbb{R} = \bigsqcup_{k \in \mathbb{Z}} \partial\mathbb{D} \times [k\tau, k\tau + \tau)$  contains at least two non-adjacent 'tiles' which carry some measure (otherwise  $m$  is supported inside a bounded set, which is impossible because  $m$  is carried by the graph an unbounded function). If these intervals are  $[k_1\tau, k_2\tau + \tau)$  where  $k_1 < k_2$ , then take  $\varepsilon = \tau/2$ ,  $I = [k_1\tau, k_1\tau + \tau)$ ,  $J = N_\varepsilon([k_2\tau, k_2\tau + \tau))$  and  $\tau := (k_2 - k_1)\tau$  (this is the translation length of the  $(k_2 - k_1)$ -power of the  $\Gamma$ -isometry with translation length  $\tau$ ).

By the theorem of Guivarc'h, Raugi, and Dal'bo mentioned in §3.4,  $\tau(\Gamma)$  generates a dense subgroup of  $\mathbb{R}$ , and therefore there must be some  $\tau_0 \in \tau(\Gamma) \setminus c\mathbb{Z}$ . Set  $\varepsilon_0 := \frac{1}{2}d(\tau_0, c\mathbb{Z})$ , and fix some  $u_0$  such that  $A := [|u - u_0| < \frac{\varepsilon_0}{6}]$  has positive measure. We construct a Borel set  $A_0$  and  $\mathfrak{G}$ -holonomy  $\kappa$  such that  $A_0 \subseteq A$  and  $\nu(E) \neq 0$ , where

$$E := A_0 \cap \kappa^{-1}A_0 \cap [|\Phi(\xi, \kappa\xi) + u(\xi) - u(\kappa\xi) - \tau_0| < \varepsilon_0].$$

Sets of this form appear in the theory of essential values (see [Sch], [Kai1]).

Before constructing  $A_0$ , we show how to use its existence to derive the contradiction which proves the step. If  $\bar{\kappa}(e^{i\theta}, s) = (\kappa(e^{i\theta}), s + \Phi(x, \kappa x))$ , then

$$(\vartheta \circ \bar{\kappa} \circ \vartheta^{-1})(E \times \{0\}) \subseteq \partial\mathbb{D} \times N_{\varepsilon_0}(\tau_0) \subset (\partial\mathbb{D} \times H_m)^c.$$

Since  $\vartheta \circ \bar{\kappa} \circ \vartheta^{-1}$  preserves the measure  $m \circ \vartheta^{-1}$ ,

$$0 \neq \nu(E) = (m \circ \vartheta^{-1})(E \times \{0\}) \leq (m \circ \vartheta^{-1})[(\partial\mathbb{D} \times H_m)^c] = 0,$$

a contradiction.

*The construction of  $A_0$ :* Fix  $\varepsilon > 0$ , to be determined later. There exists a cylinder of positive measure  $[\underline{a}]$  such that  $\nu(A \cap [\underline{a}]) \geq (1 - \varepsilon)\nu[\underline{a}]$ : Indeed,  $\exists U \supseteq A$  open with  $\nu(A) \geq (1 - \varepsilon)\nu(U)$  (regularity of Borel measures). Now  $\nu$  has no atoms (because  $m(\{\xi\} \times \mathbb{R}) = 0$  for all  $\xi \in \partial\mathbb{D}$ ). Therefore, every open set is a countable disjoint union of cylinders up to a set of measure zero. One of these sets must satisfy the desired inequality.

By the choice of  $u_0$ ,  $m([\underline{a}] \times N_{\frac{\varepsilon_0}{6}}(u_0)) \neq 0$ . Construct a  $\mathfrak{G}_\Phi$ -holonomy  $\bar{\kappa}$  s.t.

$$\bar{\kappa}([\underline{a}] \times N_{\frac{\varepsilon_0}{6}}(u_0)) \subseteq [\underline{a}] \times N_{\frac{\varepsilon_0}{3}}(u_0 + \tau_0).$$

Any  $\mathfrak{G}_\Phi$ -holonomy is of the form  $(\xi, s) \mapsto (\kappa\xi, s + \Phi(\xi, \kappa\xi))$  where  $\kappa$  is a  $\mathfrak{G}$ -holonomy. We must have

$$\kappa[\underline{a}] \subseteq [\underline{a}] \text{ and } |\Phi(x, \kappa x) - \tau_0| < \frac{\varepsilon_0}{2}.$$

Set  $A_0 := [\underline{a}] \cap A$ . We claim that if  $\varepsilon$  is small enough, then  $\nu(A_0 \cap \kappa^{-1}A_0) \neq \emptyset$ . We begin with an estimate of the Radon–Nikodym derivative of  $\kappa$  on  $A_0$ . The  $\Gamma$ -invariance of  $m$  is equivalent to its  $\mathfrak{G}_\Phi$ -invariance, and this translates to the  $\mathfrak{G}_{\Phi_u}$ -invariance of  $m \circ \vartheta^{-1}$ , where  $\Phi_u(\xi_1, \xi_2) := \Phi(\xi_1, \xi_2) + u(\xi_1) - u(\xi_2)$ . Since  $m \circ \vartheta^{-1} = e^{\lambda s}\nu \times m_{H_m}$ , this forces  $\frac{d\nu \circ \kappa}{d\nu}(\xi) = e^{-\lambda\Phi_u(\xi, \kappa\xi)} = e^{\pm 2\|\lambda u\|_\infty} e^{-\lambda\Phi(\xi, \kappa\xi)}$ . Therefore, on  $A_0 \subset [\underline{a}]$

$$\frac{d\nu \circ \kappa}{d\nu} \geq e^{-2\|\lambda u\|_\infty - \frac{|\lambda|\varepsilon_0}{2} - |\lambda|\tau_0} =: \delta_0.$$

It follows that  $\nu(\kappa A_0) = \int_{A_0} \frac{d\nu \circ \kappa}{d\nu} d\nu \geq \delta_0 \nu(A_0) \geq \delta_0(1 - \varepsilon)\nu[\underline{a}]$ , since by construction  $\nu(A_0) \geq (1 - \varepsilon)\nu[\underline{a}]$ . It follows that

$$\nu(A_0) + \nu(\kappa A_0) \geq (1 - \varepsilon)(1 + \delta_0)\nu[\underline{a}] \xrightarrow{\varepsilon \rightarrow 0} (1 + \delta_0)\nu[\underline{a}],$$

so we can choose  $\varepsilon$  small enough so that the left hand side is strictly larger than  $\nu[\underline{a}]$ . But  $A_0, \kappa(A_0) \subseteq [\underline{a}]$ , so necessarily  $\nu(A_0 \cap \kappa(A_0)) \neq 0$ . Since  $\kappa$  is non-singular,  $\nu(A_0 \cap \kappa^{-1}(A_0)) = \nu \circ \kappa^{-1}(A_0 \cap \kappa(A_0)) \neq 0$ .

Finally, we observe that if  $\xi \in A_0 \cap \kappa^{-1}A_0$ , then  $\xi, \kappa(\xi) \in A = [|u - u_0| < \frac{\varepsilon_0}{6}]$  and so  $|\Phi(\xi, \kappa\xi) + u(\xi) - u(\kappa\xi) - \tau_0| \leq |\Phi(\xi, \kappa\xi) - \tau_0| + |u - u_0| + |u_0 - u \circ \kappa| < \varepsilon_0$ . It follows that  $\nu(E) \neq 0$ .  $\square$

## 5. PROOF OF THEOREMS 2 AND 3

5.1.  **$\lambda$ -Potential Theory.** It is known that  $\Delta_{\mathbb{D}} P(e^{i\theta}, z)^\alpha = \alpha(\alpha - 1)P(e^{i\theta}, z)^\alpha$  for all  $0 \leq \theta < 2\pi$ .<sup>9</sup> It turns out that if  $\alpha \geq 1/2$ , then this is a complete family of minimal eigenfunctions for the eigenvalue  $\alpha(\alpha - 1)$  ([Kar], [Su1]):

**Theorem 4** (Karpelevich). *Any positive eigenfunction  $F : \mathbb{D} \rightarrow \mathbb{R}$  of  $\Delta_{\mathbb{D}}$  has eigenvalue  $\lambda \geq -\frac{1}{4}$ , and admits a unique representation of the form*

$$F(z) = \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^\alpha d\nu(e^{i\theta})$$

where  $\nu$  is a finite measure on  $\partial\mathbb{D}$ ,  $\alpha(\alpha - 1) = \lambda$ , and  $\alpha \geq \frac{1}{2}$ . Any (positive) finite Borel measure on  $\partial\mathbb{D}$  arises this way.

The following lemma is from [Su1] (see also [Ba]):

**Lemma 3.** *Let  $\nu$  be a finite Borel measure on  $\partial\mathbb{D}$ , and set  $dm = e^{\alpha s} d\nu(e^{i\theta}) ds dt$ ,  $F(z) := \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^\alpha d\nu(e^{i\theta})$ . If  $g \in \text{M\"ob}(\mathbb{D})$  acts on  $T^1(\mathbb{D})$  by (2) and on  $\partial\mathbb{D}$  and  $\mathbb{D}$  in the standard way, then*

- (1)  $\frac{d\nu \circ g}{d\nu} = |g'|^\alpha \iff m \circ g = m$ ;
- (2)  $\frac{d\nu \circ g}{d\nu} = |g'|^\alpha \implies F \circ g = F$ , and if  $\alpha \geq \frac{1}{2}$  then this is an  $\iff$ .

*Proof.* By (3),  $\frac{dm \circ g}{dm} = e^{\alpha b_\theta(g^{-1} \circ g, 0)} \frac{d\nu \circ g}{d\nu} = |g'(e^{i\theta})|^{-\alpha} \frac{d\nu \circ g}{d\nu}$ . This proves part (1).

To prove part (2), we use the harmonic measures  $\lambda_z$  from §3.2. Writing for  $g \in \text{M\"ob}(\mathbb{D})$ ,  $P(e^{i\theta}, gz) d\lambda \equiv \lambda_{gz} = \lambda_z \circ g^{-1} \equiv P(g^{-1} e^{i\theta}, z) d\lambda \circ g^{-1}$ , we see that

$$|(g^{-1})'(e^{i\theta})| = \frac{d\lambda \circ g^{-1}}{d\lambda}(e^{i\theta}) = \frac{P(e^{i\theta}, gz)}{P(g^{-1} e^{i\theta}, z)} \text{ for all } g \in \text{M\"ob}(\mathbb{D}). \quad (5)$$

It follows that

$$\begin{aligned} F(gz) &= \int_{\partial\mathbb{D}} P(e^{i\theta}, gz)^\alpha d\nu(e^{i\theta}) = \int_{\partial\mathbb{D}} P(g^{-1} e^{i\theta}, z)^\alpha |(g^{-1})'|^\alpha d\nu(e^{i\theta}) \\ &= \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^\alpha |(g^{-1})' \circ g|^\alpha d\nu \circ g(e^{i\theta}) \\ &= \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^\alpha |g'|^{-\alpha} \frac{d\nu \circ g}{d\nu} d\nu(e^{i\theta}) \end{aligned}$$

Comparing this with  $F(z) = \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^\alpha d\nu(e^{i\theta})$  we see (by the uniqueness part of theorem 4) that when  $\alpha \geq \frac{1}{2}$ ,  $F \circ g = F$  iff  $\frac{d\nu \circ g}{d\nu} = |g'|^\alpha$ .  $\square$

## 5.2. PROOF OF THEOREM 2. We divide the proof into two parts:

*Part 1.* Any non-trivial  $h$ -e.i.r.m. lifts to a measure of the form  $e^{\alpha s} d\nu ds dt$ , where  $\nu$  is non-atomic,  $\Gamma$ -ergodic, and  $\Gamma$ -conformal with parameter  $\alpha$ .

*Proof.* Let  $m_0$  be a  $\Gamma$ -invariant measure on  $T^1(\mathbb{D})$  which descends to a non-trivial  $h$ -e.i.r.m. on  $T^1(\Gamma \backslash \mathbb{D})$ . By theorem 1,  $m_0$  is quasi-invariant under the geodesic flow. As explained in the introduction, this forces  $m_0$  to take the following form in the  $KAN$ -coordinates:  $dm_0(e^{i\theta}, s, t) = e^{\alpha s} d\nu(e^{i\theta}) ds dt$ .

<sup>9</sup> $f(z) = \text{Im}(z)^\alpha$  is a  $\alpha(\alpha - 1)$ -eigenfunction of  $\Delta_{\mathbb{H}} = y^2(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2})$ . Now  $\varphi(z) = i \frac{1+z}{1-z}$  maps  $\mathbb{D}$  isometrically onto  $\mathbb{H}$ , so  $f \circ \varphi$  is a  $\alpha(\alpha - 1)$ -eigenfunction of  $\Delta_{\mathbb{D}}$ . Calculating, we see that  $f \circ \varphi = \text{Re}[\frac{1+z}{1-z}]^\alpha = P(1, z)^\alpha$ , so  $P(e^{i\theta}, \cdot)^\alpha$  is a  $\alpha(\alpha - 1)$ -eigenfunction for  $\theta = 0$ . But for every  $\theta \in \mathbb{R}$   $\exists \varphi_\theta \in \text{M\"ob}(\mathbb{D})$  s.t.  $P(e^{i\theta}, \cdot) = P(1, \cdot) \circ \varphi_\theta$  so  $P(e^{i\theta}, \cdot)^\alpha$  is a  $\alpha(\alpha - 1)$ -eigenfunction for all  $\theta$ .

Since  $m_0$  is Radon,  $\nu$  is finite. Lemma 2 shows that  $\nu$  is non-atomic. Since  $m_0$  is  $\Gamma$ -invariant,  $\nu$  is  $\Gamma$ -conformal with parameter  $\alpha$  (lemma 3). Finally,  $\nu$  is ergodic under the action of  $\Gamma$  on  $\partial\mathbb{D}$ : Any  $F(e^{i\theta})$  is  $h$ -invariant on  $T^1(\mathbb{D})$  (it is independent of  $t$  in the  $KAN$ -coordinates). If it is  $\Gamma$ -invariant, then it descends to an  $h$ -invariant function on  $T^1(\Gamma\backslash\mathbb{D})$ , and therefore must be constant (ergodicity on  $T^1(\Gamma\backslash\mathbb{D})$ ).

*Part 2.* If  $\nu$  is non-atomic,  $\Gamma$ -ergodic, and  $\Gamma$ -conformal measure with parameter  $\alpha$  on  $\partial\mathbb{D}$ , then  $dm_0 := e^{\alpha s}d\nu dsdt$  descends to a non-trivial  $h$ -e.i.r.m. measure on  $T^1(\Gamma\backslash\mathbb{D})$ .

*Proof.* As before  $m_0$  is  $h$ -invariant and  $\Gamma$ -invariant, and therefore descends to an  $h$ -invariant Radon measure on  $T^1(\Gamma\backslash\mathbb{D})$ . This measure is non-trivial, otherwise  $\nu$  would have to be supported on  $\text{Par}(\Gamma)$  and would therefore have to be atomic. But  $h$ -ergodicity is not clear.

It is enough to show that  $d\mu := e^{\alpha s}d\nu ds$  is ergodic w.r.t. the action (4) of  $\Gamma$  on  $\partial\mathbb{D} \times \mathbb{R}$ . Indeed, any  $h$ -invariant function on  $T^1(\mathbb{D})$  is of the form  $F(e^{i\theta}, s)$ , and this descends to a function on the surface iff  $F$  is invariant under the action (4).

Observe that  $\mu$  is  $\Gamma$ -invariant. Let  $d\mu = \int_Y \mu_y d\pi(y)$  be the ergodic decomposition of  $\mu$  w.r.t. the  $\Gamma$ -action. For a.e.  $y$ ,  $\mu_y$  is a  $\Gamma$ -invariant Radon measure on  $\partial\mathbb{D} \times \mathbb{R}$ . Consequently,  $m_y = \mu_y \times dt$  is a  $\Gamma$ -invariant Radon measure on  $(\partial\mathbb{D} \times \mathbb{R}) \times \mathbb{R} \simeq T^1(\mathbb{D})$ , and therefore descends to an  $h$ -invariant measure on  $T^1(\Gamma\backslash\mathbb{D})$ . This measure is  $h$ -ergodic, because of the ergodicity of  $\mu_y$ .

It is also non-trivial for a.e.  $y$ . Otherwise, there would be a positive measure set of  $y$ 's for which  $m_y(\text{Par}(\Gamma_0) \times \mathbb{R} \times \mathbb{R}) \neq 0$ . This can only happen if  $m_0(\text{Par}(\Gamma_0) \times \mathbb{R} \times \mathbb{R}) \neq 0$ , in which case  $\nu[\text{Par}(\Gamma_0)] \neq 0$ . But this is impossible, because  $\text{Par}(\Gamma_0)$  is countable, and  $\nu$  is non-atomic.

We may now appeal to part (1) and see that  $m_y = e^{\alpha_y s}d\nu_y dsdt$ , where  $\nu_y$  is a  $\Gamma$ -ergodic and  $\Gamma$ -conformal measure of parameter  $\alpha_y$ . It follows that  $\mu_y = e^{\alpha_y s}d\nu_y ds$ . The identity

$$\mu = e^{-\alpha s_0} \mu \circ g^{s_0} = \int_Y e^{(\alpha_y - \alpha)s_0} \mu_y d\pi(y)$$

in the limit  $s_0 \rightarrow \pm\infty$  shows that  $\alpha_y = \alpha$  for  $\pi$ -a.e.  $y \in Y$ . Consequently, almost all the  $\nu_y$ 's are  $\Gamma$ -conformal with parameter  $\alpha$ . But  $\nu = \int_Y \nu_y d\pi(y)$  and  $\nu$  was assumed to be ergodic, so almost all the  $\nu_y$  must be equal (uniqueness of the ergodic decomposition [Sch]). It follows that almost all the  $\mu_y$  are equal, and this can only happen if  $\mu$  itself is ergodic.

By the discussion at the beginning of the proof, this implies that  $m_0$  descends to an  $h$ -ergodic measure.  $\square$

**5.3. Proof of Theorem 3.** Now that theorems 1 and 2 are proved, we can simply follow that argument of [Ba], making the suitable adjustments from the nilpotent case discussed there to the general case.

We start with some general comments on non-trivial normal subgroups  $\Gamma$  of lattices  $\Gamma_0$  in  $\text{M\"ob}(\mathbb{D})$ . Any  $\Gamma$ -conformal measure has parameter larger than or equal to  $\delta(\Gamma)$ , the critical exponent of the Poincar\'e series of  $\Gamma$  (Sullivan [Su1], theorem 2.19). If  $\{\text{id}\} \neq \Gamma \triangleleft \Gamma_0$ , then  $\delta(\Gamma) \geq \frac{1}{2}\delta(\Gamma_0)$  (Roblin [Rob], theorem 2.2.1). The critical exponent of a lattice is equal to one ([Su1], theorem 2.17). Therefore: *any  $\Gamma$ -conformal measure has parameter  $\geq \frac{1}{2}$* .

Theorem 2 says that every non-trivial  $h$ -e.i.r.m. is of the form  $e^{\alpha s} d\nu(e^{i\theta}) ds dt$  with  $\nu$  non-atomic  $\Gamma$ -conformal and ergodic with parameter  $\alpha$ .  $F_m(z)$  defined by  $(*)$  is a well-defined  $\alpha(\alpha-1)$ -eigenfunction of  $\Delta_{\mathbb{D}}$  (theorem 4). By lemma 3 part (2), it is  $\Gamma$ -invariant, and therefore descends to an  $\alpha(\alpha-1)$  eigenfunction on  $M = \Gamma \backslash \mathbb{D}$ .

We claim that this eigenfunction (which we also denote by  $F_m$ ) is minimal. Suppose  $F_m$  dominates another positive  $\alpha(\alpha-1)$ -eigenfunction  $F$ . Then  $F_m$  is the average of the two positive eigenfunctions  $F_m \pm F$ . If  $\nu_{\pm}$  are the  $\Gamma$ -conformal finite measures on  $\partial\mathbb{D}$  which represent these functions as in theorem 4, then  $\frac{1}{2}(\nu_+ + \nu_-)$  is another representation of  $F_m$ . But the representing measure of  $F_m$  is unique (because  $\alpha \geq \frac{1}{2}$ ), so  $\nu = \frac{1}{2}(\nu_+ + \nu_-)$ . The  $\Gamma$ -ergodicity of  $\nu$  forces  $\nu_{\pm}$  to be proportional, so  $\exists c > 0$  s.t.  $F = cF_m$ , proving the minimality of  $F_m$ .

This shows that  $(*)$  is a well-defined map from the collection of  $h$ -e.i.r.m. into the collection of minimal eigenfunctions of  $\Delta_M$ . This map is an injection because of theorem 4 and the inequality  $\alpha \geq \frac{1}{2}$ .

To see that it is a surjection, start with a minimal non-trivial eigenfunction of eigenvalue  $\lambda$ , and let  $F$  be its lift to an eigenfunction on  $\mathbb{D}$ . Write  $F(z) = \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^\alpha d\nu(e^{i\theta})$  where  $\lambda = \alpha(\alpha-1)$ ,  $\alpha \geq \frac{1}{2}$ , and  $\nu$  is some finite measure on  $\partial\mathbb{D}$ . By lemma 3 part (2),  $\nu$  is  $\Gamma$ -conformal with parameter  $\alpha$ . Now  $\nu$  must be  $\Gamma$ -ergodic, otherwise  $F$  is not minimal. It follows from theorem 2 part (1) that  $dm = e^{\alpha s} d\nu ds dt$  is a non-trivial  $h$ -e.i.r.m. such that  $F_m = F$ .

We have established the bijection  $(*)$  proclaimed in Theorem 3. Property (1) in the statement of this theorem can be checked by direct computation. Property (2) is proved by realizing the deck transformations as elements of  $\Gamma_0$  (every coset of  $\Gamma$  corresponds to one deck transformation), and proceeding as in Lemma 3.  $\square$

## 6. APPENDIX: PROOF OF SOME AUXILIARY RESULTS

**6.1. Classification of positive eigenfunctions for surfaces of finite area.** Let  $\Gamma$  be a lattice in  $\text{M\"ob}(\mathbb{D})$ , and set  $M := \Gamma \backslash \mathbb{D}$ . We know from §5.3 that the positive eigenfunctions of  $\Delta$  on  $\Gamma \backslash \mathbb{D}$  have eigenvalue  $\alpha(\alpha-1)$  with  $\alpha \geq \delta(\Gamma)$ , where  $\delta(\Gamma)$  is the critical exponent of  $\Gamma$ . The critical exponent of a lattice is equal to one; therefore all the relevant eigenvalues are non-negative.

*Step 1.* Every positive eigenfunction with eigenvalue zero is constant.

*Proof.* Let  $F(z)$  be a positive function such that  $\Delta_M F = 0$ . Fix some  $p \in M$ , and denote by  $B_t$  the Brownian motion on  $M$  started at  $p$ . It is a standard fact that  $F(B_t)$  is a martingale. Consequently,  $F(B_t)$  converges almost surely. On the other hand, it is known that the Brownian motion on a surface of finite area is recurrent [Su3]; therefore if  $F(z)$  must be constant.

*Step 2.* The number of minimal positive eigenfunctions with a fixed positive eigenvalue is equal to the number of the cusps. These eigenfunctions are trivial.

*Proof.* Denote the cusps of  $M$  by  $C_1, \dots, C_N$ . Fix  $\lambda > 0$ . We construct for every  $i$  a trivial  $\lambda$ -eigenfunction  $E_i$  which tends to infinity at  $C_i$  and to zero at  $C_j$  ( $j \neq i$ ) (compare with the spectral Eisenstein series on the modular surface [Sk]).

Working in the upper half plane, we assume without loss of generality that  $C_i$  is at infinity (otherwise pass to a conjugate of  $\Gamma$ ). Let  $\Gamma_i \subset \Gamma$  be the stabilizer of  $\infty$ . This is an infinite cyclic group of the form  $\Gamma_i := \{z \mapsto z + kb : k \in \mathbb{Z}\}$ , with  $b$  real. Let  $s > 1$  be the solution larger than one of  $s(s-1) = \lambda$ . Noting that  $\Gamma_i$  preserves

the imaginary part, we define  $\text{Im}[\Gamma_i \gamma \cdot z] := \text{Im}[\gamma(z)]$ , and set

$$E_i(z) := \sum_{\Gamma_i \gamma \in \Gamma_i \setminus \Gamma} [\text{Im}(\Gamma_i \gamma \cdot z)]^s.$$

The series converges absolutely (see §1.4 in [Sk]), and:

- (1)  $E_i$  is a  $\Gamma$ -invariant positive  $\lambda$ -eigenfunction, because of  $\Gamma$ -equivariance and  $\Delta_{\mathbb{H}}(\text{Im}z)^s = [y^2(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2})]y^s = \lambda(\text{Im}z)^s$ .
- (2)  $E_i$  is trivial and minimal. The map  $\varphi(z) = i\frac{1+z}{1-z}$  maps  $\mathbb{D}$  onto  $\mathbb{H}$ ,  $\varphi(1) = \infty$ , and  $\text{Im}[\varphi(z)] = P(1, z)$ . By (5), if  $\Gamma^{\mathbb{D}} := \varphi^{-1}\Gamma\varphi$  and  $\Gamma_i^{\mathbb{D}} := \varphi^{-1}\Gamma_i\varphi$ , then  $E_i \circ \varphi = \sum_{\Gamma_i^{\mathbb{D}} g \in \Gamma_i^{\mathbb{D}} \setminus \Gamma^{\mathbb{D}}} |g'(1)|^s P(g(1), z)^s = \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^s d\nu(e^{i\theta})$ , where  $\nu$  is supported on  $\Gamma^{\mathbb{D}}1$ . Since 1 corresponds to a cusp,  $E_i$  is trivial. Since  $\nu$  is  $\Gamma^{\mathbb{D}}$ -ergodic,  $E_i$  is minimal [Ba].
- (3)  $E_i(z)$  converges to infinity as  $z \rightarrow C_i$  and to zero as  $z \rightarrow C_j$  for  $j \neq i$ . See Corollary 3.5 in [Iw].
- (4) For every  $\Gamma$ -invariant positive  $\lambda$ -eigenfunction  $F$ ,  $F(z) = O(E_i(z))$ , as  $z \rightarrow C_i$ . Karpelevich's Theorem and  $P(e^{i\theta}, z) \leq P(1, |z|) = \text{Im } \varphi(|z|)$  give  $(F \circ \varphi)(z) \leq F(\varphi(0))[\text{Im } \varphi(|z|)]^s$  ( $z \in \mathbb{D}$ ). Setting  $w = \varphi(z)$ ,  $F_0 := F(\varphi(0))$ , we see that  $F(w) \leq F_0[\text{Im } \varphi(|\varphi^{-1}(w)|)]^s$  ( $w \in \mathbb{H}$ ). Noting that  $w \in i\mathbb{R}^+ \Rightarrow \varphi^{-1}(w)$  is positive and real, we see that

$$F(w) \leq F_0[\text{Im } w]^s \leq F_0 \cdot E_i(w) \text{ for all } w \in i\mathbb{R}^+.$$

Now, any  $\{\Gamma z_n\}_{n \geq 1}$  which tends to  $C_i$  is within hyperbolic distance  $o(1)$  from some  $\{\Gamma w_n\}_{n \geq 1}$  with  $w_n$  imaginary. By Harnack's inequality,  $F(\Gamma z_n) \sim F(\Gamma w_n) \leq F_0 E_i(\Gamma w_n) \sim F_0 E_i(\Gamma z_n)$ .

We now show that any positive  $\lambda$ -eigenfunction  $F$  is a convex combination of  $E_1, \dots, E_N$ . Assume first that  $F$  tends to infinity at each of the cusps.

Every cusp  $C_i$  is encircled by a one parameter family of closed horocycles. Parametrize these horocycle by  $H_i(r)$  in such a way that  $H_i(r)$  converge to  $C_i$  as  $r \rightarrow \infty$  (in the coordinate system of the first paragraph,  $H_i(r) = \{\Gamma z : z = x + ir, x \in \mathbb{R}\}$ ). Let  $\Omega_r$  be the the domain obtained from  $M$  by cutting the cusps away at  $H_i(r)$ ,  $i = 1, \dots, N$ .

The hyperbolic length of  $H_i(r)$  tends to zero as  $r$  tends to infinity. By Harnack's inequality, there exists  $\varepsilon(r) \xrightarrow[r \rightarrow \infty]{} 0$  such that for every positive  $\lambda$ -eigenfunction  $h$   $h(z) = e^{\pm \varepsilon(r)} h(w)$  for all  $z, w \in H_i(r)$ . In particular,  $\exists F_j(r), E_{ij}(r)$  such that

$$F = e^{\pm \varepsilon(r)} F_j(r), E_i = e^{\pm \varepsilon(r)} E_{ij}(r) \text{ on } H_j(r).$$

Define  $\alpha_i(r) := \frac{F_i(r)}{E_{ii}(r)}$  and  $\delta(r) := \max\{\frac{E_{ij}(r)}{F_i(r)} : j \neq i\}$ . As  $r \rightarrow \infty$ ,  $\alpha_i(r) = O(1)$  because  $F = O(E_i)$ , and  $\delta(r) = o(1)$ , because  $F \rightarrow \infty$  and  $E_j \rightarrow 0$  at  $C_j$ . Thus,

$$\sum_{j=1}^N \alpha_j(r) E_{ji}(r) = \left[ 1 + \sum_{j \neq i} \alpha_j(r) \frac{E_{ji}(r)}{F_i(r)} \right] F_i(r) = [1 + O(\delta(r))]^{\pm 1} F_i(r).$$

It follows that  $F(z) = [1 + o(1)]^{\pm 1} \sum_{j=1}^N \alpha_j(r) E_j(z)$  on  $\partial\Omega_r$  uniformly in  $z$ .

This implies that  $F(z) = [1 + o(1)]^{\pm 1} \sum_{j=1}^N \alpha_j(r) E_j(z)$  on  $\overline{\Omega}_r$  uniformly in  $z$ , because of the following general fact:

$$\left. \begin{array}{l} f_1, f_2 \text{ are positive on } \Gamma \setminus \mathbb{H} \\ \Delta_{\mathbb{H}} f_1 = \lambda f_1, \Delta_{\mathbb{H}} f_2 = \lambda f_2 \text{ on } \Gamma \setminus \mathbb{H} \\ f_1 \leq f_2 \text{ on } \partial \Omega_r \end{array} \right\} \Rightarrow f_1 \leq f_2 \text{ on } \overline{\Omega}_r. \quad (6)$$

The proof of (6): Karpelevich's Theorem implies that  $f_1, f_2$  are  $C^2(\overline{\Omega}_r)$ . Therefore  $u := f_1 - f_2$  attains its maximum on  $\overline{\Omega}_r$  at some point  $z_0$ . We claim that  $u(z_0) \leq 0$  (proving that  $f_1 \leq f_2$  on  $\Omega_r$ ). Otherwise,  $u(z_0) > 0$  and  $z_0$  must be in the interior of  $\Omega_r$ . In the upper half plane model, this implies that  $0 < \lambda u(z_0) = \text{Im}(z_0)^2 [u_{xx}(z_0) + u_{yy}(z_0)]$  and so at least one of  $u_{xx}, u_{yy}$  is positive at  $z_0$ . But this is impossible, because  $z_0$  is a point of local maximum.

Since  $\alpha_i(r)$  are positive and uniformly bounded, there exists  $r_n \rightarrow \infty$  such that  $\alpha_i(r_n)$  converges as  $n \rightarrow \infty$ , say to  $\alpha_i$ . Passing to this limit, we see that

$$F(z) = \sum_{i=1}^N \alpha_i E_i(z) \text{ on } \bigcup_{n=1}^{\infty} \Omega_{r_n} = M.$$

This proves that any positive eigenfunction which explodes at the cusps is a linear combination with non-negative coefficients of  $E_1, \dots, E_N$ .

For a general positive  $\lambda$ -eigenfunction  $F$ , we argue as follows: The function  $F_0 := F + \sum_{i=1}^N E_i$  explodes at the cusps, and is therefore a linear combination of the  $E_i$ 's. We use this fact to write  $F(z) = \sum_{i=1}^N (\alpha_i - 1) E_i(z)$  for some  $\alpha_i$ . But  $\alpha_i - 1 \geq 0$  are all positive, because if  $\alpha_i - 1$  were negative, then the limit of the right hand side as  $z \rightarrow C_i$  would have been  $-\infty$ , whereas the left hand side is positive.

This proves that the cone of positive  $\lambda$ -eigenfunctions is spanned by  $E_1, \dots, E_N$ . It follows that there are exactly  $N$  minimal positive  $\lambda$ -eigenfunctions, and that these functions are trivial.  $\square$

**6.2. Classification of positive eigenfunctions for cocompact nilpotent periodic surfaces [LP].** Let  $\Gamma_0$  be a torsion free uniform lattice in  $\text{M\"ob}(\mathbb{D})$  and  $\Gamma \triangleleft \Gamma_0$  a non-trivial subgroup such that  $G := \Gamma_0/\Gamma$  is nilpotent. We let  $G$  act on  $M := \Gamma \setminus \mathbb{D}$  by identifying  $G$  with the symmetry group of  $M$ .

We show that the set of minimal positive eigenfunctions of  $\Delta_M$  is equal to  $\{cF_{\varphi} : c > 0, \varphi : G \rightarrow \mathbb{R} \text{ is a homomorphism}\}$ , with  $F_{\varphi} \circ D = e^{\varphi(D)} F_{\varphi}$  for all  $D \in G$ . This is a particular case of the much more general theory developed in [LP]. The following proof (a combination of ideas from [Mrg], [CG] and [LS]) is included for completeness.

*Step 1.* The following holds for all minimal positive eigenfunctions  $h$  of the laplacian of  $M$ :  $h \circ D \propto h$  for all  $D \in Z(G)$ , and  $h \circ D = h$  for all  $D \in Z(G) \cap [G, G]$ .

*Proof.* If  $D \in Z(G)$  and  $d_M$  denotes hyperbolic distance (on  $M$ ), then  $D$  moves points on  $M$  a bounded distance: Choose  $K_0 \subset M$  compact s.t.  $M = \bigcup_{D \in G} D(K_0)$ . Every  $z \in M$  can be written as  $z = D_0(z_0)$  for some  $z_0 \in K_0$ , and so

$$\begin{aligned} d_M(z, Dz) &= d_M(D_0 z_0, D D_0 z_0) = d_M(D_0 z_0, D_0 D z_0) = \\ &= d_M(z_0, D z_0) \leq \max\{d_M(w, Dw) : w \in K_0\}, \end{aligned}$$

giving a uniform bound  $R_0$  on  $d_M(z, Dz)$ . Let  $K$  be the closed hyperbolic disc centered at  $0 \in \mathbb{D}$  with hyperbolic radius  $R_0$ . If  $h$  is a positive eigenfunction of  $\Delta_{\mathbb{D}}$ ,

so is  $h \circ \gamma$  for any hyperbolic isometry  $\gamma$ . Choosing an isometry which moves  $z$  to the origin, we see that

$$\frac{h(Dz)}{h(z)} = \frac{(h \circ \gamma^{-1})(\gamma Dz)}{(h \circ \gamma^{-1})(\gamma z)} \leq \sup \left\{ \frac{(h \circ \gamma^{-1})(z_1)}{(h \circ \gamma^{-1})(z_2)} : z_1, z_2 \in K, \gamma \in \text{M\"ob}(\mathbb{D}) \right\}.$$

This supremum is finite by Harnack's inequality. It follows that for every  $D \in Z(G)$ ,  $h \circ D$  is bounded from above by a multiple of  $h$ . By minimality,  $h \circ D$  must be proportional to  $h$  for every  $D \in Z(G)$ .

Let  $c : Z(G) \rightarrow \mathbb{R}$  be the proportionality constant. We show that  $c = 1$  on  $Z(G) \cap [G, G]$ , by extending  $c$  to a homomorphism  $\lambda : G \rightarrow \mathbb{R}_+$ . It will then follow that  $c|_{Z(G) \cap [G, G]} = \lambda|_{Z(G) \cap [G, G]} = 1$ , because any homomorphism into an abelian group vanishes on the commutator subgroup.

Following Lyons & Sullivan [LS], fix a right invariant mean  $M$  on the space of bounded functions on  $G$  (an countable amenable group), fix  $z_0 \in M$ , and set

$$\log \lambda(D) := M \left[ \log \frac{(h \circ \gamma)(Dz_0)}{(h \circ \gamma)(z_0)} \right].$$

This well defined, because  $\gamma \mapsto \log \frac{(h \circ \gamma)(Dz_0)}{(h \circ \gamma)(z_0)}$  is bounded, by Harnack's inequality. It is a homomorphism, because

$$\begin{aligned} \log \lambda(D_1 D_2) &= M \left[ \log \frac{(h \circ \gamma)(D_1 D_2 z_0)}{(h \circ \gamma)(D_1 z_0)} + \log \frac{(h \circ \gamma)(D_1 z_0)}{(h \circ \gamma)(z_0)} \right] \\ &= \log \lambda(D_1) + M \left[ \log \frac{(h \circ \gamma \circ D_1)(D_2 z_0)}{(h \circ \gamma \circ D_1)(z_0)} \right] \\ &= \log \lambda(D_1) + M \left[ \log \frac{(h \circ \gamma)(D_2 z_0)}{(h \circ \gamma)(z_0)} \right] \quad (\text{right invariance}) \\ &= \log \lambda(D_1) + \log \lambda(D_2) = \log[\lambda(D_1)\lambda(D_2)]. \end{aligned}$$

It extends  $c$  because for every  $D \in Z(G)$ ,

$$\begin{aligned} \log \lambda(D) &= M \left[ \log \frac{(h \circ \gamma)(Dz_0)}{(h \circ \gamma)(z_0)} \right] = \\ &= M \left[ \log \frac{(h \circ D)(\gamma z_0)}{h(\gamma z_0)} \right] = M \left[ \log \frac{c(D)h(\gamma z_0)}{h(\gamma z_0)} \right] = \log c(D). \end{aligned}$$

*Step 2.* Suppose  $G$  is nilpotent. Every positive minimal eigenfunction  $h$  of  $\Delta_M$  satisfies  $h \circ D = e^{\varphi(D)}h$  ( $D \in G$ ) for some homomorphism  $\varphi : G \rightarrow \mathbb{R}$ .

*Proof.* Since  $G$  is nilpotent, the sequence  $G^{(0)} := G, G^{(1)} := [G, G^{(0)}], G^{(2)} := [G, G^{(1)}], \dots$  terminates at  $\{id\}$  after a finite number of steps. Let  $k$  be the length of the sequence, i.e.,  $G^{(k-1)} \neq \{id\}$ ,  $G^{(k)} = \{id\}$ . We argue by induction on  $k$ .

If  $k = 1$ , then  $[G, G] = \{id\}$  and  $G$  is abelian. In this case  $G = Z(G)$  and the result follows from step 1.

Next assume that  $k > 1$  and that the statement holds for  $k - 1$ . Using the invariance properties of the hyperbolic Laplacian it is easy to check that

$$Stab(M) := \{D \in G : h \circ D = h \text{ for all minimal positive eigenfunctions } h\}$$

is a normal subgroup of  $G$ . The surface  $\widetilde{M} := M/Stab(M)$  is again a cocompact periodic surface with symmetry group  $G/Stab(M)$ .

We claim that  $G/Stab(M)$  is nilpotent of length  $k-1$ . Observe that  $G^{(k-1)} \subset Z(G)$  ( $[G, G^{(k-1)}]$  is trivial) so  $G^{(k-1)} \subseteq Z(G) \cap [G, G] \subset Stab(M)$  (Step 1). Thus:

$$[G/Stab(M)]^{(k-1)} = G^{(k-1)}/Stab(M) \subseteq Z(G) \cap [G, G]/Stab(M) = \text{trivial},$$

proving that  $G/Stab(M)$  is nilpotent of length  $\leq k-1$ .

Now pick an arbitrary minimal positive eigenfunction  $h$  on  $M$ . This function is stabilized by  $Stab(M)$ , and therefore projects down to a minimal positive eigenfunction  $\tilde{h} : \widetilde{M} \rightarrow \mathbb{R}$ . The induction hypothesis implies that  $\tilde{h} \circ D \propto \tilde{h}$  for all  $\tilde{D} \in G/Stab(M)$ . It follows that  $h \circ D \propto h$  for all  $D \in G$ . The proportionality constant depends multiplicatively on  $D$  and is therefore of the form  $\exp \varphi(D)$  where  $\varphi : G \rightarrow \mathbb{R}$  is a homomorphism.

*Step 3.* For every homomorphism  $\varphi : G \rightarrow \mathbb{R}$  there exists a positive eigenfunction  $F$  such that  $F \circ D = e^{\varphi(D)}F$  ( $D \in G$ ), and this function is unique up to a constant. This function is also minimal.

*Proof.* Fix a homomorphism  $\varphi : G \rightarrow \mathbb{R}$ . The existence and uniqueness of  $F$  is equivalent to the existence and uniqueness of a number  $\alpha \geq 1$  and a probability measure  $\nu$  on  $\partial\mathbb{D}$  such that

$$\frac{d\nu \circ g}{d\nu} = e^{\varphi(\Gamma g)}|g'|^\alpha \text{ for all } g \in \Gamma_0. \quad (7)$$

Indeed, (7) implies via (5) that  $F(z) = \int_{\partial\mathbb{D}} P(e^{i\theta}, z)^\alpha d\nu(e^{i\theta})$  is  $\Gamma$ -invariant and  $F \circ D = e^{\varphi(D)}F$  for all  $D \in \Gamma_0/\Gamma$ . In the other direction, any positive eigenfunction  $F$  is represented by a  $\Gamma$ -conformal measure  $\nu$  on  $\partial\mathbb{D}$  with parameter  $\alpha$ . This parameter is at least the critical exponent of  $\Gamma$  (Sullivan [Su1]), and for normal subgroups of torsion free lattices with amenable quotients this critical exponent is equal to one (Roblin [Rob]). Since  $\alpha \geq 1$ , the representing measure of  $F$  is unique (theorem 3). It then follows as the proof of lemma 3 that  $F \circ D = e^{\varphi(D)}F$  ( $D \in \Gamma_0/\Gamma$ ) implies (7).

Consider the Bowen-Series map  $f_{\Gamma_0} : \partial\mathbb{D} \rightarrow \partial\mathbb{D}$  associated to the action of  $\Gamma_0$  on  $\partial\mathbb{D}$  (see §3.1). Recall that  $f_{\Gamma_0}$  has a finite Markov partition into intervals  $\{I_a\}_{a \in S}$  such that  $f_{\Gamma_0}|_{I_a} = g_a$  where  $g_a \in \Gamma_0$ . Define  $f'_{\Gamma_0}$  to be  $g'_a$  on  $I_a$ , and set

$$\phi_\alpha(e^{i\theta}) := \alpha \log |f'_{\Gamma_0}(e^{i\theta})| + \varphi(\Gamma f_{\Gamma_0}(e^{i\theta})).$$

It is standard to check, using property (Orb) of  $f_{\Gamma_0}$ , that (7) is equivalent to

$$\frac{d\nu \circ f_{\Gamma_0}}{d\nu} = e^{\phi_\alpha}.$$

The function  $\phi_\alpha$  is Hölder continuous on partition elements. The theory of such equations is well-understood (see e.g. [Bo]): There exists a unique  $\alpha$  for which such a solution exists, and this solution is unique.<sup>10</sup>

Next, we show that the function  $F$  we obtained is minimal. Write  $F = \int_Y F_y d\pi(y)$  where  $F_y$  are positive and minimal eigenfunctions (the ‘barycentric representation’).

<sup>10</sup>Ruelle’s Perron-Frobenius theorem provides a unique  $\nu$  such that  $\frac{d\nu \circ f_{\Gamma_0}}{d\nu}$  is proportional to  $\exp \phi_\alpha$ . The proportionality constant is  $\exp P_{top}(-\phi_\alpha)$ , where  $P_{top}(-\phi_\alpha)$  is the topological pressure of  $\phi_\alpha$ . It is a standard fact that  $P_{top}(\phi_\alpha)$  is convex, whence continuous, in  $\alpha$  and that  $P_{top}(\phi_\alpha) \xrightarrow[\alpha \rightarrow \pm\infty]{} \mp\infty$ . Consequently, there exists a unique  $\alpha$  for which the proportionality constant is equal to one.

Let  $\varphi_y$  be the homomorphisms associated to  $F_y$  (Step 2). We have

$$F = e^{-n\varphi(D^n)} F \circ D^n = \int_Y e^{n[\varphi_y(D) - \varphi(D)]} F_y d\pi(y).$$

Passing to the limit  $n \rightarrow \pm\infty$  we see that  $\pi$  is supported on the components  $F_y$  for which  $\varphi_y(D) = \varphi(D)$ . Since  $G$  is countable,  $\pi$  is supported on the set of components for which  $\varphi_y = \varphi$ . But we just proved that all these eigenfunctions are proportional to  $F$ . It follows that  $F$  is minimal. This finishes the proof of Step 3.

Steps 2 and 3 establish the classification of positive minimal eigenfunctions on cocompact nilpotent surfaces mentioned in example 5. An interesting artifact of the proof is that the representing measures of these eigenfunctions are (up to density function) Gibbs measures of the Bowen–Series map.  $\square$

**6.3. Proof of (Fin).** Let  $R$  be the Ford fundamental domain of  $\Gamma_0$  (which consists of the closure of the set of points which lie on the external side of all isometric circles of the hyperbolic elements of  $\Gamma_0$ ). This is a Dirichlet domain for  $\Gamma_0$ , and as such is a hyperbolic polygon with finitely many sides  $s_1, \bar{s}_1, \dots, s_n, \bar{s}_n$ , and there are side-pairings  $g_{s_i}, g_{\bar{s}_i} \in \Gamma_0$  such that  $g_{s_i}(s_i) = \bar{s}_i$ ,  $g_{\bar{s}_i}(\bar{s}_i) = s_i$  ([Kat], §3.3, 3.5). As explained in section 4 of [BS], it is possible to assume without loss of generality the *even corners property*: The extension of each of its sides to a complete geodesic lies entirely inside  $\mathcal{T} := \bigcup_{g \in \Gamma_0} g(\partial R)$ .

We recall the construction of  $f_{\Gamma_0}$  (as described in [Se]). Given a side  $s$  of  $R$ , let  $L(s)$  denote the complete geodesic which contains  $s$ ,  $H(s)$  the hyperbolic half-plane on the side of  $L(s)$  which does not contain  $R$ , and  $A(s)$  the boundary of  $H(s)$  (an arc in  $\partial\mathbb{D}$ ). It is proved in [BS] that no more than two such arcs intersect. The Bowen–Series map is defined by  $f_{\Gamma_0}|_{A(s)} := g_s$ . This definition is proper only the part of  $A(s)$  which does not intersect other arcs; on the intersections  $A(s) \cap A(s')$ ,  $f_{\Gamma_0}$  is defined to be one of  $g_s, g_{s'}$  (the choice is arbitrary).

Bowen and Series show that  $f_{\Gamma_0}(W) \subseteq W$  where  $W$  is the set of endpoints of all complete geodesics in  $\mathcal{T}$  which pass through a vertex of  $R$ . We show below that  $W$  partitions  $\partial\mathbb{D}$  into a finite or countable collection of arcs  $\{I_a\}_{a \in S}$ . Since  $f(W) \subseteq W$ , this partition satisfies (Mar).

*Step 1.* The set of accumulation points of  $W$  is the set  $C$  of the vertices of  $R$  which lie in  $\partial\mathbb{D}$ . In particular:

- (1)  $W$  partitions  $\partial\mathbb{D}$  into a finite or countable collection of intervals;
- (2) If  $\Gamma_0$  is cocompact, then  $W$ , whence  $S$ , is finite.

*Proof.* First observe that every vertex in the interior of  $\mathbb{D}$  contributes exactly four points to  $W$ . Therefore, if  $\Gamma_0$  is cocompact, then  $W$  is finite (in this case the fundamental domain has no vertices in  $\partial\mathbb{D}$ ).

Another trivial consequence is that the set of accumulation points of  $W$  is equal to the (finite) union over  $v \in C$  of the set  $W(v)$  of accumulation points of the endpoints of complete geodesics in  $\mathcal{T}$  which pass through  $v$ . We prove the step by showing below that  $W(v) = \{v\}$ .

The vertices in  $C$  are divided into vertex cycles: equivalence classes under the  $\Gamma$ –orbit relation. Let  $v = v_0, v_1, \dots, v_k$  be the vertex cycle of  $v$ , fix  $g_i \in \Gamma_0$  such that  $v_i = g_i(v)$ , and let  $L_i, L'_i$  be the complete geodesics extending the faces of  $R$  which terminate at  $v_i$ . Denote the stabilizer of  $v_i$  in  $\Gamma_0$  by  $Stab_{\Gamma_0}(v_i)$ . This is an infinite cyclic group generated by a parabolic  $h_i \in \Gamma_0$  ([BM], Proposition 2.17)

Any complete geodesic  $L \subset \mathcal{T}$  which terminates at  $v$  is the  $g$ -image ( $g \in \Gamma_0$ ) of  $L_i$  or  $L'_i$  for some  $v_i$ . If we decompose  $g = g_i^{-1}h$  we see that  $h \in \text{Stab}_{\Gamma_0}(v_i) = \langle h_i \rangle$ . It follows that  $L \subset \bigcup_{i=0}^k \bigcup_{\ell \in \mathbb{Z}} g_i^{-1}h_i^\ell(L_i \cup L'_i)$ . Since  $h_i$  is parabolic,  $h_i^\ell(p) \rightarrow v_i$  as  $|\ell| \rightarrow \infty$  for every  $p \in \partial\mathbb{D}$ , so  $g_i^{-1}h_i^\ell(p) \rightarrow v$  for all  $p \in \partial\mathbb{D}$ , proving that  $W(v) = \{v\}$ .

*Step 2.* Let  $N_\varepsilon(C)$  denote the  $\varepsilon$ -neighbourhood of  $C$ . For every  $\varepsilon > 0$ ,  $\partial\mathbb{D} \setminus N_\varepsilon(C)$  is covered by finitely many elements of  $\{I_a\}_{a \in S}$ .

*Proof.* The endpoints of  $\{I_a\}_{a \in S}$  accumulate outside  $\partial\mathbb{D} \setminus N_\varepsilon(C)$ , so the number of  $I_a$ 's which intersect  $\partial\mathbb{D} \setminus N_\varepsilon(C)$  is finite.

*Step 3.*  $\exists \varepsilon > 0$  s.t.  $\forall x \in \partial\mathbb{D} \setminus \text{Par}(\Gamma_0)$ ,  $\limsup_{n \rightarrow \infty} d(f_{\Gamma_0}^n(x), C) > \varepsilon$ .

*Proof.* We begin with the following observations on  $f_{\Gamma_0}$ :

- (1) Every  $v \in C$  has two one-sided neighborhoods  $J_v, J'_v$  such that the restriction of  $f_{\Gamma_0}$  to each of these neighbourhoods is an element of  $\Gamma_0$ ;
- (2) The absolute value of the derivative of this Möbius transformation is strictly larger than one, except at  $v$ .

Now choose  $\varepsilon > 0$  smaller than  $\min\{\text{diam}(J_v), \text{diam}(J'_v) : v \in C\}$  and  $\min\{d(v, v') : v, v' \in C, v \neq v'\}$ . We claim that if  $x \in \partial\mathbb{D}$  and  $d(f_{\Gamma_0}^n(x), C) \leq \varepsilon$  for all  $n \geq 0$ , then necessarily  $x \in C$ .

For every  $n$  there exists  $v_n \in C$  such that  $f_{\Gamma_0}^n(x) \in J_{v_n} \cup J'_{v_n}$ . Let  $K_n \in \{J_{v_n}, J'_{v_n}\}$  be the one-sided neighbourhood which contains  $f_{\Gamma_0}^n(x)$ . If we extend  $f_{\Gamma_0}$  continuously to the endpoints of the  $K_n$  from within, and abuse notation by denoting this extension by  $f_{\Gamma_0}$ , we get

$$d(f_{\Gamma_0}^n(x), f_{\Gamma_0}^n(v_0)) \leq \varepsilon \text{ for all } n \geq 0.$$

Let  $k$  be the length of the vertex cycle of  $v_0$ . This cycle is exactly  $\{v_i\}_{i=0}^{k-1}$ , and  $h := f_{\Gamma_0}|_{K_{k-1}} \circ \dots \circ f_{\Gamma_0}|_{K_0}$  fixes  $v_0$ . It follows that  $h$  is parabolic. Note that  $|h'| > 1$  on  $K_0 \setminus \{v_0\}$  (because  $|f'_{\Gamma_0}| > 1$  on  $K_i \setminus \{v_i\}$ ). Since  $h$  is parabolic, its dynamics is such, that the  $h$ -forward orbit of any  $y \in K_0 \setminus \{v_0\}$  leaves  $K_0$ . But by construction

$$d(h^\ell(x), v_0) < \varepsilon \text{ for all } n \geq 0.$$

Therefore  $x = v_0 \in C$ . This proves: If  $d(f_{\Gamma_0}^n(x), C) \leq \varepsilon$  for all  $n \geq 0$ , then  $x \in C$ . Step 3 follows, because  $C \subset \text{Par}(\Gamma_0)$  ([Kat], theorem 4.2.5).

We can now finish the proof of (Fin): Pick  $\varepsilon > 0$  as in step 3, and choose a finite  $S_0 \subset S$  such that  $\partial\mathbb{D} \setminus N_\varepsilon(C) \subset \bigcup_{a \in S_0} I_a =: \Lambda$ . Every  $f_{\Gamma_0}$ -forward orbit either hits  $C$  and stays there, or leaves  $N_\varepsilon(C)$ . In the first case, the orbit is contained in  $\text{Par}(\Gamma_0)$ . In the second case, the orbit enters  $\Lambda$  infinitely many times.  $\square$

**6.4. Proof of (FI).** Suppose  $\Gamma_0 \setminus \mathbb{D}$  has finite volume. Any hyperbolic surface with finite volume has a compact subset  $F$  which is intersected by any complete geodesic which does not tend to one of the cusps: Such a set can be obtained by cutting away each of the cusps along a closed horocycle which encircles it.

Let  $F_0 \subset \mathbb{D}$  be a compact subset of the fundamental region of  $\Gamma_0$  which contains the origin  $o$  and which projects to  $F$ . Let  $\{g_n\}_{n \geq 1}$  be an enumeration of the  $g$  in  $\Gamma_0$  whose axis intersects  $F_0$ . Fix some  $z_n \in F_0$  on the axis of  $g_n$ . Then  $\tau(g_n) = d(z_n, g_n z_n) \geq d(o, g_n o) - 2\text{diam}(F_0) \xrightarrow{n \rightarrow \infty} \infty$ , proving that  $\{\tau(g_n)\}$  intersects any compact interval finitely many times. Since any  $g \in \Gamma_0$  is conjugate to some  $g_n$ ,  $\tau(\Gamma_0)$  intersects any compact interval finitely many times.  $\square$

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